

**Car-to-X Communication in
Heterogeneous Environments**

—

**Fahrzeug-Umfeld-Kommunikation
in heterogenen Szenarien**

Der Technischen Fakultät der
Universität Erlangen-Nürnberg
zur Erlangung des Grades

DOKTOR-INGENIEUR

vorgelegt von

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Erlangen – 2011

Als Dissertation genehmigt von
der Technischen Fakultät der
Universität Erlangen-Nürnberg

Tag der Einreichung: **30. März 2011**

Tag der Promotion: **06. Juni 2011**

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Abstract

The challenge of designing and evaluating an integral wireless communication system that affords the exchange of data between cars and with infrastructure is commonly answered only in part. Car-to-X communication systems are generally treated as operating either only in freeway scenarios or only in urban scenarios, operating either in a completely infrastructure-less or an infrastructure-dependent fashion. It can be argued, however, that in the highly heterogeneous environments of real-life deployments such distinctions cannot be made.

In the first part of this work, we demonstrate how to take simulative performance evaluation of Car-to-X communication systems one step beyond current approaches: we present our successful Open Source framework *Veins* for the co-simulation of communication networks and road traffic. It allows simulating complex heterogeneous scenarios with a high degree of realism and allows for road traffic to be influenced by network communication – a prerequisite for the evaluation of Traffic Information System (TIS) designs. *Veins* relies on a coupling of state-of-the-art simulators from both domains to incorporate validated models for road traffic microsimulation and network simulation, and extends them for the simulative performance evaluation of Car-to-X communication systems.

In a second part of this work, we present our Adaptive Traffic Beacon (ATB) protocol, an evolved beaconing approach to Car-to-X communication for operation in truly heterogeneous environments. We base its design on lessons learned from evaluating common approaches to Inter-Vehicle Communication (IVC), identifying adaptivity as the key property such approaches were lacking. ATB realizes a self-organizing TIS also able to make use of optionally available Roadside Unit (RSU) deployments or a Traffic Information Center (TIC). ATB continuously adapts to sensed network conditions by adjusting the interval between two beacons to utilize all unused capacity of the wireless channel, but never more. We demonstrate that, this way, for high-priority access to the medium and co-existent other protocols and systems, the channel appears virtually unloaded at all times. We conclude this work with an evaluation of the strengths and weaknesses of ATB when compared with state-of-the-art hybrid multi-hop flooding and disruption tolerant networking.

Kurzfassung

Der Herausforderung, ein integrales System zum drahtlosen Austausch von Daten zwischen Fahrzeugen und mit Infrastruktur zu entwerfen und seine Leistung zu bewerten, stehen üblicherweise lediglich Teillösungen gegenüber. So legt man Ansätze zur Fahrzeug-Umfeld-Kommunikation entweder für den Einsatz auf Autobahnen oder aber in Städten, sowie entweder voll abhängig oder unabhängig von dedizierter Infrastruktur, aus. Allerdings erscheint eine Unterscheidung dieser Klassen vor dem Hintergrund teils stark heterogen geprägter Einsatzszenarien oft unmöglich.

Im ersten Teil dieser Arbeit wird deshalb ein Ansatz zur simulativen Leistungsbeurteilung dieser Systeme vorgestellt, der die Co-Simulation von Netzwerk- und Straßenverkehr erlaubt. In Form des erfolgreichen Open-Source-Simulationswerkzeugs *Veins* unterstützt der vorgestellte Ansatz die realitätsnahe Simulation komplexer heterogener Szenarien, nicht zuletzt auch durch die Modellierung der Rückwirkung von Netzwerk- auf Straßenverkehr. *Veins* greift auf die Kopplung zweier etablierter Werkzeuge zurück, und integriert damit validierte Modelle zur Simulation von Fahrzeugbewegung wie auch von Netzwerkverkehr, die jeweils um Funktionalität zur Bewertung von Ansätzen zur Fahrzeug-Umfeld-Kommunikation erweitert wurden.

Im zweiten Teil dieser Arbeit wird mit *ATB* ein neuartiges Protokoll zur Verbreitung von Informationen per periodischem Broadcast vorgestellt, das speziell mit Augenmerk auf die Heterogenität realistischer Szenarien entworfen wurde. Aufbauend auf bei der Evaluation existierender Ansätze gewonnenen Erfahrungen, die immer wieder deren mangelhafte Adaptivität aufzeigte, gelang es, ein selbstorganisierendes Verkehrsinformationssystem, das optional auch Infrastrukturkomponenten integrieren kann, zu entwickeln. Ferner ist *ATB* in der Lage, durch die kontinuierliche Anpassung des Broadcast-Intervalls lediglich ungenutzte Kanalkapazität – diese allerdings vollumfänglich – auszunutzen. Dadurch steht dem Versand hochpriorer Nachrichten, wie auch zeitgleich betriebenen Protokollen, jederzeit freie Kanalkapazität zur Verfügung. Den Abschluß der Arbeit bildet eine umfassende Bewertung von *ATB*, insbesondere im direkten Vergleich mit komplementären Ansätzen zur Fahrzeug-Umfeld-Kommunikation.

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Chapter 1

Introduction

The use of Car-to-X communication, i.e., the exchange of data between cars and with infrastructure, for improving driving safety and efficiency has been on the mind of researchers since at least the often-cited 1939 New York World's Fair [95]. Here, in its *Futurama* exhibit, General Motors revealed utopian visions of what highways and cities might look like twenty years later. In fact, many of the visions showcased there, as well as in the exhibit designer's 1940 book *Magic Motorways* [16], such as that “car-to-car radio hook-up might be used to advise a driver nearing an intersection of the approach of another car or even to maintain control of speed and spacing of cars in the same traffic lane”, are still being pursued today.

A huge number [81] of research projects have since then been undertaken which tried to make visions of Intelligent Transportation Systems (ITS) a reality. Among the most notable of research initiatives were the Japan CACS project (1973–1979), the European Prometheus project (1986–1995), or the U.S. PATH project (1986–1992).



(a) infrared sender at a traffic light (b) infrared receiver mounted on rear-view mirror of an equipped vehicle (c) traffic light phases and speed recommendation shown in the vehicle

Figure 1.1 – Concept of the 1983 *Wolfsburger Welle* demonstration project: infrastructure-to-car communication based on infrared transceivers is employed to transmit traffic light phase information to oncoming vehicles; source: [207].

The majority of these initiatives led to working prototypes and successful field operational tests (Figure 1.1 gives an impression of their level of sophistication); yet, commercial success failed to match the projects' promises.

A possible explanation for this can be found in [26]: early approaches were simply too visionary for their time, commonly focusing on infrastructure-less solutions, which could not be supported by current technology. The 1980s then saw a shift of attention from the more long term goals of complete highway automation to nearer-term goals like driver-advisory functions. However, for the same reasons, attention shifted also from infrastructure-less to infrastructure-assisted solutions, resulting in what the authors called a *chicken-and-egg* type of standoff in the deployment of IVHS (Intelligent Vehicle-Highway Systems) solutions:

“The automotive and electronics industries are skeptical as to whether the public infrastructure for IVHS will materialize. (Without an infrastructure, of course, there will be no market for cooperative IVHS products on-board the vehicle or on the highway.)

Highway agencies are skeptical as to whether IVHS technologies will deliver solutions to real highway problems. (Without a sound expectation of public benefit, of course, public investment is unjustified.)”

In the years since this 1990 article, however, these premises have changed considerably, causing interest in Car-to-X communication research to re-ignite: first, with the commercial deployment of latest-generation cellular communication technology, there is now an almost universal communication infrastructure available. In fact, commercially available versions of what could be described as early Car-to-X systems are already on the market, e.g., *On Star* (1995), *BMW Assist* (1999), *FleetBoard* (2000), and *TomTom HD Traffic* (2007).

Secondly, computing power has increased many-fold, enabling even complex and fully-distributed ad hoc systems to process and disseminate data under tight temporal constraints; the feasibility of such systems had been demonstrated by successfully deployed projects from the context of Mobile Ad Hoc Network (MANET) research, leading to the later coining of the term Vehicular Ad Hoc Network (VANET) as a promising application of MANETs.

The new-found optimism with regard to Car-to-X communication research can also be seen expressed in the U.S. FCC's allocation of the Dedicated Short Range Communications (DSRC) band in 1999, reserving 75 MHz in the 5.9 GHz region for the sole use of vehicular short-range wireless communication – a development that further boosted research.

The renewed interest in Car-to-X communication research was also reflected in a huge increase in publications and projects are again becoming increasingly ambitious and more inclusive.

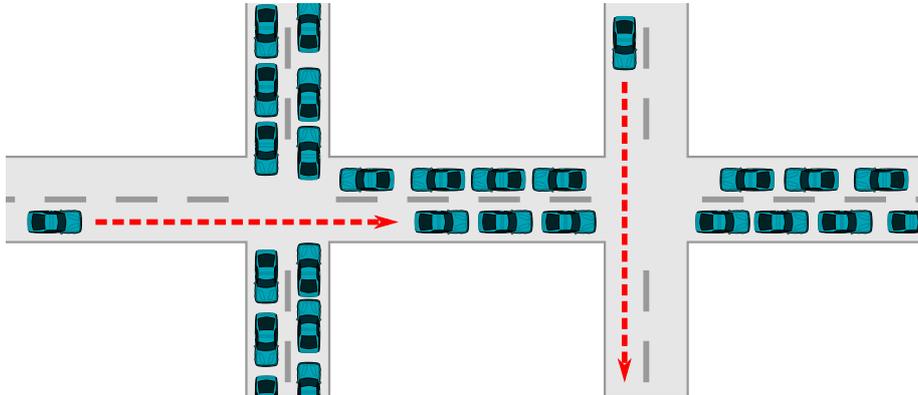


Figure 1.2 – Highly dynamic, heterogeneous network conditions in an urban setting: traffic alternates between free-flowing and queued conditions (left) and vehicles frequently pass queued traffic at high speeds (right).

1.1 Heterogeneity in Car-to-X Communication

While existing applications are predominantly relying on cellular networks, current research on Car-to-X communication systems is again focusing on systems employing short range radios. Such systems inherit a number of challenges from wireless communication (e.g., error rate, interference, and collisions) and ad hoc networking (e.g., multihop routing, unidirectional links, and multi-radio multi-network operation). At the same time, they bring with them new challenges [17, 205] like unique network topology dynamics (stemming, e.g., from the node mobility pattern) and security-related issues (e.g., ensuring confidentiality, integrity and accountability without permanent Internet connectivity) which need to be balanced against privacy concerns [41, 46, 123].

These problems are routinely tackled by compartmentalization. To give an example, Car-to-X communication systems are generally investigated as operating either in highway scenarios or in urban scenarios. This makes it possible to design a protocol that caters specifically to the requirements of either of these environments.

Highway scenarios typically exhibit much lower densities and offer a much more reliable topology, as roughly half of the vehicles are traveling in the same direction and vehicles exhibit a natural tendency to cluster and form platoons [179]. At the same time, interconnection times with vehicles traveling in the opposite direction are extremely short. Networks of vehicles on highways thus exhibit both the properties of well connected and sparsely connected networks at the same time, which has led to them being characterized as exhibiting bipolar behavior [198]. Finally, the distances that messages have to be disseminated, i.e., required hop counts, are comparatively high. Messages commonly need to reach as far back as the next exit to make sure vehicles are informed in time to pick another route.

Urban scenarios, on the other hand, present a completely different set of requirements and opportunities. Topology dynamics in this setting are much less predictable and a network can potentially oscillate between high-density, fully connected states when vehicles are queuing in front of a traffic light and low density, disconnected states when vehicles are driving. Moreover, in urban scenarios such potentially disconnected clusters of driving vehicles will frequently pass high-density clusters of vehicles, namely when crossing an intersection where other vehicles queue, as illustrated in Figure 1.2. Unlike in highway scenarios, networks of vehicles in urban scenarios thus exhibit the properties of both a disconnected and a well-connected network within a very short time interval, but not necessarily at the same time. On the other hand, compared to highway scenarios, the region of interest for a given message is noticeably smaller and an event needs to be disseminated over less hops.

Further compartmentalization has been taking place in terms of infrastructure support: systems are routinely designed as either operating without the help of any infrastructure – or as relying completely on the presence of infrastructure, e.g., Roadside Units (RSUs) or Traffic Information Centers (TICs). The same rationale is applied for dealing with heterogeneity in terms of communication technology, penetration, or application layer protocols.

It can be argued [11], however, that such distinctions cannot be made for real-life systems. This means that any system for multi-hop dissemination of messages among vehicles will inevitably have to adapt to highly dynamic, heterogeneous environments and will likely exhibit suboptimal performance when designed with rigid assumptions about the environment in mind.

We believe that by not ignoring but rather *embracing* – and directly addressing – these heterogeneity challenges new opportunities for improving the performance of Car-to-X communication systems can be revealed.

1.2 Contribution

In the following, we first present the fundamentals of Car-to-X communication systems, as well as of their simulative performance evaluation (Chapter 2). We then present our contribution, which is twofold:

1. We demonstrate how to take the simulative performance evaluation of Car-to-X communication systems one step further, presenting our framework *Veins* for bidirectionally-coupled simulation of networks and road traffic. This framework allows simulating complex heterogeneous scenarios to a high degree of realism and allows for road traffic to be influenced by network communication. We also examine measures to increase the confidence in simulative results of such evaluations. We further demonstrate the importance of balancing different performance metrics against each other, of respecting signal propagation effects, and of basing road networks on real geodata. Finally, we demonstrate the impact of Car-to-X communication on traffic.

Work presented in this chapter (Chapter 3) was peer-reviewed and published in *IEEE Transactions on Mobile Computing* [162] (bidirectional coupling), *IEEE Communications Magazine* [156] (mobility modeling), and *GI Praxis der Informationsverarbeitung und Kommunikation* [157] (use of geodata), as well as presented at conferences and workshops [42, 151, 154, 159, 164, 169, 170].

2. We show how the presented approach led us to the design of our Adaptive Traffic Beacon (ATB) protocol, an evolved beaconing approach designed for operating in truly heterogeneous environments. We were able to base the design of ATB on lessons learned by first evaluating approaches relying on establishing a VANET in its strictest sense as well as an approach relying on cellular networking, identifying adaptivity as the key property such approaches were lacking. We demonstrate the results of in-depth studies examining the adaptivity of ATB to rapid changes in network conditions and presence of infrastructure, comparing our approach with simpler, non-adaptive protocols, both in synthetic and highly realistic scenarios. Finally, we highlight the strengths and weaknesses of ATB when compared with a state-of-the-art hybrid flooding and Delay/Disruption Tolerant Network (DTN) protocol.

Work presented in this chapter (Chapter 4) was peer-reviewed and published in *IEEE Communications Magazine* [168] (ATB), *Elsevier Ad Hoc Networks* [165] (cellular networks), and *ACM/Springer Mobile Networks and Applications* [153] (MANET routing), as well as presented at conferences and workshops [27, 152, 155, 161, 166, 167].

Chapter 2

Fundamentals

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One of the major goals of any work proposing an Inter-Vehicle Communication (IVC) system is the assessment of its benefits and drawbacks – most notably in terms of its performance; hence, performance evaluation is a key ingredient of IVC research. Three basic approaches to the evaluation of IVC systems can be identified: A first approach for gathering performance metrics of IVC systems is their *analytical evaluation*. This allows for the most rigid study of the system under consideration, oftentimes even resulting in closed form solutions to aspects of complex problems [8]. However, as an analytical evaluation of the whole IVC system is prohibitively difficult, either a number of simplifying assumptions have to be made or only isolated parts of the system in question can be examined, thus limiting the explanatory power of such evaluations.

A second, straightforward approach for gathering performance metrics would be field operational tests (i.e., *experimentation*), as this gives the most immediate feedback with regard to the employed hard- and software. Projects such as *sim^{TD}* [174] are going to provide large-scale experimentation with up to 100 hired drivers and up to 300 additional equipped vehicles. Nonetheless, there are several drawbacks to experimentation: first, it only allows for superficial examination of network behavior. Secondly, results gathered via experimentation suffer from non-suppressible side effects – in particular when applied to investigations done using moving traffic. Lastly, it is doubtful whether results – be they from small-scale or from large-scale experiments – can be reliably extrapolated to full-scale systems with even moderate penetration rates.

For these reasons, research dealing with IVC systems is mostly centered on *simulation*, the third and youngest branch of science [99]. Here, the complete IVC system can be modeled without the sweeping simplifications required for analytical models of that scale. Similar to experimentation, simulation thus allows a researcher to examine – and interact with – all the individual components of a system. At the same time, however, simulation provides for complete control over all external influences and affords a much more detailed monitoring of network behavior, now not just observing effects, but studying their actual causes. Lastly, simulation allows for an evaluation of systems that simply do not yet exist, e.g., in terms of system scale or deployed hardware.

It should be noted that the term *simulation*, in its broadest meaning, means nothing more than “to imitate [...] the operations of various kinds of real-world facilities or processes” by modeling assumptions about them, and to “use a computer to evaluate a model *numerically*” [97]. Such models are commonly classified as either *static* or *dynamic* (depending on whether they represent a system that changes over time), as *deterministic* or *stochastic* (depending on whether they contain random components), and as *continuous* or *discrete* (depending on whether their state will only change instantaneously and at separated points in time).

In the following, we restrict the meaning of the term *simulation* as referring to the evaluation of the most common subdomain of these models: dynamic stochastic discrete system models. This type of simulation is called *discrete event simulation* (DES), and is evidently the most relevant to the evaluation of Car-to-X communication systems: here, the behavior of systems will naturally evolve over time, influences on the system will frequently be of a random nature, and – even though parts of the model will likely lean towards time-continuous behavior – overall there will be easily identifiable discrete events at which the state of the system will change.

In this chapter, we present the fundamentals of Car-to-X communication systems in general, and fundamentals of the simulative performance evaluation of such systems in particular. First, we give an overview of current approaches to network simulation (Section 2.1), then motivate the need for modeling vehicular mobility in network simulations and present current approaches (Section 2.2). We then give an overview of the basis of Car-to-X communication systems, both in terms of technology (Section 2.3) as well as communication paradigms and protocols (Section 2.4).

2.1 Network Simulation

Network simulation is commonly used to model computer network configurations long before they are deployed in the real world. Through simulation, the performance of different network setups can be compared, making it possible to recognize and resolve performance problems without the need to conduct potentially expensive field tests. Network simulation is also widely used in research, in order to evaluate the behavior of newly developed network protocols [64].

In most cases, network protocols are analyzed using discrete event simulation and a large number of simulation frameworks are available in this domain. Examples of such frameworks are Open Source tools such as the network simulator ns-2 [19], OMNeT++ [186], J-SIM [150], and JiST/SWANS [13], as well as commercial tools like OPNET. Aside from the level of support for a large number of nodes, the working principles of all these simulators are similar and the differences lie mostly in the number of available models, e.g., of typical MAC, routing, and other Internet protocols. Of further note are efforts to abstract away from particular network simulation tools, integrating them as an exchangeable part of, e.g., a complete UML-based simulation and testing tool chain [35].

2.1.1 The OMNeT++ Simulation Environment

OMNeT++ [186, 187] is an Open Source simulation environment that is distributed free for non-commercial use. A separate version of the same simulation environment which is licensed for commercial use is sold by *Simulcraft, Inc.* under the *OMNEST* brand. Up to, and including, version 4 of OMNeT++ the simulation core is distributed under what its authors termed the *Academic Public License*; its terms are closely modeled after the *GNU General Public License (version 2)*, the most notable addition being that of a statement restricting commercial use:

“Permission is hereby granted to use the Program free of charge for any noncommercial purpose [...]. For using the Program for commercial purposes [...], you have to contact the Author for an appropriate license.”

The OMNeT++ engine runs time discrete, event-driven simulations of communicating nodes on a wide variety of platforms and is becoming increasingly popular in the field of network simulation. Since 2006 it is part of the *Standard Performance Evaluation Corporation (SPEC) CPU benchmark suite*¹ and since 2008 it is the focus of a yearly *ACM/ICST International Workshop on OMNeT++*.

¹<http://www.spec.org/cpu2006/>

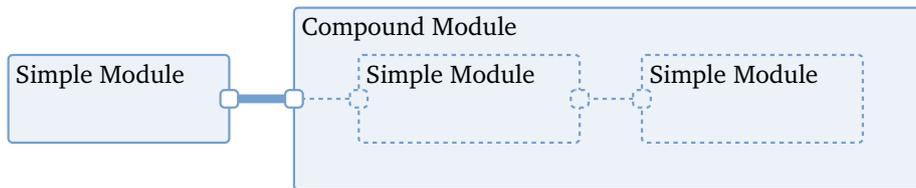


Figure 2.1 – OMNeT++ hierarchical modeling paradigm. Shown is a simple module connected via gates to a compound module; the latter contains two more simple modules.

Simulations can be created and compiled using either a command line based tool chain or, since version 4, using an Eclipse based graphical integrated development environment. Similarly, simulations are either run in a graphical environment, which supports interactive interactions with any component of a running simulation, directly monitoring or altering internal states, or they are executed as a command-line application, which allows for unattended batch runs on dedicated machines.

OMNeT++ follows an object-oriented, hierarchical approach to modeling illustrated in Figure 2.1. Simulation scenarios are based on instances of the following four classes:

Messages encapsulate arbitrary data and can be scheduled for delivery to a *simple module* at a particular point in time.

Simple modules form the lowest levels of a module hierarchy and are the sole truly active component in OMNeT++. They can receive *messages* via *input gates*, react to them, and send messages either via *output gates* or directly to another module’s input gate.

Compound modules contain simple modules and *channels* and specify how their respective input gates and output gates are interconnected, optionally via a channel.

Channels can further influence message passing by adding propagation delay, annotating transmission durations, or selectively discarding the message in question.

OMNeT++ enforces a strict separation of behavioral and descriptive code. All behavioral code (i.e., code specifying how simple modules handle and send messages, as well as how channels handle messages) is written as C++ code linking to the OMNeT++ kernel. All descriptive code (i.e., code declaring the structure of modules/channels and messages) is stored in plain-text *Message Definition* (MSG) and *Network Description* (NED) files, respectively, as illustrated in Listing 2.1. All run-time configuration of modules is achieved by an *Initialization File* (INI).

```
1 import inet.nodes.inet.StandardHost;
2
3 module EthernetExample
4 {
5     submodules:
6         hostA: StandardHost;
7         hostB: StandardHost;
8     connections:
9         hostA.ethg[0] <--> hostB.ethg[0];
10 }
```

Listing 2.1 – Sample network description file for OMNeT++: two standard hosts connected directly via Ethernet.

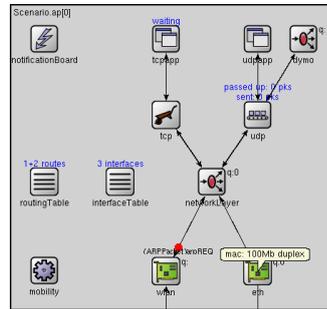
With all behavioral code being contained in a C++ program, OMNeT++ components can easily interface with third-party libraries and can be debugged using off-the-shelf utilities; thus it lends itself equally well to rapid prototyping and developing production quality applications.

Because of its modular and open approach to modeling, OMNeT++ has a strong user community that tends to favor Free and Open Source Software licenses when developing own modules. This has caused a thriving ecosystem of module libraries to spring up, each of which focuses on problems of a particular research domain. Among the most popular of module libraries in current use are the *INET Framework* and *MiXiM*.

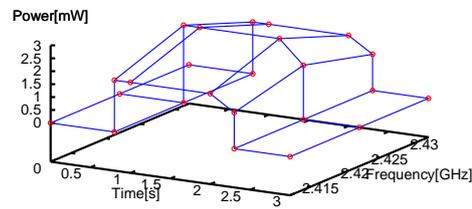
The INET Framework development history² goes back to an early module library, the *IPSuite* by the University of Karlsruhe (now *Karlsruhe Institute of Technology*), with additions by the University of Technology Sydney. The code was later maintained and, in part, rewritten by OMNeT++’s main developer, András Varga; it was then renamed *The INET Framework* and merged with the *Mobility Framework* library [44] by Technical University of Berlin. The INET Framework provides a set of OMNeT++ modules that represent various layers of the Internet protocol suite, e.g., the TCP, UDP, IP, and ARP protocols, as illustrated in Figure 2.2a. It also provides modules that allow the modeling of spatial relations of mobile nodes and WiFi transmissions between them, albeit with a very low level of detail. Most notably, interference effects of signals on different channels cannot be modeled with current versions of the module library. To this date, the INET Framework has seen numerous works on model validation and bug fixes, as well as new additions from countless individuals and research groups.

MiXiM [87, 191] is a complementary module library for OMNeT++ and the most recent one to have found widespread use. Based also on the *Mobility Framework* by Technical University of Berlin, it includes further components from the University of

²<http://inet.omnetpp.org/doc/INET/neddoc/history.html>



(a) *INET Framework's* representation of layers in a WiFi access point.



(b) *MiXiM's* three-dimensional representation of a four-dimensional signal, plotted at a single point in space [191].

Figure 2.2 – Overview of the protocol layers covered by either the *INET Framework* or the *MiXiM* module libraries for *OMNeT++*.

Paderborn *ChSim* modules as well as the *MAC simulator* and *Positif* modules of Delft University of Technology. In contrast to the *INET Framework*, *MiXiM* is focusing on accurate *MAC* and *PHY* layer modeling, as illustrated in Figure 2.2b: on the physical layer, signals at a certain location are modeled as three-dimensional entities whose power level varies over both time and frequency. Calculating how such signals propagate in a simulation, as well as how they interfere with each other, is handled by *MiXiM* itself with no further effort from the model developer required. Thus, *MiXiM* lends itself very well to *IVC* simulation, where accurate models of common Internet protocols matter less than precise simulation of wireless transmissions. In case that a *MiXiM* simulation needs to integrate higher layer protocols, recent versions of the module library now also offer *Mixnet*, which acts as glue between the *INET Framework* and *MiXiM*.

2.1.2 The ns-2 and ns-3 Simulation Environments

The ns-2 network simulator [19] is a discrete event simulator focusing almost exclusively on networking research. Individual components of ns-2 are distributed under different Free and Open Source Software licenses, the most common one being a less restrictive variant of the *GNU General Public License (version 2)*.

Development of ns-2, then a shorthand for *Version 2 of The Network Simulator* but now a name in its own right, started in 1989, then a fork of the *REAL* network simulator by Cornell University, which was, in turn, based on earlier simulators. While there is no IDE or graphical execution environment available for ns-2, the simulator can record detailed packet traces that can be written to disk and, later, visualized using the included *nam* (short for *Network Animator*) tool. The simulation core of most ns-2 modules is formed by a wide array of C++ classes. Unlike earlier forks, however, ns-2 relies exclusively on objective Tcl (*OTcl*), an object oriented

```

1 set ns [new Simulator]
2 set a [$ns node]
3 set b [$ns node]
4 $ns duplex-link $a $b 10Mb 2ms DropTail
5 set tcp0 [$ns create-connection TCP/Reno $a TCPSink/DelAck $b 0]
6 set ftp0 [$tcp0 attach-app FTP]
7 $ns at 1.0 "$ftp0 start"
8 $ns run

```

Listing 2.2 – Sample simulation script for ns-2: two nodes running FTP over a TCP connection.

```

1 NodeContainer n;
2 n.Create(2);
3
4 InternetStackHelper().Install(n);
5
6 NetDeviceContainer d = CsmmaHelper().Install(n);
7
8 Ipv4AddressHelper ipv4;
9 ipv4.SetBase("10.1.1.0", "255.255.255.0");
10 Ipv4InterfaceContainer i = ipv4.Assign(d);
11
12 UdpServerHelper server(4000);
13 server.Install(n.Get(1)).Start(Seconds(1.0));
14
15 UdpClientHelper client(i.GetAddress(1), 4000);
16 client.Install(n.Get(0)).Start(Seconds(2.0));
17
18 Simulator::Run();

```

Listing 2.3 – Sample simulation script for ns-3 (adapted and shortened from documentation): two nodes send or receive UDP data.

dialect of the more popular Tcl language, for setting up, running, and controlling simulations, as well as for large parts of the module library. Listing 2.2 provides a short example of how OTcl is used to set up simulations.

This makes ns-2 an extremely flexible basis for networking research, as the OTcl code to declare module structure, module behavior, and simulation control can be seamlessly interwoven with the C++ core. Further flexibility is afforded by the fact that no rigid constraints on event types or module coupling are enforced by the simulation kernel. Any ns-2 object in the simulation can schedule an arbitrary object derived from Event to be delivered to any other ns-2 object, or an arbitrary OTcl statement to be executed. In addition, any ns-2 object can call another object's `command(argc, arg0, arg1, ...)` method to directly access and modify its state. Therefore, a number of conventions (illustrated in Figure 2.3) have proven helpful for structuring simulations.

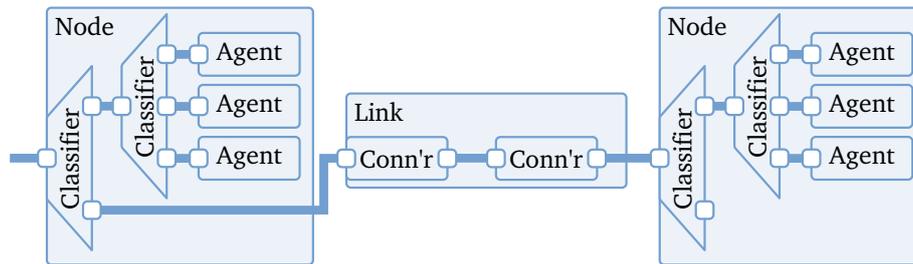


Figure 2.3 – Common convention of modeling in ns-2: shown is a typical configuration of classifiers and connectors to form two nodes and a link.

Nodes resemble hosts in the simulation. They contain at least one *classifier*, termed the node entry point, which will handle packets (i.e., events of type *Packet*) sent to that node.

Classifiers handle packets in a node, passing each to one or more higher-layer classifiers in the node or delivering them to outbound *links*. An *agent* is a special form of classifier that constitutes the end of a packet handling chain, creating new packets or consuming the packets sent to it.

Links resemble channels between nodes in the simulation. They contain at least one *connector*, termed the link entry point, which will handle packets sent to that link.

Connectors handle packets in a link, passing each packet either to the connector target or to a special drop target.

Even with these agreed-upon conventions, however, the degree of flexibility offered by ns-2 means that great care needs to be exercised if simulations are to be re-used in another context or if efforts from different research groups are to remain compatible. Moreover, debugging ns-2 simulations requires detailed knowledge of the OTcl components, as their statements are interpreted at run time and, thus, cannot easily be inspected with common debugging tools.

In 2006 efforts began to create a new simulator, to be sustained by the same user community and therefore named *ns-3*. The ns-3 simulator, as the documentation³ repeatedly stresses, is not an extension of ns-2, but a complete re-write of ns-2, although proven models from the latter will be re-written for ns-3 by the same community to help the user base transition to the new simulation framework.

This is helped by the fact that the basic structure of common ns-3 simulations is similar to common conventions of building ns-2 simulations, with *links*, *nodes*, *classifiers*, and *agents* now named *Channels*, *Nodes*, *NetDevices/ProtocolHandlers*, and *Applications*.

³<http://www.nsnam.org/docs/tutorial/html/introduction.html>

The most notable outward difference to ns-2, though, is that ns-3 does not rely on OTcl for higher-layer modeling, simulation setup, and simulation control. Instead, ns-3 is written in pure C++ with optional Python bindings. Thus, researchers can now choose to write simulations in C++ only, as illustrated in Listing 2.3, relying on callbacks to let modules interact with one another.

At the time of writing, however, ns-3 still has not reached the same degree of maturity as other simulation frameworks, with many components still missing or incomplete, though rapid progress can be observed.

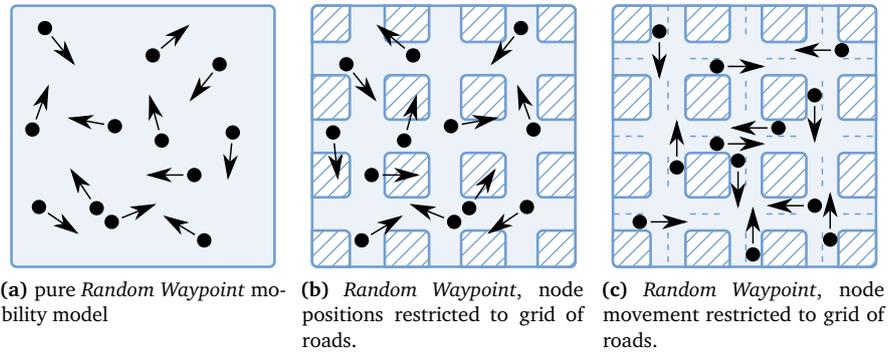


Figure 2.4 – Simple adaptations of the Random Waypoint mobility model as applied to vehicular movement.

2.2 Road Traffic Simulation

Although all of the common network simulators have, by now, integrated support for node mobility, their mobility models' level of sophistication varies widely. Initially, only strict geometric movement patterns were commonly offered, supporting the movement of mass-less nodes along linear, polygonal, or circular paths. With Mobile Ad Hoc Network (MANET) and Wireless Sensor Network (WSN) applications gaining popularity, these models were then extended to accommodate those, too. In MANET scenarios the movement of nodes in an unconstrained, completely random manner, termed the *Random Waypoint* mobility model [80] now served as the mobility model of choice. In 1997, the European Telecommunications Standards Institute (ETSI) then recommended that, for the evaluation of radio transmission technologies of the Universal Mobile Telecommunications System (UMTS), mobile nodes should move along a grid of possible ways – the *Manhattan Grid* [49]. However, this recommendation only covered the case of pedestrian mobility modeling; the recommended model of vehicles' mobility still used plain random node movement.

It has long been established that the quality of results obtained from MANET simulations is heavily influenced by the quality of the employed mobility model [21, 203]. Furthermore, the impact of mobility models on Car-to-X simulation results, as well as the inadequacy of the mobility models usually adopted from MANET simulations, are well documented in the literature [103, 139]. In particular, Random Waypoint based mobility models were shown to provide vastly different results from more sophisticated vehicular mobility models, some not even reaching a steady state [203], yet derivatives of them – a selection is shown in Figure 2.4 – have been in use ever since. This can in part be attributed to their ease of use, where straightforward adaptations of a plain Random Waypoint mobility model (e.g., the consideration of inertia or the constraining of vehicles to predefined roads) provide

realistic-looking movement patterns which are already able to produce significantly different results [28].

Compared to the use of Random Waypoint mobility models, the modeling of node mobility based on sets of pre-recorded real-world mobility traces was therefore a major step towards realistic vehicle simulation. Such traces were obtained, e.g., from a 2003 observation of city busses [77] or the logging of Global Positioning System (GPS) information [100]. In the case of most approaches, real-world vehicles were tracked using on-board or subsidiary devices and vehicle positions were recorded at regular intervals. These mobility traces were then post-processed, relying heavily on plausibility checks and interpolation [5], and stored as trace files. During network simulations, node mobility was controlled by parsing these files and replaying them, synchronizing simulated nodes' positions with their corresponding vehicles' locations after each time step.

However, while such a mobility model will arguably result in the most realistic vehicle movement in network simulations, its use is limited by the fact that network simulations based on collected trace data can only be performed in exactly the scenario for which movement traces were collected. Moreover, even if such trace files could be readily created for any specific scenario, varying only a single parameter (e.g., the ratio of trucks vs. cars) and keeping all other parameters unchanged would be infeasible with this approach.

Full control over all aspects of the scenario can, however, be readily achieved if movement traces are generated by traffic simulation tools.

Transportation and traffic science classifies road traffic simulation models into Macroscopic, Mesoscopic, and Microscopic models, according to the granularity with which traffic flows are examined. *Macroscopic* models, like METACOR [48], model traffic at a large scale, treating traffic like a liquid and often applying hydrodynamic flow theory to vehicle behavior. *Mesoscopic* models, like CONTRAM [176], are concerned with the movement of whole platoons, using, e.g., aggregated speed-density functions to model their behavior. Simulations of Car-to-X communication systems, however, are focusing on the accurate modeling of single radio transmissions between nodes and, therefore, require exact positions of simulated nodes. Both Macroscopic and Mesoscopic models cannot offer this level of detail; thus, only *Microscopic* simulations, which model the behavior of single vehicles and interactions between them, can be considered as mobility models for simulated nodes in a Car-to-X communication system. These models were developed specifically to simulate the movement of individual vehicles in both normal and jammed traffic conditions to a very high degree of realism. Still, it should be noted that these models are, in general, not designed to reflect inherently *abnormal* traffic situations, e.g., misbehaving drivers or crashes.

Parameter	Typical value
Driver reaction time τ	1 s
Desired velocity v_{\max}	36 m/s
Maximum acceleration a	0.8 m/s ²
Maximum deceleration b	4.5 m/s ²
Dawdle time ϵ	1 s

Table 2.1 – Typical values of Krauß model parameters according to its original publication [92].

Transportation and traffic science has developed a number of such microsimulation models, each taking a different approach and thus each resulting in simulations of different complexity. Models that are in widespread use within the traffic science community include the Cellular Automaton (CA) model [115, 178], the *Krauß* car following model [92, 93], and the IDM/MOBIL model [181, 182].

Each of these approaches has its particular advantages and drawbacks as the basis of road traffic simulations. At the same time, their computational efficiency varies widely: from very efficient models like the *Krauß* model all the way to highly complex models like the *Wiedemann* model [193]. Yet, the accuracy of many of these models was evaluated in [20], which concluded with the recommendation to just “take the simplest model for a particular application, because complex models likely will not produce better results”. Essentially this means that, as far as network performance metrics are concerned, all common microsimulation approaches are of equal value as a source for vehicle positions. It should be noted, however, that there are differences in the aptitude of models for deriving traffic metrics, as will be shown in the following.

2.2.1 The Krauß Model

The *Krauß* model [92] is named after its creator, Stefan Krauß, and can be categorized as a collision-free, space-continuous, discrete-time, single-lane car following model. It is geared towards simplicity and efficiency. Vehicles in the model are characterized by their maximum speed v_{\max} , acceleration a and deceleration b , by the reaction time of drivers τ , as well as a *dawdle* time ϵ to model driver imperfections. Typical values of these parameters are given in Table 2.1.

At each time step, the model takes into account the gap g to the leader (i.e., to the vehicle immediately in front) as well as the speeds v_l and v_f of the leader and follower, respectively. From these parameters, the model derives the *desired gap* $g_{\text{des}} = \tau v_l$ to the leader, the average velocity $\bar{v} = (v_l + v_f)/2$ of leader and follower, and the *time scale* $\tau_b = \bar{v}/b$. Equation (2.1) details how, based on these values, the *Krauß* model is able to derive for the next time step a *safe velocity* v_{safe} that will

ensure the system is free of collisions and, then, a desired velocity v_{des} that will further respect all external, physical constraints.

In order to introduce an element of randomness that is crucial to the model's ability to reproduce realistic traffic patterns [92], in each step v is not immediately set to v_{des} , but instead to a value that is $\eta = \text{rand}[0, \epsilon a]$ less, with η following a uniform random distribution.

$$\begin{aligned} v_{\text{safe}} &= v_l + \frac{g - g_{\text{des}}}{\tau_b + \tau} \\ v_{\text{des}} &= \min \{v_{\text{max}}; v + a\Delta t; v_{\text{safe}}\} \\ v(t + \Delta t) &= \max \{0; v_{\text{des}} - \eta\} \end{aligned} \quad (2.1)$$

While this simplicity makes the Krauß model efficient to compute, the model has several drawbacks when applied to IVC research in general and – because of its stochastic nature⁴ – to evaluations of Traffic Information System (TIS) applications in particular:

First, Krauß could identify cases where the model will not reproduce realistic jamming dynamics. Secondly, the influence of individual parameters, in particular of η , is hard to grasp, further hindering the reproducibility of real-world traffic scenarios. In fact, Krauß himself remarked that “One may feel uncomfortable with the fact that the whole structure of the model properties depends on artificial fluctuations that cannot be justified from real car following behavior” [92]. Finally, the source of randomness η – which is crucial to the model – causes continuous, small, and instantaneous changes of v when accelerating and, thus, causes sharp spikes in vehicles' acceleration profiles. This makes it hard to derive traffic metrics which depend on speed or acceleration (cf. Section 3.2.1).

2.2.2 The Intelligent-Driver Model (IDM)

The IDM [182] can be categorized as a collision-free, space-continuous, continuous-time, single-lane car following model. It is geared towards realistic simulation of congested traffic states and was designed to reproduce effects like traffic instabilities or hysteresis effects that simpler and faster models like the Gipps [57, 58] or Krauß models were lacking [182]. Furthermore, even though the overall flow characteristics are identical to those of simpler models, it yields more realistic acceleration/deceleration profiles, even when applied in a time-discrete form.

Based on a small set of intuitive parameters, which is reproduced in Table 2.2, the IDM calculates the acceleration of a particular vehicle at a given time by continuously

⁴It should be noted that Stefan Krauß also proposed a deterministic variant of his model that could alleviate some of these concerns. In this model, g_{des} is a function of v_l , eliminating the need for η . However, Krauß also remarked that this model's validity is limited and, therefore, should not receive further consideration [92].

Parameter	Typical value
Desired velocity v_0	120 km/h
Safe time headway T	1.6 s
Maximum acceleration a	0.73 m/s ²
Desired deceleration b	1.67 m/s ²
Acceleration exponent δ	4
Jam distance s_0	2 m
Jam distance s_1	0 m

Table 2.2 – Typical values of *IDM* model parameters according to its original publication [182].

balancing two effects: a vehicle's tendency to accelerate up to its desired velocity v_0 and a vehicle's wish to keep a safe distance s^* to vehicles in front. These factors are used to determine the vehicle's acceleration \dot{v} as given in Equation (2.2), where values Δv and s denote the difference in speed and the gap to a vehicle in front, respectively. It should further be noted the parameter s_1 is indeed commonly set to zero.

$$s^* = s_0 + s_1 \sqrt{\frac{v}{v_0}} + vT + \frac{v\Delta v}{2\sqrt{ab}}$$

$$\dot{v} = a \left(1 - \left(\frac{v}{v_0} \right)^\delta - \left(\frac{s^*}{s} \right)^2 \right) \quad (2.2)$$

The IDM car following model is commonly supplemented by a generic model which governs the lateral mobility of cars, termed Minimizing Overall Braking Induced by Lane-changes (MOBIL) [181]. In MOBIL, two criteria have to be fulfilled for a vehicle to change lanes: first, the lane change should be safe, i.e., the next vehicle driving on the target lane should not need to brake with a deceleration of more than b_{save} .

Secondly, the lane change should be beneficial. For this calculation, a vehicle considers its own \dot{v} , as well as those of the vehicles following immediately behind on the same and target lanes. Using a politeness factor p to weight the other two vehicles' \dot{v} it then compares the sum of all considered \dot{v} before and after a potential lane change. If this change is greater than a configured threshold a_{thr} , the lane change is executed.

2.2.3 The SUMO Simulation Environment

Today, a huge number of simulation environments exist which can generate trace files of vehicles moving according to one of the many available microsimulation models. Common commercial tools include *FARSI* by DaimlerChrysler, *CORSIM* by the U.S. DoT FHWA Center for Microcomputers in Transportation, *Paramics* by Quadstone,

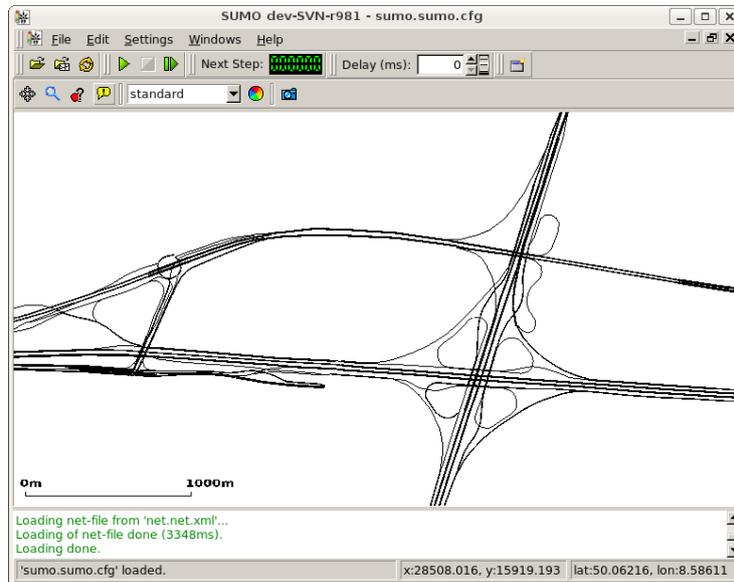


Figure 2.5 – Screenshot of the optional graphical user interface to the *SUMO* microscopic road traffic simulation environment.

and *VISSIM* by PTV AG. In the interest of comparability of research results, however, it is evidently more beneficial to use readily available Free and Open Source Software simulation environments. In this section, we briefly introduce the most popular of those, the Simulation of Urban Mobility (SUMO) [91] microscopic road traffic simulation environment.

Developed by the German Aerospace Center *Institute for Transportation Research* with support from the University of Cologne *Centre for Applied Computer Sciences*, this simulator is in widespread use in the research community, which makes it easy to compare results from different simulations. SUMO is Free and Open Source Software licensed under the *GNU General Public License (version 2 or later)*, is highly portable, and allows high-performance simulations of multi-modal traffic in city-scale networks: the user documentation claims a memory footprint allowing for 10 000 roads per network and a runtime performance allowing for 100 000 time steps per second and GHz. Simulations in SUMO can be run both with and without the OpenGL-based GUI depicted in Figure 2.5 which allows for direct interaction with a running simulation.

In order to afford accurate simulations of a large number of vehicles, SUMO was designed to incorporate an adaptation of the microscopic longitudinal and lateral vehicle mobility model described by Krauß (cf. Section 2.2.1). More recently, SUMO has also adopted our preliminary work [111] on integrating the IDM (cf. Section 2.2.2) as an alternative mobility model. The parameterization of vehicles can be

freely chosen with each vehicle following a statically assigned route, a dynamically generated route, or driving according to a configured timetable.

Traffic flows can be assigned manually, computed based on demand data, or generated completely at random by iteratively applying the Dynamic User Assignment (DUA) algorithm [54] to derive a stable distribution of flows that result in optimal use of the road network. Each road in SUMO can consist of multiple lanes, each of which can be restricted to be usable only by certain vehicle classes. Individual lanes can have any shape and can be interconnected with junctions, with inter-junction traffic being regulated by simple right-of-way rules, by fixed-program traffic lights, or by demand-actuated traffic lights.

Generating such complex networks by hand, while supported by SUMO, can hardly be recommended for setting up realistic simulations. Instead, the simulation environment supports the automatic import of road networks and demand data from a wide range of sources, and can determine missing values by means of heuristics. Among the supported data formats for importing road networks (and, optionally, traffic demand data) are standard formats like *ArcView* and more recent U.S. Census Bureau *TIGER* shape data, but also map formats of PTV *VISSIM/VISUM* and the *Robocup Rescue League*.

2.2.4 The OpenStreetMap Geodata Base

As the degree of realism of a road traffic simulation hinges on the level of detail afforded by the input data, one has to be careful to pick a high quality data source. On the other hand, most of the data that is of a high enough quality to be a viable basis for road traffic simulation can only be obtained under very restrictive licenses, severely hindering the re-use and exchange of experiment data. It can thus be argued that it is necessary to strike a balance between map data quality and unrestricted availability. SUMO was therefore extended to support the import of geodata from *OpenStreetMap*.

OpenStreetMap (OSM) [63] is both the name of a project and the foundation supporting it, which aims to collect and provide freely available geodata – most notably geodata related to street maps. The project was founded in 2004, had approx. 1000 contributors in 2006, 10 000 contributors in 2007, and has 350 000 contributors at the time of writing.⁵ Its database contains approx. 2.2×10^9 individual GPS points and 80×10^6 ways.

Content obtained from OpenStreetMap is licensed under the *Creative Commons Attribution Share-Alike version 2.0* (CC-BY-SA) license⁶ and can thus be freely re-used, modified, and shared.

⁵<http://wiki.openstreetmap.org/wiki/Statistics>

⁶The project is currently considering adopting the Open Database License 1.0 (ODbL) instead.

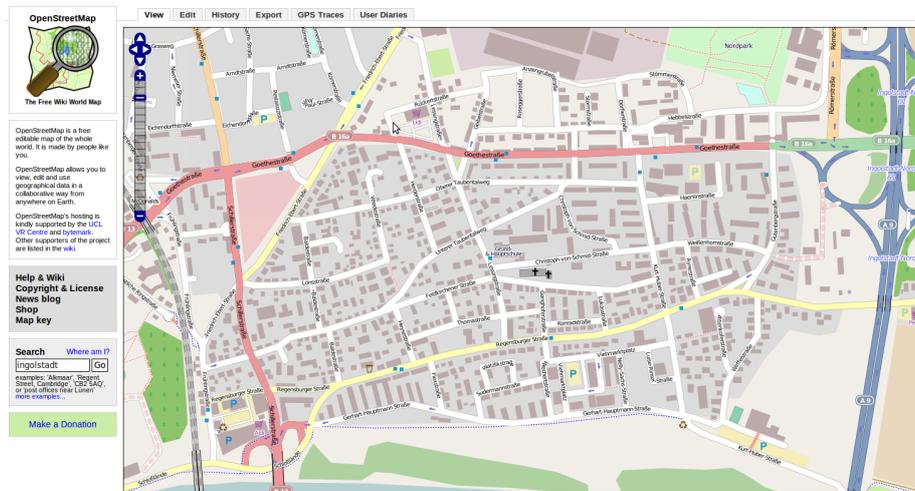


Figure 2.6 – OpenStreetMap web frontend showing a section of the city of Ingolstadt, Germany.

The OpenStreetMap project proposes a two-step approach to collecting new geodata: first, collected GPS traces can be uploaded by contributors and made available online. Based on these, on publicly available orthophotos, or on local knowledge, contributors can then upload new (revisions of) geodata. In addition to this manual process, OpenStreetMap integrates data from a variety of sources, such as the freely available U.S. Census Bureau *TIGER* shape data, or donations by *AND Automotive Navigation Data Netherlands* and from the Canadian Council on Geomatics *GeoBase* initiative.

Geodata in OpenStreetMap is composed of three basic primitives, each of which contains at least the version number, user name, and timestamp of their last modification.⁷

Nodes are the basic elements of an OSM map. They describe single points on a map, defined by their latitude and longitude based on WGS 84 coordinates.

Ways describe linear features or areas, composed of nodes. They can thus be seen as representing a graph $G = (V, E)$ with $V = (v_1, v_2, \dots, v_n)$ being nodes and $2 \leq |V| \leq 2000$. Ways can be closed ($v_1 = v_n$) or open ($v_1 \neq v_n$).

Relations are available since October 2007 and describe groups of data primitives and express a relation between them, as well as their role. A straight-forward example would be a relation between ways forming a route. Another application scenario is the modeling of boundaries consisting of closed ways.

⁷The change history of data is publicly retained, so it can be maintained in a Wiki-like fashion.

```
1 <?xml version="1.0" encoding="utf-8"?>
2 <osm version="0.6" generator="OpenStreetMap server">
3   <node id="1" lat="11.5000074" lon="9.1580008"/>
4   <node id="2" lat="11.5000059" lon="9.1580053"/>
5   <node id="3" lat="11.5000055" lon="9.1580040">
6     <tag k="barrier" v="gate"/>
7   </node>
8   <way id="27">
9     <nd ref="1"/>
10    <nd ref="2"/>
11    <nd ref="3"/>
12    <tag k="highway" v="residential"/>
13    <tag k="name" v="Maple Street"/>
14    <tag k="maxspeed" v="50"/>
15    <tag k="lanes" v="1"/>
16  </way>
17 </osm>
```

Listing 2.4 – Sample OpenStreetMap XML data dump encoding information about three nodes interconnected by a way.

The semantics of data primitives are based on *tags* assigned to instances, which take the form of key=value pairs. Both are arbitrary text, the only technical restriction on their content being that no two tags assigned to a single data primitive may have the same key. Thus, the OpenStreetMap data format is very flexible and can store almost anything that can be expressed using nodes, ways, and relations.

There exists, however, a set of agreed-upon and well-defined tags, mostly geared towards representing map features,⁸ e.g., the `amenity=telephone` tag for nodes, the `highway=primary` tag for ways, and the `route=bus` tag for relationships. The OpenStreetMap web frontend, reproduced in Figure 2.6, is thus capable of displaying such appropriately-tagged stored geodata in the form of a detailed 2D map, including, for example, streets, buildings, parks, railroads, and rivers. It can also serve as a frontend for editing data or for exporting it to an XML-based format, an example of which is reproduced in Listing 2.4.

⁸http://wiki.openstreetmap.org/wiki/Map_Features

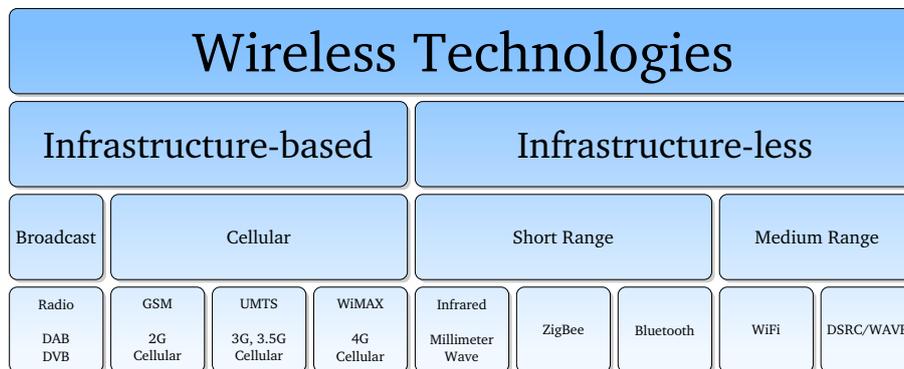


Figure 2.7 – Taxonomy of wireless communication technologies.

2.3 Wireless Communication

Car-to-X communication systems can be based on any of a tremendous variety of different wireless communication technologies, both on established state of the art protocols and systems, as well as on emerging ones. Figure 2.7 gives a taxonomy of these technologies, based on whether they are designed to operate in an infrastructure-based or infrastructure-less fashion, as well as based on their intended form of deployment.

In general, the applicability of each of these technologies is strongly dependent on the particular deployment scenario [33]. Work has therefore been undertaken in the CVIS project and associated standardization has taken place in the ISO TC204 Working Group 16 to create a unified architecture named Communications Access for Land mobiles (CALM) [73] (formerly *continuous air interface, long and medium range*), which is illustrated in Figure 2.8.

This architecture is envisioned to allow applications to transparently communicate using the best (for varying definitions of *best*) of any wireless communication technology that is available to a vehicle at any given moment – as well as to seamlessly migrate to different technologies if and when they become available.

Two very different wireless communication technologies constitute two major pillars of support for the CALM system and are consistently recommended as a basis for both safety and comfort applications, respectively.

First, the Wireless Access in Vehicular Environments (WAVE) series of standards, which is based on IEEE 802.11p. Secondly, cellular communication based on, e.g., the Universal Mobile Telecommunications System (UMTS). In the following, we present a brief overview of these candidates, as well as highlight their particular benefits and drawbacks.

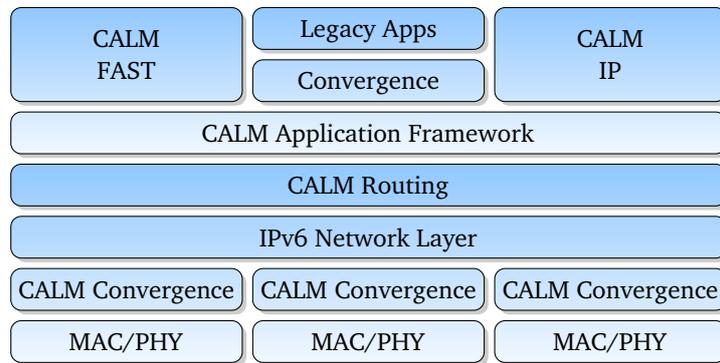


Figure 2.8 – CALM architecture overview based on [73].

2.3.1 IEEE 802.11p and WAVE

Efforts to develop a short-range wireless communication standard targeted at vehicular deployment were jump-started in 1999 by the aforementioned United States Federal Communications Commission (U.S. FCC) reservation of 75 MHz in the 5.9 GHz region for this specific use, termed the U.S. Dedicated Short Range Communications (DSRC) band. Work on physical and MAC layer protocols operating on these channels started one year later, in the context of an ASTM working group [9]. The resulting protocol draft was largely based on the IEEE 802.11a standard. Starting in 2004, this work was therefore re-shaped into an IEEE 802.11 amendment, to be designated IEEE 802.11p [67].

The developed physical and MAC layer protocols are designed to form the basis of a complete vehicular networking stack to be specified in the IEEE 1609 family of protocols called Wireless Access in Vehicular Environments (WAVE) [69–72]. As illustrated in Figure 2.9 it encompasses security, management, and QoS mechanisms in addition to the adapted MAC and physical layers [78, 183].

The WAVE stack is therefore able to alleviate or even eliminate several shortcomings that keep traditional WiFi technology from operating well in vehicular deployments:

- transmission range and speed suffer from multi-path propagation effects and Doppler shift,
- stations cannot simultaneously operate in infrastructure and ad hoc mode,
- associating with a base station takes a comparatively long time,
- no integral mechanisms for security in a distributed system are supported, and
- no integral mechanisms for Quality of Service (QoS) are mandated.

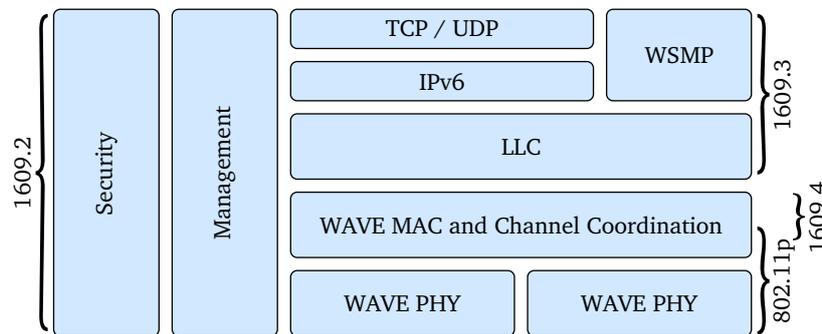


Figure 2.9 – Overview of the WAVE stack and associated standards based on [78, 183].

On the physical layer, these issues are addressed by basing IEEE 802.11p on the Orthogonal Frequency Division Multiplexing (OFDM) mode well-known from IEEE 802.11a operation, but adapting it to vehicular environments – the main difference in operation (besides more stringent transmission masks and receiver performance requirements) being that the channel width is commonly chosen to be 10 MHz instead of the usual 20 MHz, which can easily be accomplished by doubling all timing parameters [78].

Based on this value, the 75 MHz of the U.S. DSRC band are envisioned to be used by allocating seven separate 10 MHz channels for data exchange, as illustrated in Figure 2.10, and similar efforts are underway in Europe and Japan [47].

The center channel is designated the *Control Channel (CCH)*: its use is restricted to the exchange of control and safety messages. Four channels surrounding the CCH are designated *Service Channels (SCHs)*: after coordinating their use on the CCH, stations may transmit on any of these channels to exchange non-safety messages. Two more channels located at the top and bottom ends of the spectrum remain reserved for special use.

On the link layer, many of the shortcomings of traditional WiFi technology are addressed by allowing stations to operate in a special *WAVE mode* [67]. This allows any station to address packets to a wildcard Basic Service Set (BSS), causing receiving stations to process these packets regardless of the BSS with which they are currently associated.

Similarly, the traditional BSS setup protocol was complemented by stations' ability to join a WAVE Basic Service Set (WBSS). Other than the process of forming or joining a regular BSS, forming or joining a WBSS does not entail any data exchange: this decision is made locally and merely made known to the radio stack's lower layers, followed by the optional periodic broadcast of *On-Demand Beacons* advertising the WBSS. This also has the side effect of eliminating the traditional split between hosts and access points.

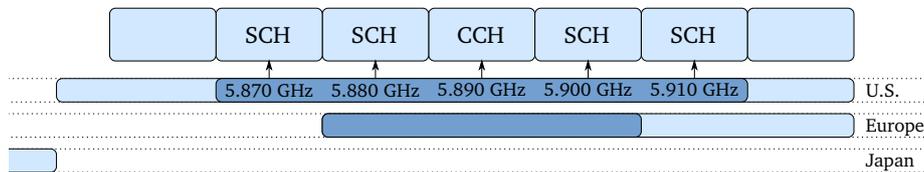


Figure 2.10 – Spectrum available in the U.S. DSRC band for use as Control Channel (CCH) and general-purpose Service Channels (SCHs). Below: Current and future spectrum allocated for ITS use in Europe and Japan; based on [47,78].

QoS requirements of vehicular networks are met by two mechanisms: first, as mentioned, a WAVE system employs multiple channels for data transmission, the use of which is coordinated via beacons on the CCH. As stations are not mandated to be equipped with multiple transceivers, it needs to be ensured that such beacons are only sent while all stations are guaranteed to be tuned to the CCH – otherwise they might miss control or safety messages.

This is achieved by defining CCH intervals: for (by default) any first 50 ms of a 100 ms slot (synchronized via, e.g., the GPS time signal) a station needs to tune to the CCH to listen for management and safety messages.

As a second mechanism of enforcing QoS, WAVE mandates the use of an Enhanced Distributed Channel Access (EDCA) mechanism for coordinating channel access, in a similar fashion to the mechanism described in IEEE 802.11e.

According to this mechanism, each regular data transmission will be assigned an Access Class (AC), influencing its contention window, transmission opportunity limit, and (most importantly) the Arbitrary Inter-Frame Spacing (AIFS) to delay channel accesses by, making it more likely that higher-priority services will be able to access the channel.

Higher-layer additions of WAVE to the traditional IEEE 802.11 stack include the introduction of the WAVE Short Message Protocol (WSMP) [72], a very lightweight protocol for the exchange of small single-frame messages geared towards deployment in WAVE systems, as well as provisions for transmit power management, dynamic frequency selection, and security [71].

Based on these mechanisms the WAVE stack can provide an integrated solution to wireless communication requirements of Car-to-X systems that is loosely based on well-established consumer WiFi technology, albeit only at short ranges. This means that any system operating purely on a basis of WAVE communication will be dependent on sufficient penetration rates of either equipped vehicles or supporting infrastructure.

2.3.2 UMTS

A convenient way of avoiding the need for deployment of additional infrastructure for supporting Car-to-X communication is offered by cellular networks. A suitable system of cellular networks that is already in widespread use is the Universal Mobile Telecommunications System (UMTS), a third-generation (3G) mobile telecommunications technology.

UMTS is being developed and standardized by the Third Generation Partnership Project (3GPP), an organization of telecommunications standards bodies like the European Telecommunications Standards Institute (ETSI) or the Alliance for Telecommunications Industry Solutions (ATIS).

Technically, the name UMTS refers to a set of standards, rather than a single one. To give an example, the UMTS air interface, called Universal Terrestrial Radio Access (UTRA). This interface can take the form of either a Frequency Division Duplex (FDD) system for separating mobile station (uplink) and base station (downlink) traffic and wideband Code Division Multiple Access (CDMA) for further channelization, or it can employ one of several forms based on Time Division Duplex (TDD).

As UMTS is an actively evolving system, new features are continuously integrated. A series of numbered UMTS *Releases* describes which features are present in a particular version of UMTS. Examples of such are *UMTS Release R99*, the first release of third generation specifications, or *UMTS Release Rel-4*, which adds – among other features – the Multimedia Messaging Service (MMS).

UMTS data transmission is based on the concept of *transport channels* which are further mapped onto *physical channels* to be multiplexed to form a data stream. In the following, we shortly describe three of these channels which are of particular interest for Car-to-X communication [166].

Dedicated Channel (DCH) Data in a UMTS system will typically be transported via a DCH, a channel that exists both in the uplink and the downlink. A DCH needs to be established for each communicating mobile device prior to use and takes up dedicated resources to guarantee collision freeness.

Correct operation of the CDMA mechanism further requires closed-loop power control to be performed between mobile equipment and base station: based on the continuous exchange of management data, mobile devices keep adjusting their transmit power to balance received signal strength and amount of interference caused.

This means that each DCH requires considerable network resources to be maintained.

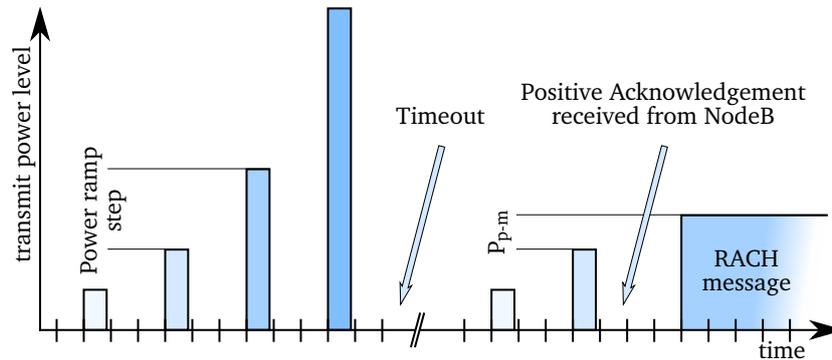


Figure 2.11 – Access procedure on the UMTS RACH: one failed, one successful attempt [166].

Random Access Channel (RACH) The RACH is an uplink transport channel, shared among all devices, that can be used by mobile terminals to request the establishment of a dedicated channel. It can, however, also be used to transmit small amounts of user data.

Figure 2.11 illustrates the procedure necessary for coordinating access to the RACH and for determining the transmit power level to be used for sending data. RACH preambles are sent with increasing transmit power as long as no positive acknowledgment is received from the NodeB (i.e., the base station) or until the predefined maximum number of preambles is reached. In the latter case, the physical layer access procedure terminates unsuccessfully, but another set of preambles can be sent when signaled from the MAC layer.

As a slotted aloha scheme is used to access the air interface, preambles can only be transmitted at fixed points in time, called access slots in UMTS. Two radio frames (20 ms) consist of 15 access slots, which amounts to $\frac{4}{3}$ ms per access slot. The access service class for a given service defines the set of sub-channels that is assigned to it. The sub-channel defines the concrete locations of the access slots that a service is allowed to transmit in. Note that one access service class can contain more than one sub-channel, thus reducing the time between two consecutive access attempts [3].

No closed loop power control is performed for RACH transmissions, meaning that, while no additional management overhead is incurred by RACH transmissions, the access procedure must be repeated after each short burst of data to guarantee that the mobile equipment's transmit power level is still adequate. Further, the access procedure employed by the RACH can cause high levels of interference in certain load situations.

Forward Access Channel (FACH) The UMTS Forward Access Channel (FACH) is a downlink transport channel shared among all devices. Messages that are sent by the NodeB using the FACH can be received by all mobile stations in a cell. It can thus be used for multicast transmission of messages, via the UMTS Multimedia Broadcast Multicast Service (MBMS) mechanism, if supported by the network.

As the time slots for FACH transmissions are managed by the base station, no further coordination of channel access needs to take place. However, direct use of the FACH to reach a single mobile device requires prior knowledge about the location of the device.

In most densely populated areas (i.e., likely target markets), such UMTS networks have already reached sufficient penetration rates for uninterrupted coverage. Together with the inherent property of cellular networks, the transmission delay being largely independent from the distance between communicating nodes, this makes them a salient candidate for transporting Car-to-X communication data from and to a Traffic Information Center (TIC).

Nonetheless, delays in a cellular network will never be as low as those reachable in short-range radio communication networks like WAVE [192]. From the description of the mechanisms available in UMTS it is also evident that high data load, in particular when focusing on low delay, incurs substantial cost in terms of network resources. Moreover, as the current infrastructure deployment is naturally geared towards the current target market (i.e., city cores), it is unlikely that this deployment can easily support as many users trying to concurrently access the system on outlying highways. Finally, it needs to be noted that multi-operator interoperation issues of UMTS-based Car-to-X communication systems are still largely unexplored.

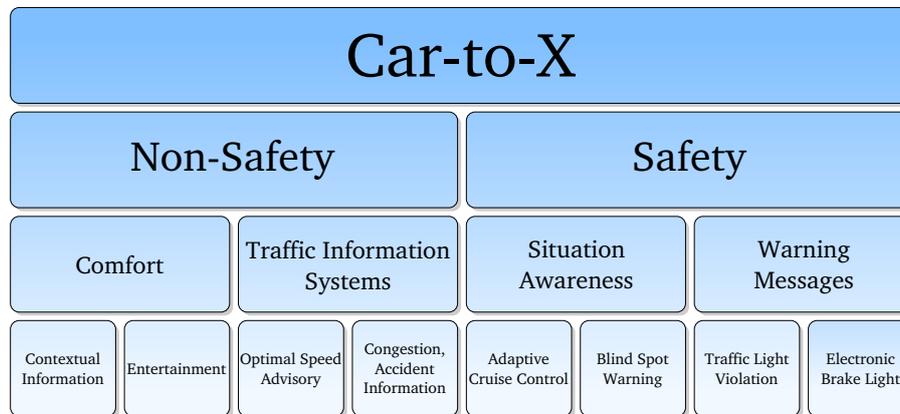


Figure 2.12 – Taxonomy of IVC applications.

2.4 Paradigms and Protocols

Based on the presented wireless communication technologies – and coupled with the availability of affordable hardware powerful enough to support it – a whole range of possible IVC applications appear to be within reach [194].

In general, this wealth of applications can be distinguished by whether a particular system is geared towards keeping drivers informed and/or entertained or towards directly increasing the safety of drivers [195], as illustrated in Figure 2.12.

Safety applications (e.g., systems supporting situation awareness or disseminating warning messages), will typically need to send comparatively few and small messages, but those need to be delivered under very strict latency and reliability constraints.

Non-Safety applications (e.g., comfort applications and traffic information systems), on the other hand, typically deal with much larger data volumes, albeit under comparatively lenient latency and reliability constraints.

As a general trend, this divide between safety and non-safety related applications can be seen reflected in a divide between single hop and multi hop applications. Single hop wireless communication protocols are focusing more on safety applications [105], while multihop protocols still dominate in the areas of traffic congestion avoidance and dedicated information systems [198]. Further, with the advent of 3G+ communication systems, comfort applications can easily be realized using direct one-to-one connections between a vehicle and a base station. Thus, in the following, we focus on distributed Traffic Information System (TIS) applications, where system-level challenges like efficient multi-hop operation and scalability as well as disconnected operation are still very prevalent.

In this domain, a huge number of protocols have been proposed in the last decade and this trend is continuing. In the following, we therefore give a brief overview over current approaches by presenting examples based on three very different paradigms: ad hoc routing (Section 2.4.1), flooding (Section 2.4.2), and beaconing (Section 2.4.3).

2.4.1 Ad Hoc Routing: The DYMO Protocol

Numerous approaches to information dissemination in Car-to-X communication systems have applied MANET routing techniques for establishing a Vehicular Ad Hoc Network (VANET) in its strongest sense, i.e., they applied ideas from MANET research for establishing networks of vehicles [118, 147, 188]. For the provision of TIS services, such ad hoc networks can then be connected (via dedicated infrastructure) to a TIC or to the Internet.

Research on MANETs addresses a wide range of objectives [114, 132]; thus, a wide range of protocols have been proposed in the last decade [6, 65, 74]. In general, these routing protocols can be differentiated according to their method of acquiring and distributing routing information [40].

Three basic classes are commonly identified: proactive, reactive, and hybrid protocols [114]. The basic characteristics of these classes are described in the following.

Proactive routing protocols rely on the periodic exchange of topology information.

All such protocols essentially always maintain up-to-date routing information, which can then be used to forward data packets through the network towards their destination. Depending on the specific protocol and the degree of mobility, periodic routing updates can require a remarkable amount of network overhead for state (topology) maintenance.

The best known example of a table-driven ad hoc routing protocol is the Destination Sequenced Distance Vector (DSDV) protocol [127].

Reactive routing protocols have been introduced to prevent the periodic exchange of routing information, which may consume an essential amount of the available network resources. The core idea of reactive routing is to only search for paths through the network if data packets need to be transmitted.

The first protocol of this class was developed back in 1994: the Dynamic Source Routing (DSR) protocol [79]. One of the more current approaches and probably the one best known in the ad hoc networking community is the Ad Hoc on Demand Distance Vector (AODV) protocol [128].

Hybrid routing protocols try to exploit the specific advantages of proactive routing protocols (i.e., fast delivery of packets as routing information is always available) and reactive routing protocols (i.e., reduced cost in terms of network overhead for state maintenance).

A typical example is the Zone Routing Protocol (ZRP) [62]. ZRP distinguishes between a local neighborhood called the *routing zone*, for which routing information is maintained proactively, and all destinations beyond the routing zone, for which routes are acquired on demand.

Standardization of MANET routing protocols is the focus of the Internet Engineering Task Force (IETF) MANET working group, which aims to propose one candidate protocol each from the domains of proactive and reactive routing based on a *Generalized MANET Packet/Message Format* [30]. When considering applications in VANET scenarios that require multi-hop communication, delay appears to be less of an issue compared to the overhead of maintaining full topology information under high mobility. Thus, we are focusing on the MANET working group's reactive routing protocol candidate, called Dynamic MANET On Demand (DYMO) [24].

As the working group is chartered to re-use mature components from previous work on experimental protocols, DYMO builds heavily upon experience with previous approaches to reactive routing, especially with the routing protocol AODV.

DYMO is able to set up and maintain unicast routes in IPv4 and IPv6 network scenarios by using the following mechanism:

1. In order to discover a new route to a peer, a node transmits a Route Request (RREQ) to all nodes in range. This can be achieved by sending the message to a designated link-local multicast address that is associated with all MANET routers. When an intermediate node receives such an RREQ, it takes note of previously appended information, deducing routes to all nodes the message previously passed through. The node then appends information about itself and passes the message on to all nearby nodes. This way, the RREQ is effectively flooded through the MANET and, after a potentially large number of hops, eventually reaches its destination.
2. The destination responds to the received RREQ by sending a Route Reply (RREP) via unicast back to the last hop it received the RREQ from. As with the propagation of an RREQ, this node again appends information about itself and takes note of all routing information contained in the RREP. With the help of the routing information previously obtained while forwarding the corresponding RREQ, the intermediate node is able to send the RREP further back to the start of the chain, until it eventually reaches the originating node. This node will now know a route to the requested destination, as well as routes to all intermediate nodes, and vice versa.

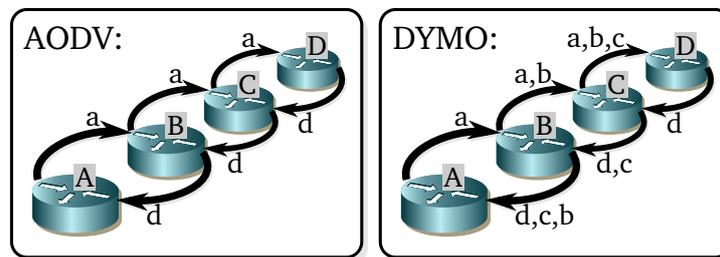


Figure 2.13 – Routing information dissemination in AODV and DYMO.

DYMO retains proven mechanisms of previously explored routing protocols like the use of sequence numbers to enforce loop freedom or allowing intermediate nodes to generate RREPs on behalf of an RREQ's destination if a suitable route was already stored. However, it aims at a somewhat simpler design, helping to lower the nodes' system requirements and simplify the protocol implementation. At the same time, DYMO provides enhanced features, such as natively supporting MANET-Internet gatewaying and implementing path accumulation as follows.

Besides route information about a requested target, a node will also receive information about all intermediate nodes of a newly discovered path. Therein lies a major difference between both protocols: AODV only generates route table entries for the destination node and the next hop node, while DYMO stores routes for each intermediate hop. This is illustrated in Figure 2.13. When using AODV, node A knows only the routes to B and D after the route request is satisfied. In DYMO, the node additionally knows a route to node C.

To efficiently deal with highly dynamic scenarios, links on known routes may be actively monitored, e.g., by using the MANET Neighborhood Discovery Protocol (NHDP) [29] or by examining feedback obtained from the data link layer. An implementation may also choose to not actively monitor links, but instead to simply drop inactive routes. Detected link failures are made known to the MANET by sending an RERR to all nodes in range, informing them of all routes that now became unavailable. Should this Route Error (RERR) in turn invalidate any routes known to these nodes, they will again inform all their neighbors by multicasting an RERR containing the routes concerned, thus effectively flooding information about a link failure through the MANET.

DYMO is thus a salient candidate for lightweight topology maintenance in mobile networks, performing routing tasks to support message exchange. However, while multipath extensions have been designed and investigated in order to improve the throughput in MANETs [53], by its nature, DYMO is not geared towards wide-area message dissemination, but rather towards maintaining point to point connectivity.

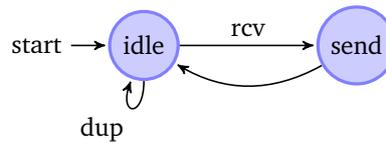


Figure 2.14 – Concept of a *simple flooding* scheme for information dissemination in MANETs.

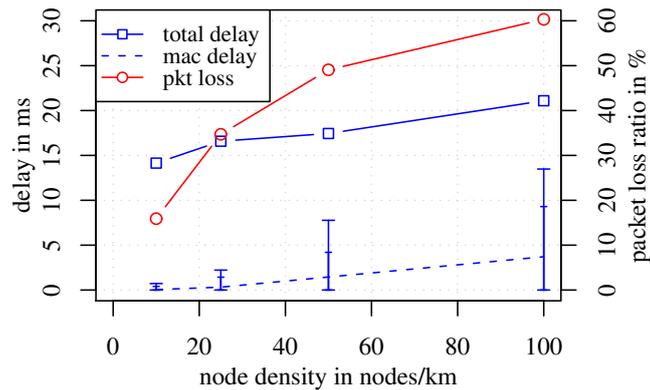


Figure 2.15 – Impact of the broadcast storm problem on network performance; based on results presented in a study of flooding on a 10 km four lane highway reported in [177].

2.4.2 Flooding: The DV-CAST Protocol

Flooding of data, also known as multi-hop broadcast, addresses the topic of wide-area message dissemination in mobile networks. A very simple and straightforward mechanism that works in a similar fashion to the flooding of RREQ packets in DYMO is illustrated in Figure 2.14. When a node receives a packet for the first time (labeled *rcv* in the figure), it is immediately re-broadcast. When a node receives a duplicate packet (labeled *dup*), the packet is consumed without any action.

However, this mechanism ensures little more than that the broadcast will eventually die out. In particular, this flooding technique broadcasts that many more packets than would be required to ensure 100% dissemination that its effects are commonly termed a *broadcast storm* [89, 119, 177]. Because these superfluous transmissions all compete with each other and with unrelated data transmissions, packet delay, access delay, and packet loss rise sharply – both for the flooding application and for other applications in the network (cf. Figure 2.15). More sophisticated approaches to flooding thus implement additional measures to not re-broadcast every packet received, but to selectively suppress broadcasts.

One such mechanism is based on a simple estimation of the distance between current node i and message sender j , i.e., it does not require the exchange of neighborhood information or the maintenance of topology [199].

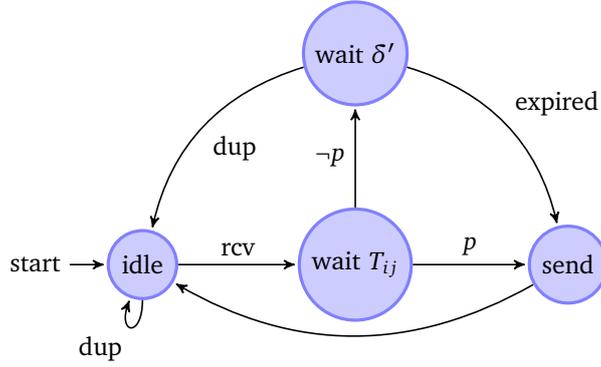


Figure 2.16 – Concept of a family of distance based schemes for broadcast suppression.

This distance is expressed as $0 \leq \varrho_{ij} \leq 1$, i.e., in relation to a maximum distance between nodes. Among the approaches that can be used for estimating ϱ_{ij} are calculations based on the calculated distance D_{ij} to the GPS position of the sending node or on the measured Received Signal Strength (RSS) value of a received transmission, e.g., in the fashion outlined in Equations (2.3) and (2.4), respectively.

$$\varrho_{ij} = \begin{cases} 0 & \text{if } D_{ij} < 0 \\ \frac{D_{ij}}{R} & \text{if } 0 \leq D_{ij} < R \text{ (approx. transmission radius)} \\ 1 & \text{otherwise} \end{cases} \quad (2.3)$$

$$\varrho_{ij} = \begin{cases} 0 & \text{if } RSS_x < RSS_{\min} \\ \frac{RSS_{\max} - RSS_x}{RSS_{\max} - RSS_{\min}} & \text{if } RSS_{\min} \leq RSS_x < RSS_{\max} \\ 1 & \text{otherwise} \end{cases} \quad (2.4)$$

Based on either of these metrics, the following approach (illustrated in Figure 2.16) is used. When receiving a packet for the first time, a node will first wait for a short interval T_{ij} . After this interval has elapsed, it will then re-broadcast the packet, but only with a certain probability p . In the event that it chooses not to re-broadcast the packet, it will wait an additional interval δ' (chosen so that $\forall T_{ij}: \delta' > T_{ij}$) to check that it overhears at least one re-broadcast by another node, thus preventing message die-out. This approach could thus be categorized as broadcast suppression based on waiting and overhearing; in addition it favors larger per hop distances over smaller ones.

Three different schemes for choosing T_{ij} and p are given in [199]. *Weighted p-persistence* uses a pre-configured value T_{ij} and chooses $p = \min\{\varrho_{ij}\}$ based on all duplicates received during waiting. *Slotted p-persistence* and *slotted 1-persistence* use a fixed forwarding probability p (or $p = 1$, respectively) and choose $T_{ij} = \tau \times \lceil N_s (1 - \varrho_{ij}) \rceil$ based on a configured time step τ and number of slots N_s .

All flooding schemes, however, have one common weakness: if parts of the network are temporarily disconnected, pure flooding approaches will never be able to reach them.

Therefore, the concept of flooding has been combined with Delay/Disruption Tolerant Network (DTN) approaches to form hybrid flooding schemes. The most recent of such advanced schemes, which perform *flooding* in well-connected networks and perform *store-carry-forward* when the network is disconnected, is called DV-CAST [179].

To achieve the desired effect, DV-CAST mandates that nodes periodically broadcast short *Hello* beacons containing GPS information. Each node then stores information on received *Hello* beacons in three distinct tables: one table stores neighbors driving in the same direction and in front of the current node, one stores neighbors driving in the same direction and behind the current node, and one table stores neighbors driving in the opposite direction.

Based on these tables, a node can then decide for each received message whether it is more beneficial to perform flooding, or whether the message needs to be buffered before it can be re-broadcast. DV-CAST also handles the special case of what the authors call a *sparsely connected* network, i.e., one where only nodes on the opposite lane can be reached. In these cases, it can exert further control about handing off responsibility between the opposing and the same lane.

In order to achieve this functionality, the following algorithm is applied for relaying a message:

Totally disconnected conditions are assumed if no neighbor can be found driving in either direction. In this case store-carry-forward is performed until new neighbors can be found driving in either direction.

Sparsely connected conditions are assumed if only a neighbor driving in the opposite direction can be found. In this case responsibility for the message is immediately transferred to this neighbor, which will then have to ensure either the delivery of the message to its destination or back to a vehicle driving in the original direction.

Well connected conditions are assumed if a neighbor can be found driving in the same direction behind the current node and the message is supposed to be forwarded to the back, or vice versa. In this case broadcast suppression according to one of the described algorithms is performed.

This means that, although DV-CAST will temporarily buffer some messages, it will never permanently store any information, thus focusing more on the exchange of short-lived events, where message dissemination is likely to be a one shot operation.

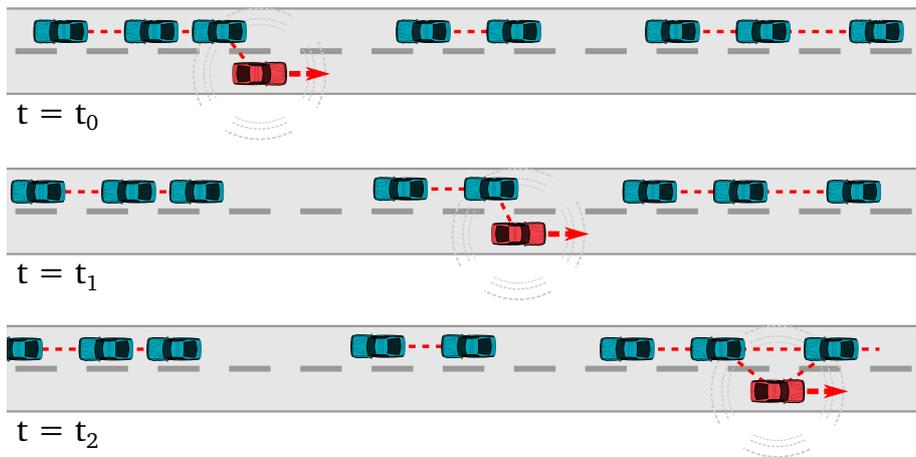


Figure 2.17 – Message dissemination via beaconing, as envisioned in SOTIS.

2.4.3 Beaconing: The SOTIS Protocol

Other than the aforementioned protocols, the Self-Organizing Traffic Information System (SOTIS) [197] is designed for the dissemination of information (pertaining, e.g., to current traffic conditions), rather than atomic events.

This is accomplished by each car keeping a local knowledge base which integrates both locally-generated sensor data and data received from other participating cars. Cars will then periodically send a broadcast packet that contains part of the local knowledge base, termed a *beacon*. This way, knowledge (about, e.g., road conditions) will be gradually disseminated among participating cars, even if the network sporadically becomes disconnected, as illustrated in Figure 2.17: as even isolated clusters of cars will infrequently receive beacons transmitted, e.g., by vehicles driving in the opposite lane, information dissemination is not stopped, but merely slowed down in these cases. Based on the accumulated contents of their local knowledge bases, cars will still be able to perform road traffic analysis or warn the user about oncoming hazards.

As the default beacon interval is envisioned to be on the order of seconds (the authors give 5 s as an example), there exists a separate mechanism that is able to transmit emergency messages for immediate transmission (and re-integration into the knowledge bases of receiving cars). For this, it is assumed that the MAC layer protocol guarantees immediate access to the channel, e.g., by always reserving some capacity for emergency reports.

In order to conserve network resources, each car stores and transmits information pertaining not to specific points, but to small segments of roads. Each car determines the length of a segment (and, thus, the granularity of information) dependent on its distance to the segment. As cars are envisioned to be equipped with compatible

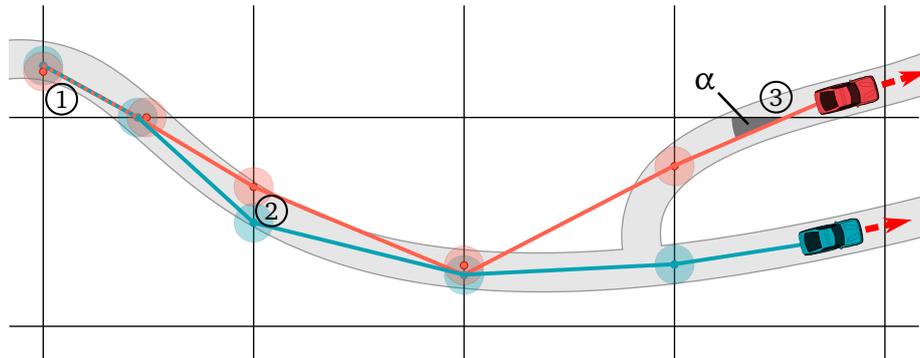


Figure 2.18 – On-the-fly generation of road maps for SOTIS.

digital maps, they are able to assign a unique identifier to each road. Together with segment based addressing, this road identifier allows for a space efficient encoding.

If (compatible) maps cannot be assumed to be available in each participating car, the authors later proposed an extension [196] to SOTIS, which was evaluated [45] and adapted for use in the ongoing sim^{TD} [174] project. This extension enables cars to generate road maps on the fly based on GPS information, as well as to exchange information about them in a highly efficient manner via Car-to-X communication. This process is based on a reference grid of virtual lines spaced D_{grid} apart, as illustrated in Figure 2.18. Each time a vehicle crosses a grid line (cf. ① in the figure), it generates a *Geo-Reference Point* at the location of intersection. In order to ward against the case of creating spurious points caused by positioning errors, no point is created when crossing a line at an angle smaller than a pre-configured α (cf. ③ in the figure). In a second step, sequences of points are assembled into one *Geo-Reference List* each; based on these lists an approximate digital map can be derived and exchanged between cars. Creating and storing duplicate points is warded against based on a simple distance metric: if a new point is closer than a pre-configured position inaccuracy D_{acc} to an existing one (and the associated list runs in a compatible direction), the new point is assumed to be referring to the same point and the existing one is used instead. While this process cannot guarantee matching map data if positioning errors are unexpectedly high or lanes are unexpectedly wide (cf. ② in the figure), it comes reasonably close: in a simulation experiment [196] authors claimed a mean deviation of generated map data by approximately half the Root Mean Square Error (RMSE) in position estimation.

A Car-to-X communication system based on this (extended) SOTIS approach is thus able to operate without deployment of infrastructure on the road and without digital maps on the vehicles (much less the deployment of identical ones). Information can be shared using a highly efficient data encoding based on road segments and no information is lost during sporadic network disconnections.

This makes SOTIS a salient candidate for information dissemination with multiple possible avenues for future improvements:

Incorporation of infrastructure: Information dissemination becomes noticeably slower when market penetration of the system is still low, as the authors remark. An approach that could incorporate available infrastructure in addition to being able to operate in a completely infrastructure-less fashion would help bridge this gap.

Adaptivity to scenarios: The authors of SOTIS note that it might be favorable to determine the beacon interval in an adaptive fashion, rather than using a fixed schedule for the dissemination of information. An approach that could take into account indications of past, present, and future channel use, as well as the usefulness of information to the network as a whole, would help achieve the fastest possible dissemination rates without ever overloading the channel.

Medium sharing: The MAC layer access protocol that SOTIS is deployed on is required to be able to guarantee immediate access to the channel, so that the medium can be shared between both comfort oriented and safety relevant messages. It is noted that this can easily be achieved by always reserving some capacity for emergency reports, yet this static allocation means that a substantial portion of channel capacity is wasted. An approach that could incorporate safety relevant messages to be transmitted over the same protocol, as well as coexist with other and future protocols would allow for a more efficient use of the channel capacity, while making sure that low delay transmission of safety messages can be achieved.

We address these questions in Chapter 4 and demonstrate how to fill this gap without sacrificing scalability or performance, both in purely ad hoc scenarios and in infrastructure-assisted settings. Before we do so, however, we introduce in Chapter 3 our methodology for assessing such metrics of Car-to-X communication systems.

Chapter 3

Simulating Car-to-X Communications

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In the previous chapter we explained why performance evaluation of Car-to-X communication protocols, such as wireless communication, multihop routing, and application-aided broadcast, is typically performed in network simulation environments. We also showed how the mobility models that are integrated in common network simulation frameworks are inadequate for the performance evaluation of Car-to-X communication systems because of their low degree of realism. We further demonstrated how movement trace data, generated using road traffic simulation frameworks which implement well-established vehicular mobility models, can be used to accurately model the movement of real cars in simulations.

However, in cases where, e.g., accident information, hazard warnings, or road congestion information are exchanged between vehicles – and where this information can be assumed to influence drivers' behavior – the reliance on trace data fails to capture realistic node movement: the major drawback of off-line mobility traces, both pre-generated ones and those obtained from real-world measurements, is that they can only model the influence of road traffic on network traffic, but not vice versa.

In this chapter, parts of which are based on our articles published in *IEEE Transactions on Mobile Computing* [162], *IEEE Communications Magazine* [156], and *GI Praxis der Informationsverarbeitung und Kommunikation* [157], as well as presented at conferences and workshops [42, 151, 154, 159, 164, 169, 170], we demonstrate how we helped bridge this gap and take simulative evaluation of Car-to-X communication systems one step further. We describe the observations that led us to the creation of our *Veins* simulation framework as well as its underlying components which we consider prerequisites for accurate performance evaluation of Car-to-X communication protocols.

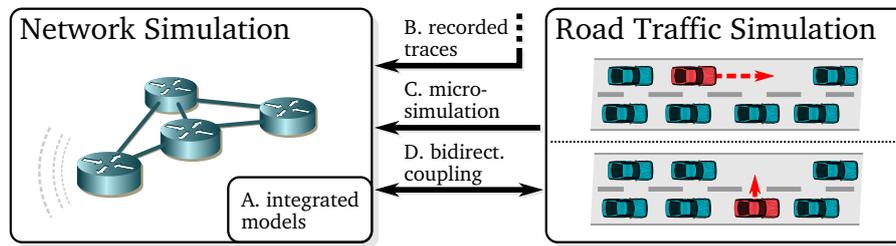


Figure 3.1 – Mobility modeling techniques for simulation of IVC protocols and applications.

3.1 The Veins Simulation Framework

Whenever events in a network simulation can potentially affect the mobility of vehicles, any approach based on trace data is unable to generate the required real-time interaction between mobility model and application model. For example, in vehicular safety applications, vehicles will generate alert messages to change the mobility patterns of other vehicles. Thus, the vehicles can be expected to change their speed or route according to the received information. In this case, the network simulation model and the mobility simulation model need to interact with each other in a real-time manner.

While there exist approaches, such as the NCTUns simulation environment [189], which allow integrated network and traffic simulation, those are often developed from scratch. Thus, the employed models of network and road traffic microsimulation are hard to validate against and compare with the manifold well-tested and proven models by experts from the respective fields available in common network and road traffic simulation frameworks.

It was therefore deemed beneficial to instead base simulations on a bidirectionally-coupled simulation environment that unifies existing frameworks from the network simulation and the road traffic simulation domains. Compared to approaches relying on generated trace data, the only drawback of such a simulator is that the results of the road traffic simulation cannot be re-used in the form of trace files, as in bidirectionally coupled simulations node mobility is typically influenced by network communication and, thus, has to be computed on-the-fly.

Figure 3.1 gives an architectural view of the presented mobility modeling techniques for Inter-Vehicle Communication (IVC) simulation. While random node movement is usually an integrated component of state-of-the-art network simulation tools, traces can only be obtained by external processes; either by collecting movement data in real world experiments, or by means of road traffic microsimulation tools. Finally, bidirectionally coupled simulation relies on intensive intercommunication of the different simulation tools using appropriate interfaces.

Building upon this and the conclusions drawn in Section 2.2, the benefits and drawbacks of different mobility modeling approaches can be summarized as follows:

Random Movement

- ⊕ Straightforward, intuitive
- ⊕ Readily available
- ⊖ Unrealistic topology dynamics
- ⊖ Potentially unstable

Real-World Traces

- ⊕ Most realistic node movement
- ⊕ Re-usable traces
- ⊖ Costly and time-consuming to generate
- ⊖ No free parameterization

Artificial Mobility Traces

- ⊕ Realistic node movement
- ⊕ Free parameterization
- ⊕ Re-usable traces
- ⊖ No feedback on driver behavior

Bidirectionally Coupled Simulators

- ⊕ Realistic node movement
- ⊕ Free parameterization
- ⊕ Feedback on driver behavior
- ⊖ No re-usable traces

This closely resembles the historical progression of common approaches to mobility modeling in IVC simulations: early work relied on relatively simple models using random node movement. Because such mobility models do not realistically reflect car movement on roads, more complex solutions have been developed based on real-world and artificial traces of car movement, leading to recent advances based on tightly coupled road traffic microsimulation and network simulation. In the following, we present our solution which follows exactly this approach.

3.1.1 Design Principles

We developed *Veins* (short for *Vehicles in Network Simulation*) to fill the need for a simulation framework that incorporates all of the benefits from using mature and well-established simulators of both the network simulation and the road traffic microsimulation domains.

Further, the source code of both frameworks needed to be publicly available, so that simulation results can easily be reproduced by interested researchers.

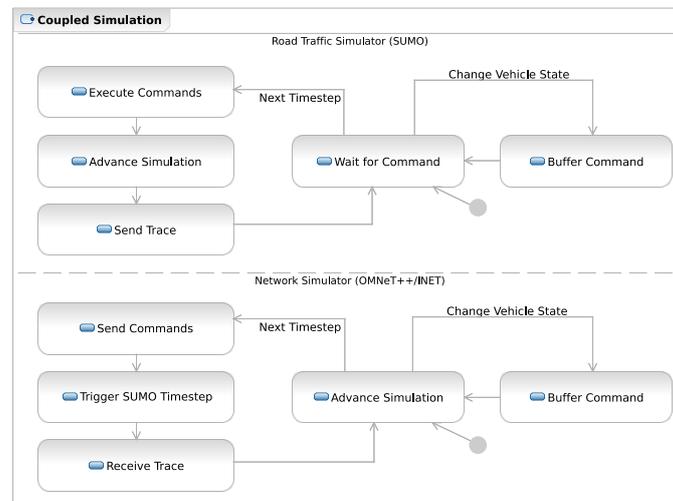


Figure 3.2 – State machines of network and road traffic simulation frameworks' coupling modules.

Serving as the basis of Veins are therefore the network simulation core and associated modules of OMNeT++, as well as the road traffic simulator Simulation of Urban Mobility (SUMO), the advantages of which have been outlined in Sections 2.1.1 and 2.2.3, respectively.

We achieved bidirectional coupling of both frameworks by extending each with a dedicated communication module. During simulation runs, these communication modules exchange commands, as well as mobility data, via a TCP connection.

OMNeT++ is an event-based simulator, so it handles mobility by scheduling node movements at regular intervals. This fits well with the approach of SUMO, which also advances simulation time in discrete steps. As can be seen in Figure 3.2, the control modules integrated with OMNeT++ and SUMO were able to buffer any commands arriving in-between the simulators' time steps to guarantee synchronous execution at defined intervals. At each time step, OMNeT++ would then send all buffered commands to SUMO and trigger the corresponding time step of the road traffic simulation. Upon completion of the road traffic simulation time step, SUMO would send a series of commands and the position of all instantiated vehicles back to OMNeT++. This allows the network simulation to react to the received mobility data by introducing new nodes, deleting nodes that had reached their destination, and moving nodes according to their road traffic simulation counterpart. After processing all received commands and moving all nodes according to the mobility information, OMNeT++ would then advance the simulation until the next scheduled time step, allowing nodes to react to altered environmental conditions and, thus, a Car-to-X communication system to influence node mobility.

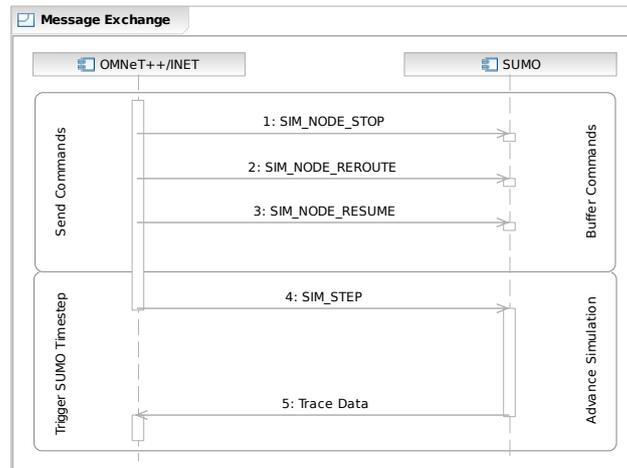


Figure 3.3 – Sequence diagram of messages exchanged between network and road traffic simulation frameworks’ coupling modules. Command execution is delayed until the next road traffic simulation time step is triggered.

Figure 3.3 shows the interaction between both simulators in the form of a message sequence chart. Using a simple request/response protocol, road traffic in SUMO can be influenced by OMNeT++ in a number of ways. Most importantly, time steps are generated to advance the simulation in SUMO. Furthermore, vehicles can be stopped to create artificial traffic jams, they can be resumed to resolve those jams, and each simulated vehicle can be individually rerouted around arbitrary road segments. This way, Veins accurately reflects how drivers who know about a traffic obstruction will try to avoid it. More recently, commands have been included to model vehicles slowing down (e.g., in front of hazards), as well as commands that allow vehicles and network components to interact with traffic lights.

Thus, two inter-dependent processes are running concurrently, namely the network simulator and the road traffic simulator. Both processes share data like position and speed of simulated vehicles, while other data like radio state and planned route is local to the network simulator or the road traffic simulator, respectively. Movement information updates about simulated vehicles are exchanged at regular intervals. This results in two alternating phases during bidirectionally-coupled simulation:

1. While the network simulation is running, it sends parameter changes to the road traffic simulation, e.g., altering driver behavior and influencing vehicles’ routing decisions. Simulation time advances only in the network simulator.
2. At regular intervals, the road traffic simulation performs traffic computations based on these new parameters and sends vehicle movement updates to the network simulation. Simulation time advances only in the road traffic simulator.

```

1 tsp 0
2 add host [0000] Car;i=car0_vs;r=0,,#707070,1
3 mov host [0000] 998.35 4995.00 0.00 0901
4 tsp 8
5 add host [0001] Car;i=car1_vs;r=0,,#707070,1
6 mov host [0001] 998.35 4976.32 6.74 0901
7 mov host [0000] 998.35 4943.28 9.83 0901
8 [...]
9 tsp 529
10 del host [0000]
11 mov host [0003] 3786.65 998.35 13.89 0404
12 mov host [0002] 3911.91 998.35 13.90 0404
13 mov host [0001] 3954.35 998.35 13.89 0404

```

Listing 3.1 – Excerpt of mobility data sent by an early version of the coupling interface to the road traffic simulator.

These phases are also evident from Figure 3.3: in the first phase, commands are sent to SUMO, in the second phase their execution is triggered and the resulting mobility data received. This way, both simulators are tightly coupled and SUMO is only able to perform a simulation step after all events within a time step have been processed in the network simulation. The network simulator advances the road traffic microsimulation only at fixed intervals.

This means that these intervals need to be sufficiently fine grained to obtain realistic results: as the network topology will typically never change in between two time steps in the mobility simulation, care must be taken to execute time steps at least as frequently as the network simulation can be expected to react to topology changes. From a performance point of view, this is not a problem because (when using a high performance road traffic simulation framework such as SUMO) the time spent for the computation of node mobility is negligible compared to that spent for the simulation of wireless networks.

Listing 3.1 shows a small sample of the command and mobility data stream used by an early version of the coupling interface to SUMO [202]. To guarantee synchronicity of both simulators, each time step is signaled by one `tsp` command containing the current simulation time. Using the `add` command, the road traffic simulation is able to introduce new vehicles entering the road traffic simulation, to be represented by an arbitrary OMNeT++ module. Similarly, the road traffic simulation is able to remove from the network simulation all vehicles that have reached their destination by issuing `del` commands. Mobility data is communicated by transmitting the current speed and position of all instantiated nodes as a series of `mov` commands, the position being expressed as both OMNeT++ simulation coordinates and SUMO lane identifier.

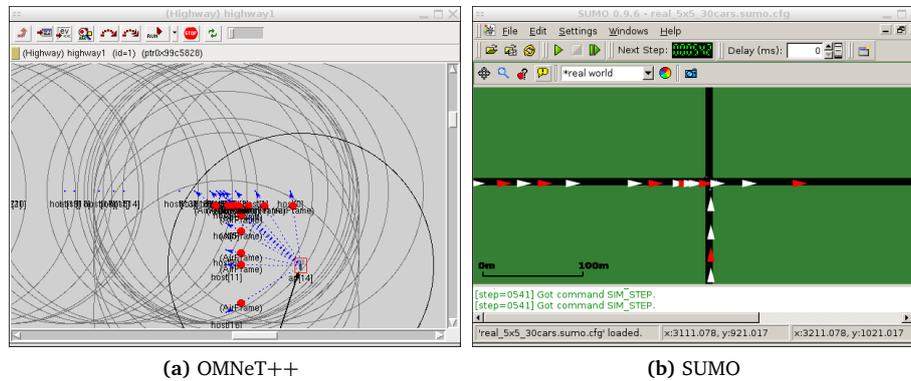


Figure 3.4 – Screenshots of simulators’ graphical user interfaces running network and road traffic simulations in parallel.

Later, this protocol was extended by a flexible subscription-based architecture: at any point during the simulation, the network simulator can send a command to declare its interest in the presence or absence of a particular type of objects in the road traffic simulation. As an example, at startup, it will typically declare its interest in the departure of new vehicles. As soon as a new vehicle starts driving in the road traffic simulation, the network simulator can then create an appropriate simulated network node. In a second step, it can then declare its interest in changes to certain parameters of these objects, also by sending a dedicated command. Issuing this command for each departed vehicle, for example, the network simulator can subscribe to changes in each vehicle’s position, angle, as well as its current and top speed. This concept can easily be applied to realize more complex use cases, e.g., for having only a certain part of the simulated vehicles participate in the network simulation, or for staying informed about traffic light status updates, too.

Figure 3.4 shows screenshots of the GUI versions of both simulators running a coupled simulation of IVC among traffic streams merging at an intersection. As can be seen, the nodes’ positions in OMNeT++, which are represented by small blue dots, are exactly the same as the vehicles’ positions in SUMO, which are represented by colored triangles. The OMNeT++ screenshot also shows an active radio broadcast from a Roadside Unit (RSU) to all neighboring cars.

Veins thus provides a fine-grained control interface between both simulation domains. This not only supports the active exchange of control and statistics data but also the real-time interaction between the network simulation and the road traffic microsimulation as well as interaction with a running simulation and collection of simulation results on the fly.

Similar efforts have been undertaken by other researchers – for example, SUMO has also been integrated with ns-2, resulting in the TraNS hybrid simulation frame-

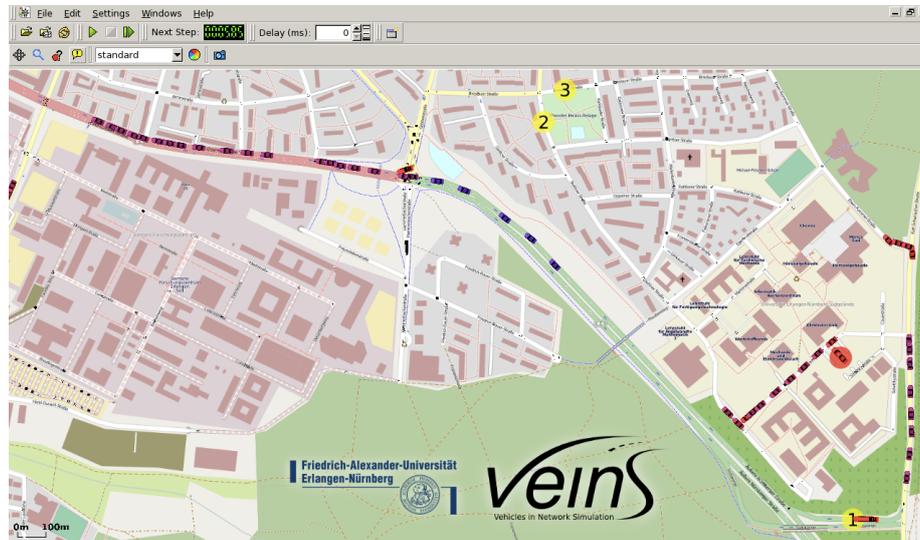


Figure 3.5 – Screenshot of the running simulative prototype. A contiguous stream of vehicles, leaving the campus and heading to a business park, is interrupted by a traffic obstruction at point ①. Dynamic re-routing has started.

work [130] – so more recent efforts aim at establishing a common interface for coupling any road traffic microsimulation with network simulations. The presented approach has therefore been integrated together with other approaches into a generalized traffic control interface, TraCI [190], which is based on the same command-response approach. Using TraCI, clients of a road traffic simulation can rely on the same, flexible subscription-based system to receive information about events in the mobility model and on an event-triggered system for sending commands to the running mobility simulation. Aside from its use in Veins and TraNS there are ongoing implementation efforts to integrate this interface for the coupling of the SUMO and AnSim mobility simulators with the network simulation toolkits Shawn and JiST/SWANS.

Because of the flexibility afforded by the presented approach, Veins can be further extended to support communication models based on UML [37, 154] or external hardware for influencing the simulation. As an example we demonstrated the impact of a dynamic vehicle re-routing mechanism that is based on real-time traffic [161]. Here, traffic flows could be controlled and traffic obstructions could be introduced interactively, making it possible to study both the positive and the negative effects of a specific Traffic Information System (TIS) on road traffic (a screenshot of this simulation is reproduced in Figure 3.5). Thus, while Veins still affords the static evaluation of protocols with respect to almost all common metrics, it also allows researchers to intuitively grasp their benefits and shortcomings by interacting in real time with the protocols under consideration.

3.1.2 Parameter Studies and Simulation Control

A straightforward way of performing bidirectionally coupled simulations using Veins is to first start a road traffic simulation in SUMO, waiting for a TraCI client to connect. In a second step, after SUMO has started, the OMNeT++ simulation can be launched, which will then be able to connect to the running SUMO simulator.

However, performance studies of Car-to-X protocols will routinely involve parameter studies using several runs. Moreover, for a simulation to yield meaningful results, a single simulation run has to be repeated several times using independent random numbers for each replication [124].

Finally, to gain confidence that the implemented simulation program does indeed represent the system which was intended to be modeled with sufficient accuracy, the simulation has to be validated. In the vast majority of cases, a proof that the simulation model works as intended is too costly to acquire. Instead, evaluations and tests are performed to increase the confidence in the model's correctness. These evaluations should be continued until a sufficient level of confidence has been achieved.

Several validation methods have been discussed in the literature (see, for example, [141]). In the following, we list some of the methods which are particularly relevant to simulation models of network protocols [153].

Animation of a simulation yields invaluable information about protocol behavior on a microscopic level, such as message contents, sources, destinations, and protocol states.

It is, however, not a substitute for examining the macroscopic behavior via, e.g., statistical analysis of results [96].

Testing extreme conditions and degenerate cases like jammed conditions on the road and on the medium, or misbehaving devices, routinely reveals flaws in the model.

Face validity can be ensured by asking system experts whether the model itself, its execution, and/or its output behavior seems reasonable.

Operational graphics display the values of performance measures in a time series (either during or after a simulation run). This can be used to find leads for further investigations of model behavior or to evaluate the suitability of output metrics.

Parameter variability and sensitivity analysis consists of systematically varying the simulation's input parameters and analyzing how the output reacts to the variations.

```
1 <?xml version="1.0"?>
2 <launch>
3   <basedir path="/srv/veins/erlangen7/" />
4   <seed value="42" />
5   <copy file="erlangen7.net.xml" />
6   <copy file="erlangen7.rou.xml" />
7   <copy file="erlangen7.sumo.cfg" type="config" />
8 </launch>
```

Listing 3.2 – Sample launch configuration for *sumo-launchd*.

All of these methods are well supported by OMNeT++ and, thus, can be applied to any simulation set up with Veins. Still, a means for automated and performant execution of the potentially large number of required simulations is highly desirable. In order to shorten the overall run time of a simulation study, it is further desirable to make use of modern machines' multi-core architecture.

OMNeT++ does, in fact, support Parallel Discrete Event Simulation (PDES) by allowing for an automatic segmentation of a model into separate parts at runtime and, then, for its concurrent simulation using multiple processes communicating via files, named pipes, or a Message Passing Interface (MPI). Yet, by basing parallelization on an MPI approach, OMNeT++ places very restrictive limitations on how parts of a PDES may communicate as well as on topology dynamics. Moreover, the parallel execution of a model incurs a substantial management overhead.

Therefore, and in the light of a large number of simulation runs, it is evidently more beneficial to choose a Multiple Replications In Parallel (MRIP) [125] approach to parallelized simulation execution. Here, each individual simulation run is executed on a single core, but (as the name implies) multiple runs are executed simultaneously. This means that the parallel execution of simulations incurs no performance penalties for the coordination of distributed fragments of each simulation; the overall speed with which simulative studies are performed can scale very well with the number of parallel instances. Further, the model does not suffer from any restrictions imposed for ensuring PDES capability.

Both OMNeT++ and SUMO can be executed in a *headless* fashion, i.e., simulations can run without a GUI, which supports this paradigm well. However, care must be taken to launch exactly one SUMO instance acting as a mobility data server per OMNeT++ simulation, to allocate a separate TCP port for each instance, and to keep the log outputs of running instances separate.

In Veins, these tasks are therefore performed by our *sumo-launchd*, a small proxy application envisioned to be running as a daemon (i.e., a background service process) on each machine of a simulation cluster. It accepts TCP connections by simulations, each of which is expected to send a small XML snippet (cf. Listing 3.2)

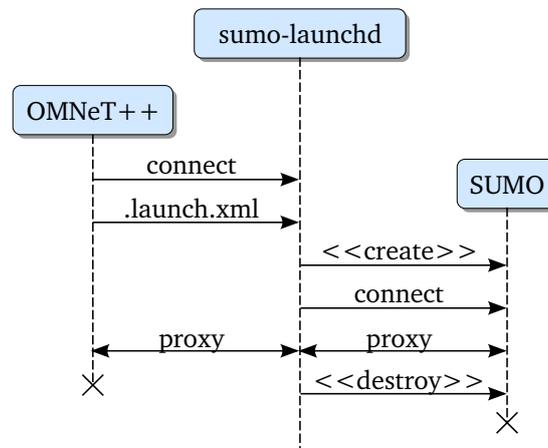


Figure 3.6 – Lifecycle management functionality of *sumo-launchd*.

containing parameters of the simulation to set up as well as a description of the required files. For each connected instance of OMNeT++ it then creates a small temporary environment containing all of the required files and prepares an instance of SUMO listening on a free TCP port. It then acts as a proxy, relaying commands to the running dedicated instance of SUMO and sending command replies as well as mobility data back to the connected OMNeT++ instance, as illustrated in Figure 3.6.

This way, simulations can be configured and run by a batch process, in a completely autonomous fashion; each running instance of OMNeT++ is supported by a dedicated instance of SUMO automatically.

Preparing simulations for batch execution was also a prerequisite for enabling simulation control using the *Akaroa2* simulation manager [50, 172], which exploits the fact that in steady-state simulations, results from multiple runs that are being executed in parallel can be correlated on the fly using automated sequential analysis. In *Akaroa2*-controlled simulations, a single manager process governs simulation execution. It launches a number of simulations in an MRIP fashion, each using an independent stream of pseudo random numbers for non-deterministic events. Periodically, simulation engines report the state of selected variables back to the manager process, which correlates these observations and evaluates the mean value of variables, the confidence, and the precision, taking care to discard observations made before a run reached its steady state. All simulation runs are terminated when a sufficient number of observations have been made, i.e., when the observed metrics can be determined with a pre-configured confidence.

Taken together, these mechanisms such allow running comprehensive simulative studies with, at the same time, minimal intervention and high confidence in the statistical soundness of generated results.

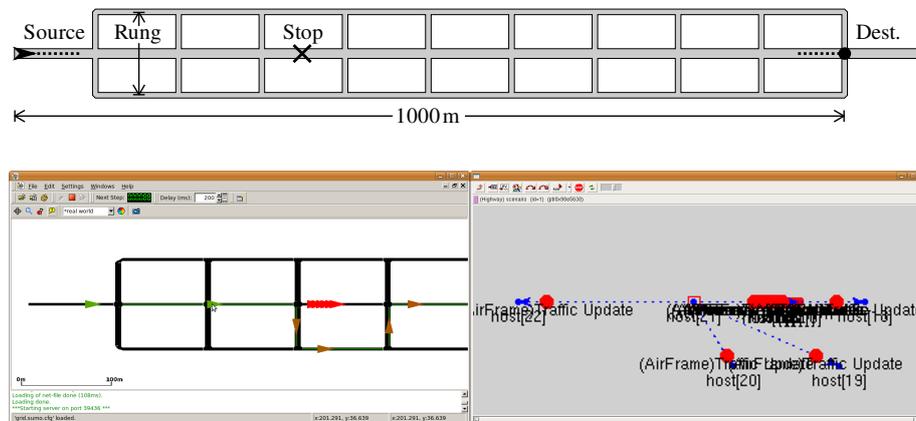


Figure 3.7 – Baseline scenario used in the evaluation of performance metrics: a single trunk road is connected to two slower parallel roads by a number of rungs. Concept and representations in the network and road traffic simulators.

3.2 Performance Metrics

In this section we analyze the necessity of using multiple metrics for the simulative performance evaluation of Intelligent Transportation Systems (ITS) [163, 164]. Besides the classical metrics such as the travel time of vehicles and their average speed, it is necessary to evaluate the environmental impact (which is strongly related to the “smoothness” of the trip) as a key metric. A baseline scenario which illustrates this aspect nicely is depicted in Figure 3.7. In this scenario a single trunk road is connected to two slower parallel roads by a number of rungs. We show that, in some cases, all these metrics are leading to similar results, but, in other cases, to quite diverging effects.

Looking at the metrics that can be measured in common simulation environments, first, all the network-related metrics like network load, packet loss, MAC layer collisions, and delays can directly be examined using traditional network simulation tools. Furthermore, ITS related metrics like travel time, speed, and acceleration can easily be observed, either directly in road traffic microsimulation tools or in the coupled network simulation tools.

Much less common is the ability to estimate the simulated traffic’s environmental impact, e.g., estimating the gas consumption or the CO₂ emission from vehicles’ mass, speed, and acceleration. Previous work on estimating the environmental impact of road traffic management through simulations [31, 60, 117] is often based directly or indirectly on the 1985 report by Bowyer, Akcelik and Biggs [18].

In the following, we show how we perform such calculations using more recent findings and evaluate the impact of IVC in this respect. First, we illustrate how we

extended our Veins simulation framework to estimate environmental metrics along with IVC related measures in a holistic way (Section 3.2.1). We then present the simulation model and setup used for evaluations (Section 3.2.2). Finally, we show that the environmental impact of ITS might be negative even if the travel time is improved and, thus, the environmental impact is a key metric to be considered in the design of IVC protocols and ITS (Section 3.2.3).

3.2.1 Estimating the Environmental Impact

In order to estimate the gas consumption and the CO₂ emissions of simulated vehicles, we integrated the EMIT model [22] of vehicle emissions into the OMNeT++ side of our Veins simulation framework. EMIT calculates emissions depending on vehicle speed and acceleration, taking into account vehicle characteristics such as total mass, engine, and installed catalytic converter.

The EMIT model has been calibrated for a wide range of different emissions, viz. CO₂, CO, hydrocarbon (HC), and nitrous oxide (NO_x), and thus calculates for both accelerating and decelerating vehicles very precisely the emissions after passing through a catalytic converter, which is assumed to have reached operating temperature. We are using this model first and foremost to estimate the vehicles' CO₂ emissions, which scale close to linearly with fuel rate. For other emissions, EMIT applies additional pre-conditions and piecewise-linearly fitted correction factors to account for enrichment conditions and chemical effects in the catalytic converter.

For all calculations, first, the tractive power requirement at a vehicle's wheels P_{tract} is determined using the polynomial shown in Equation (3.1).

$$P_{\text{tract}} = Av + Bv^2 + Cv^3 + Mav + Mgv \sin \vartheta \quad (3.1)$$

Based on the tractive power requirement, the gas consumption can then be estimated and, consequently, tailpipe emissions of CO₂ can be calculated according to the following second polynomial:

$$TP_{\text{CO}_2} = \begin{cases} \alpha + \beta v + \delta v^3 + \zeta av & \text{if } P_{\text{tract}} > 0 \\ \alpha' & \text{else} \end{cases} \quad (3.2)$$

Because road grade is not currently modeled in SUMO, P_{tract} calculations in Veins assume planar roads and, hence, $\vartheta = 0$. Values of α to ζ , A to C , and M were fitted to match what authors termed a *category 9 vehicle*,⁹ e.g., a '94 Dodge Spirit. For this parameterization, which is shown in Table 3.1, authors report an error in CO₂ emission calculations of approx. 2.2%.

⁹<http://hdl.handle.net/1721.1/1675>

factor	value	unit
v vehicle speed		m/s
a vehicle acceleration		m/s ²
A rolling resistance	0.1326	kW s/m
B speed correction to rolling resistance	2.7384×10^{-3}	kW s ² /m ²
C air drag resistance	1.0843×10^{-3}	kW s ³ /m ³
M vehicle mass	1.3250×10^3	kg
g gravitational constant	9.81	m/s ²
ϑ road grade	0	°
α	1.1100	g/s
β	0.0134	g/m
δ	1.9800×10^{-6}	g s ² /m ³
ζ	0.2410	g s ² /m ²
α'	0.9730	g/s

Table 3.1 – EMIT factors for the modeled class of vehicles.

3.2.2 Simulation Model and Setup

We suggested using multiple metrics in order to evaluate the performance of ITS approaches. In particular, the CO₂ emission and the travel time represent perfect candidate measures. As described before, the Veins simulation framework now supports determining the performance of a Car-to-X communication system in terms of both.

To analyze potential differences between them, we prepared an easy to understand (but still sufficiently realistic) scenario as shown in Figure 3.7. A single-lane trunk road with a speed limit of 27.78 m/s (100 km/h, 62.14 mph) is supported by two parallel streets with speed limits of 22.22 m/s (80 km/h, 49.71 mph), all connected in the form of a ladder.

We introduced an artificial incident, a vehicle stopping, on the trunk road and disallowed passing of vehicles. IVC takes place between the cars in order to inform each other about the blocked trunk road. If such a message successfully reaches a following car, it recalculates its path using one of the parallel streets if possible.

We then modified the length of the stop in order to evaluate the appropriateness of the route recalculation with regard to the two selected metrics. Furthermore, we changed the length of the detour by modifying the rung length. The used communication protocol employed a simple beaconing approach. Without loss of generality, this protocol serves well to demonstrate the behavior of the selected two metrics. Other protocols will definitely perform better in more sparsely or more densely populated scenarios. However, our main intention was to illustrate the differences in the behavior of the CO₂ emission and travel time when changing the stop times and costs for longer detours. The most important simulation parameters

Parameter	Value
# stops	1, 3
total stop length	30 s . . . 300 s
time between stops	14 s
# vehicles	101
vehicle insertion rate	0.2/s
rung length	100 m . . . 1000 m
# rungs	10
road length	1000 m
speed limits	27.78 m/s and 22.22 m/s
mobility model	Krau
max. speed	70 m/s
max. acceleration	3.00 m/s ²
max. deceleration	9.81 m/s ²
assumed length	5 m
dawdle time	0.5 s
channel bitrate	11 Mbit/s
approx. transmission radius	180 m

Table 3.2 – Simulation parameters used in the evaluation of performance metrics.

are summarized in Table 3.2. For each configuration, we executed five simulation runs. Each vehicle entering the system started with 100 % of its expected traveling speed. Each run was terminated after the last of the 101 cars left the system.

3.2.3 Comparison of Selected Metrics

Before we discuss the influence of the IVC system on the selected key metrics, the travel time and the environmental impact, we briefly analyze the impact of route choice on speed and acceleration of the cars. This behavior is obviously scenario dependent and directly impacts both metrics as both speed and acceleration are directly incorporated into the calculations of the EMIT model.

Figure 3.8 depicts the speed and acceleration of three selected cars, plotted for different times after entering the simulation. The lead vehicle stops after $\Delta t = 32$ s. Thus, it decelerates abruptly to $v = 0$ km/h. The following car is not able to receive an IVC message early enough to change its route and also has to stop, decelerating with approx. $a = -9$ m/s². The third vehicle shown in the figure is informed of the incident before passing the last trunk road exit. Thus, it is able to change its route to take a detour. It can be seen to start decelerating moderately, at a maximum of approx. $a = -5$ m/s², to change roads approx. 4 s before it would have reached the incident. Later it again decelerates, nearly to a stop, before entering the parallel

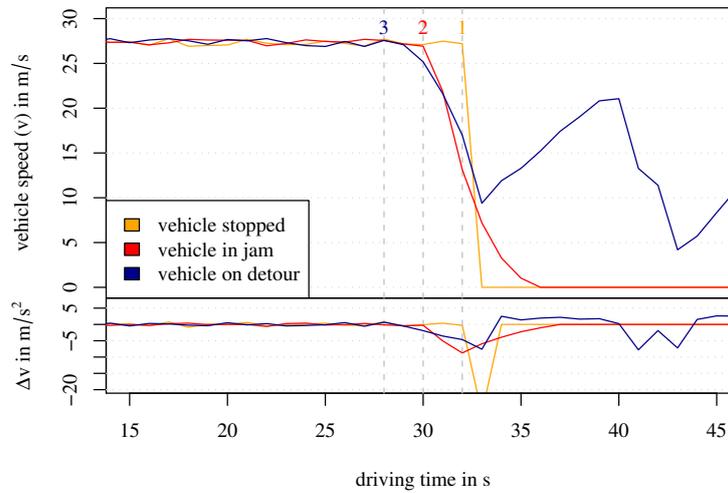


Figure 3.8 – Impact of route choice on vehicle speed and acceleration profile.

road. It can be expected that the travel time will be increased due to the detour and, correspondingly, the CO₂ emissions will be increased as well. Following these observations, we executed a number of experiments using the configuration listed in Table 3.2. The metrics discussed in the following are shown as boxplots. For each data set, a box is drawn from the first quartile to the third quartile, and the median is marked with a thick line. Whiskers extend from the edges of the box towards the minimum and maximum of the data set, but no further than 1.5 times the interquartile range. Data points outside the range of box and whiskers are considered outliers and drawn separately.

In a first set of experiments, we evaluated the influence of IVC in the case of a single accident. We varied both the stop time and the length of the possible detours. Figure 3.9 depicts the measurement results. As was to be expected, with IVC disabled rung lengths had almost no influence on metrics, as had stop times when IVC was enabled. Thus, a similar trend becomes visible in all the subfigures. For increasing stop times and/or decreasing rung lengths, the use of IVC improves the selected metric. If the stop is too short, the use of a detour will not be helpful. Thus, the travel time and the CO₂ emissions are only optimized by IVC for a certain minimum stop time as shown in Figures 3.9a and 3.9c. Similarly, for increasing rung lengths (Figures 3.9b and 3.9d), the overhead is increased with longer detours. Thus, both the travel time and the CO₂ emissions are growing with longer detours.

The main takeaway from the performed simulations, however, is not how the stop length and rung length influence the metrics, but that the break even point is different for both metrics: the travel time can quickly be optimized even for comparably short stop times. In contrast, the CO₂ emissions are suboptimal at this point. This effect can be explained by the additional gas consumption and CO₂

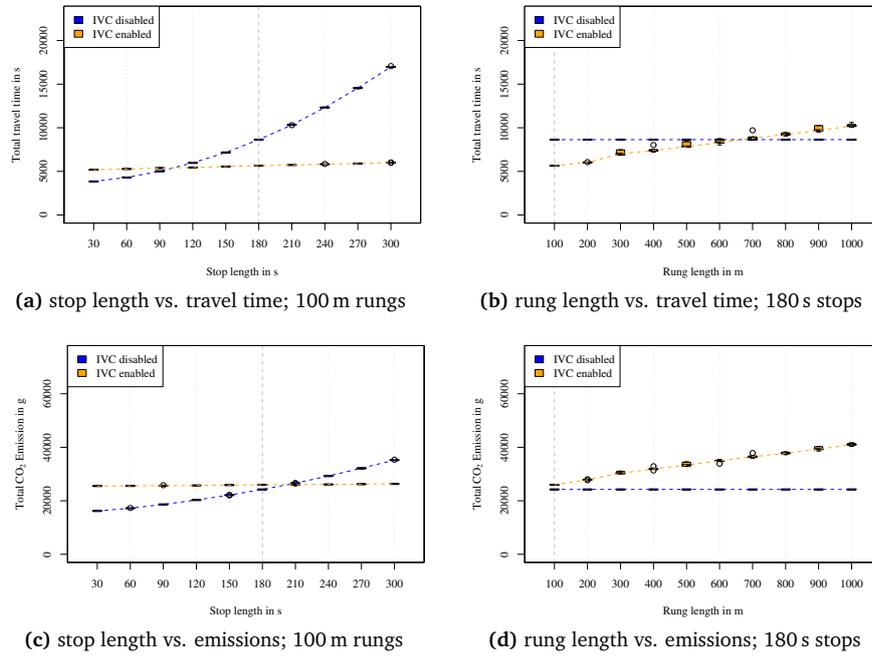


Figure 3.9 – Influence of stop time and rung length on travel time and CO₂ emissions; lead vehicle stops once.

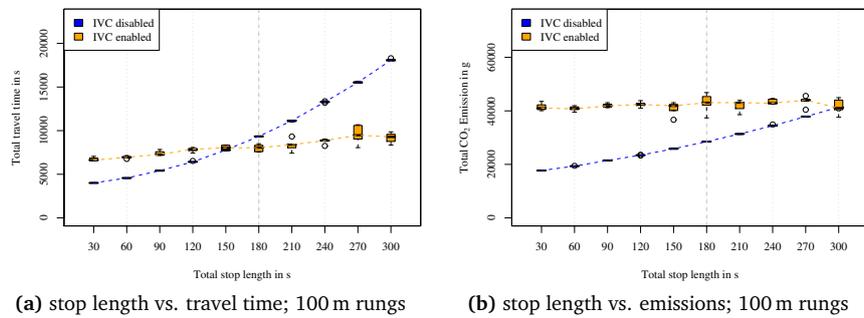


Figure 3.10 – Influence of stop time on travel time and CO₂ emissions; multiple stops.

emissions due to the additional accelerations. Detours only optimize the emissions for much longer stop times. Obviously, the CO₂ emissions could be lower if cars would always turn the engine off for each stop. However, without special hardware in the vehicle this is quite unrealistic for short stops.

Finally, we validated these findings by repeating all simulations for the case of multiple accidents. Indeed Figures 3.10a and 3.10b demonstrate the very same trends as in the case of single accidents, the only difference being that now the advantages of IVC do not become noticeable until quite long stop times.

3.2.4 Conclusion

We discussed the need for considering the environmental impact of ITS together with the typical “convenience” metric, the travel time, as well as other more network related metrics, that are obviously necessary for analyzing new IVC protocols.

Our Veins simulation framework is able to measure and to analyze several metrics related to the environmental impact including the gas consumption and resulting CO₂ emissions, along with more traditional ITS metrics.

To illustrate this need, we highlighted cases where these classes of metrics are conflicting. If only the travel time were to be used in the optimization process of ITS, emission metrics would frequently be suboptimal. Thus, much effort is needed with regard to intelligent navigation to optimize the traffic flows, e.g., in case of multiple obstacles.

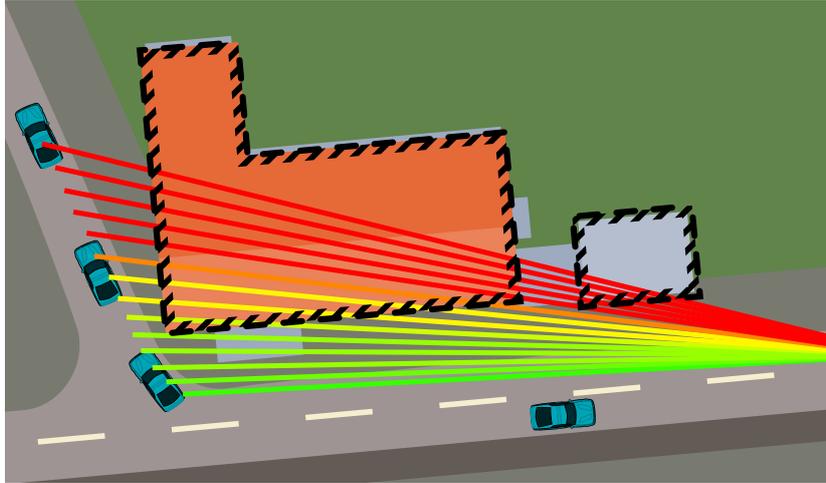


Figure 3.11 – Deterioration of RSS as a transmission is blocked by first one, then two buildings. Input parameters of the presented model are the buildings' geometries and the positions of both the sending and the receiving node.

3.3 Signal Propagation and Shadowing

Much progress has been achieved in the field of simulative Car-to-X communication protocol studies with respect to making such simulations more realistic, thus, providing more insights into system behavior [84, 162]. One open issue, however, is related to the physical radio communication.

The omnidirectional signal propagation models typically used in network simulations, which often limit propagation effects to exponential path loss, are clearly not appropriate in the field of IVC studies. Here, the correct and realistic simulation of safety applications is dependent on an accurate evaluation of topology deficiencies and coverage [52].

Thus, a model that accurately captures radio shadowing is a prerequisite [55, 90], allowing accurate estimations of the impact of radio range and contact duration. This is particularly true if scenarios with buildings, e.g., urban and suburban scenarios, are to be investigated.

Central metrics for information dissemination in IVCs systems are a node's number of available neighbors and, more importantly, the variability in connectivity, which influences metrics such as neighbor lifetime, stability, and network rehealing times. Accurate modeling of, e.g., the radios' transmission range and packet error rates are crucial to arrive at realistic neighbor counts, as this metric is heavily influenced by the choice of path loss model.

Metrics like neighbor lifetime and network stability, however, can only be accurately simulated if the model also properly captures the effect of obstacles.

As an example, the impact of obstacles is very evident when considering two vehicles that are driving on parallel roads separated by irregularly spaced buildings: here, channel conditions for transmissions between both nodes might quickly alternate between a near-perfect, lossless channel and strong (but predictable) shadowing.

It has repeatedly been demonstrated that ray-tracing approaches can serve as an excellent approximation of realistic path loss models [110, 113, 145, 171, 173]. At the same time, however, the cost for preparing such scenarios was demonstrated to be high, sometimes prohibitively so.

As an alternative, stochastic models are used, which describe the wireless channel characteristics quite well from a macroscopic point of view. The biggest drawback of such approaches is that modeling the channel on a stochastic basis might lead to severe deviation from realistic behavior of single communications; thus, applications cannot be modeled accurately if single transmissions have a critical impact. This is, for example, the case for safety applications – or for any system relying on collected or exchanged (and subsequently maintained) topology information.

Thus, it appears that researchers have to choose between either going all the way and paying the cost associated with ray-tracing or ignoring many of the aforementioned effects.

In this section we introduce an approach [158, 159] to fill this gap: based on results of extensive experiments we conducted in field tests using vehicles equipped with IEEE 802.11p radios, we highlight the shortcomings of traditional, non-ray-tracing models in capturing the effects that predictable shadowing can have on Car-to-X applications.

We present a novel, computationally inexpensive model which takes building geometry and the positions of sender and receiver into account (illustrated in Figure 3.11) and captures these effects. The model can easily be implemented to retrofit the path loss models of existing simulation tools to also respect shadowing effects. In particular, we integrated the model into our Veins simulation framework.

In the following, we report on a wide range of experimental studies on the signal propagation of IEEE 802.11p/DSRC devices, measuring the effect of obstacles such as different buildings on the communication (Section 3.3.2).

Based on our findings, we developed a computationally inexpensive empirical obstacle model that can accurately capture shadowing effects in Car-to-X communication simulations. We present this model in Section 3.3.3.

We finally present insights gained in an extensive set of simulation experiments, relying on 2.5D obstacle models to validate the simulation model with real world measurements using IEEE 802.11p-equipped cars (Section 3.3.4).

3.3.1 Related Work on Signal Propagation and Shadowing

Common wisdom in wireless simulation tells us that transmissions are influenced by six main factors: free-space path loss, shadowing, reflection/absorption, fading, and Doppler shift/spread [116]. Such effects can be accurately reproduced by employing one of the many popular full-featured ray-tracing models available in the literature [23, 110, 113, 145, 171].

Yet, straightforward ray-tracing approaches do not scale to the number of simulated nodes and transmissions that is required in Car-to-X communication scenarios, so models that rely on preprocessing steps [173] have been developed. Even for medium-scale simulation scenarios, however, these pre-calculation steps can be prohibitively time consuming (in this 2008 paper, it was reported that data pre-processing for a spatial resolution of 5 m² in a 4.56 km² scenario “took three days on a 50-node PC cluster and produced about 120 GB of output data”).

Therefore, approaches have been developed that speed up ray-tracing by abstracting from individual buildings, instead modeling each city block as a perfectly homogeneous cuboid of matter to derive an analytical model of shadowing [120, 175].

An evaluation of such a model demonstrated that, aside from delivering the expected speedup, simulative results in general agree very well with experimental results [56]. Yet, it also demonstrated that the model abstracts away from some artifacts of real world wireless communication, namely short-lived transmission opportunities through gaps in buildings.

We therefore look towards models that can do without ray-tracing, but still capture predictable shadowing effects. The most straightforward approach that needs no ray-tracing computations, the use of an empirically determined, fixed path loss exponent depending on the city block in question [104] only captures the effect of obstacles in a scenario on a macroscopic level and, thus, does not capture predictable mesoscopic (i.e., smaller scale) effects, like variability of neighbor count.

By the same reasoning, purely stochastic models cannot alleviate this shortcoming. They model shadowing of individual transmissions as a random process, e.g., using log-normal shadow fading [131] or an empirically generated list of 2-state Markov chains [34], hence they do not model predictable effects in radio propagation.

Models that apply different attenuation factors based on the relative position and heading of nodes [85] offer a convenient way to solve this issue, their biggest benefit being that they do not rely on geodata of buildings. If such geodata is available, however, the fidelity of simulations can be substantially improved. Models that rely on geodata are able to evaluate whether the direct line of sight between two vehicles is blocked, then commonly apply perfect shadowing [75, 107–109, 201].

While this approach suffices to reflect the impact of buildings on low power radio transmissions, it should be noted that they provide an overly simplistic abstraction if radio transmissions can be expected to penetrate into and through buildings.

These effects can be modeled by adjusting the unit disc model of propagation to switch to a smaller radius [51] if the line of sight of a transmission is blocked, by choosing a different path loss exponent [122] (evaluated for 2.4 GHz and found to be a reasonable fit for transmissions of over 60 m distance), or by employing a different propagation model altogether [15] for the transmission in question.

Such models go a long way to capture the impact of buildings on radio transmissions and represent shadowing in urban and suburban environments in a realistic fashion for low and medium powered radios. In scenarios with complex building geometries, or if radio transmissions can be expected to penetrate more than one building, however, basing the model on the presence or absence of line of sight alone does not suffice.

Advanced shadowing models hence determine for each transmission the number and the geometry of buildings intersected by the line of sight between sender and receiver. One example of such models applies for each obstacle intersected by the line of sight a random attenuation factor, e.g., chosen from a table of attenuation ranges at the target frequency stored for common materials [76].

However, for the aforementioned reasons, such approaches that introduce a stochastic model cannot capture predictable changes in path loss, although they better capture shadowing effects on a macroscopic scale.

These effects can be represented by reducing the received signal strength of transmissions by either a fixed attenuation factor per obstacle [126], per wall [4], or by applying a dual-slope path loss model using different path loss exponents for distance traveled through matter and in free space [116].

To the best of our knowledge, though, no such model has been presented for IEEE 802.11p yet that considers the specific makeup of most buildings, especially in urban and suburban environments: depending on how far a transmission has to penetrate through a building's interior, the attenuation that it will experience varies slightly; in addition, a thick outer wall heavily attenuates transmissions.

3.3.2 Data Basis and Measurements

We conducted an extensive series of experiments in a wide range of scenarios, gathering log data from continuous IEEE 802.11p transmissions between cars. We thus established a data basis for evaluating the applicability of the obstacle models presented in Section 3.3.1 for simulating the effect of predictable shadowing caused by buildings in urban and suburban areas.



Figure 3.12 – Position of the shark fin antenna assembly, omnidirectional antenna, and GPS receiver used for signal attenuation measurements; inset: installation of the DENSO WSU 802.11p radio in the trunk.

The radio we employed was part of the DENSO wireless safety unit (WSU) platform, mounted in the trunk of an Audi A4 allroad quattro.

As the shark fin antenna assembly installed on the roof (at a height of 149.5 cm, 92 cm from the curb) was an early prototype with directionality characteristics geared towards communication with receivers in the front of the car, we further outfitted each car with an omnidirectional antenna mounted next to it, as shown in Figure 3.12.

The third piece of equipment that can be seen installed on the roof of the car is the 5 Hz GPS receiver we used to log position information with each transmission.

Alternating between use of the shark fin antenna assembly and the omnidirectional antenna, we then performed measurements under completely unobstructed channel conditions, on a straight segment of road in the middle of hayfields south of Erlangen, and in urban and suburban scenarios with residential or commercial buildings, tightly packed or loosely spaced, as well as old or new.

In each scenario, we configured one car to broadcast its current position in 200 ms intervals by sending WAVE Short Message Protocol (WSMP) messages on the Control Channel (CCH), i.e., at 5.89 GHz. On the receiver side, we logged for each packet its timestamp and sender position, as well as the receiver position and the dBm value of Received Signal Strength (RSS).

In a first step we correlated the log data we recorded with the position and 2.5D shape of buildings (i.e., their outline and height). For this we used OpenStreetMap geodata and satellite imagery, overlaying as shown in Figure 3.13 the log data on

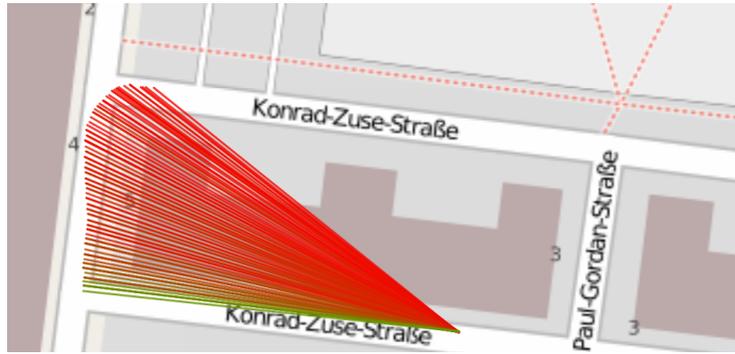


Figure 3.13 – Use of geodata on road network and building geometry in the data correlation and verification step. Each line indicates one successful transmission between sending and receiving car, a line’s color representing the measured RSS value.

top of the road network and building outlines using a custom application based on the OpenLayers API. We visualized transmissions by drawing the line of sight corresponding to each, using color coding to indicate the attenuation it experienced.

Thus, we were able to verify the accuracy of data and associate with each recorded RSS value the two metrics required for a validation of the non-ray-tracing models mentioned in Section 3.3.1: the number of exterior walls intersected by the line of sight between sender and receiver and the length of this intersection.

In a second step, we examined the plausibility of RSS measurements by comparing results from the unobstructed scenario to expected values from an analytical model, based on the simple free space path loss model and adapted to include an empirical path loss exponent α , as given in Equation (3.3) (where λ is the wavelength and d is the distance between sender and receiver). As an example, for $\alpha = 2$ this model explains the attenuation that a wireless transmission experiences based solely on antenna aperture and the uniform spread of energy in free space.

$$L_{\text{freespace,emp}}[\text{dB}] = 10 \lg \left(\left(\frac{4\pi d}{\lambda} \right)^\alpha \right) \quad (3.3)$$

Figure 3.14 illustrates how measurement results gathered in the unobstructed environment match up reasonably well with this (simplistic) model if a path loss exponent of $\alpha = 2.2$ is assumed.

In contrast, Figure 3.15 gives an exemplary illustration of the results we gathered in the presence of an obstacle, plotting samples of normalized RSS versus the length of the calculated section of intersection between line of sight and a building. From this (and, likewise, from measurements we conducted in other scenarios, both with and without use of the omnidirectional antennas), we observe that RSS values drop sharply as soon as the line of sight is blocked and continue to decrease as the length of the intersection of line of sight and building increases. It is clear that this behavior

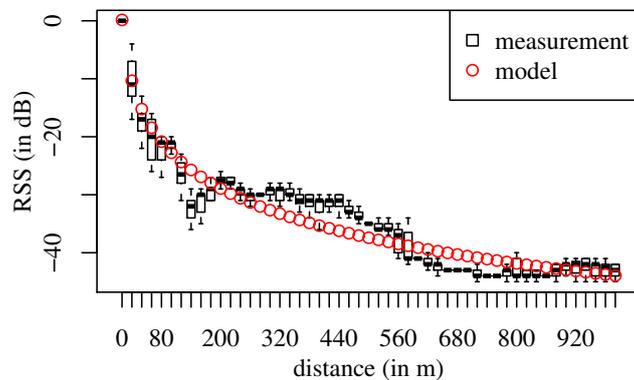


Figure 3.14 – Attenuation values predicted by the empirical free space path loss model (red circles) vs. measurement data in the unobstructed scenario for a path loss exponent of $\alpha = 2.2$.

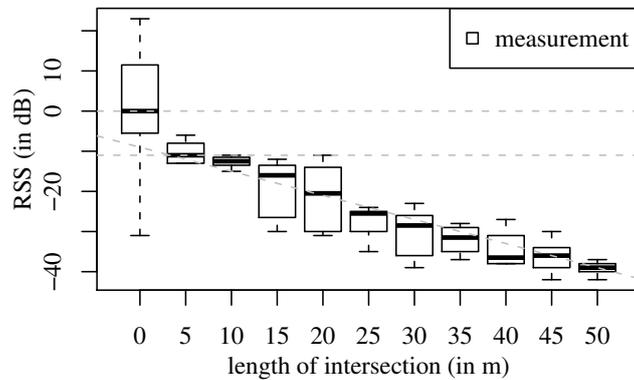


Figure 3.15 – Sharp drop and continuous decline in measured RSS as the length of building penetrated increases.

can not be accurately reproduced by considering only the *attenuation per wall* nor by only considering *attenuation per meter of penetration*. Rather, it appears that in order to model the path loss of IEEE 802.11p transmissions caused by buildings both factors need to be considered.

3.3.3 A Computationally Inexpensive Obstacle Model

As presented in the previous section, simulating path loss in urban and suburban environments to capture predictable shadowing effects seems to require more complex models than *attenuation per wall* or *attenuation per meter of penetration* approaches. Yet, modeling effects such as reflection and diffraction requires geodata with a level of detail that is unlikely to be available at the required scale, and (as was shown) the computational effort to employ ray-tracing in large scale IVC simulations is prohibitively high.

Thus, our motivation was to develop a model that only relies on building outlines, which are commonly available in modern geodata bases, and thus needs to abstract from reflection and diffraction effects. Furthermore, in order to keep the model computationally inexpensive, it considers the line of sight between sender and receiver only; this means that it disregards any objects blocking, e.g., parts of the first Fresnel zone.

This way, simulations that make use of the model scale very well, the calculation of intersection between all lines of sight and all buildings being its most expensive step. Finding these intersections, however, can easily be supported using binary space partitioning approaches [116] to solve this step in $\mathcal{O}(n^2 \log n)$ time, as well as by intelligent caching of computed results. Furthermore, depending on the employed simulation framework, this process can also be treated as a *red and blue line segments* intersection problem, for which algorithms that run in $\mathcal{O}(n \log n)$ time have been proposed [106].

Because of the simplifications made regarding physical effects, the model needs to be carefully checked against real-world measurements to examine its validity for the envisioned application. Moreover, aside from capturing mesoscopic path loss effects (i.e., shadowing), the model is required to accurately represent both macroscopic and microscopic effects.

Analogous to those in related work [4, 116, 126], we thus envision our model to be a generic extension of well-established fading models. In general, these can be expressed in the form of Equation (3.4), where P_t and P_r are the transmit (and receive) powers of the radios, G_t and G_r are the transmit (and receive) antenna gains, and L_x are terms capturing loss effects during transmission.

$$P_r[\text{dBm}] = P_t[\text{dBm}] + G_t[\text{dB}] + G_r[\text{dB}] - \sum L_x[\text{dB}] \quad (3.4)$$

Common models of large-scale path loss, of deterministic small-scale fading, or of probabilistic attenuation effects can then be written as these components L_x of Equation (3.4) and, thus, chained to calculate the compound attenuation. Equations (3.5) and (3.6) illustrate the makeup of L_x for the examples of two-ray ground path loss (given for large d and antenna heights of h_t and h_r) as well as log-normal shadow fading (with random variable X_σ), respectively.

$$L_{\text{trg, far}}[\text{dB}] = 10 \lg \left(\frac{d^4}{h_t^2 h_r^2} \right) \quad (3.5)$$

$$L_{\text{lognorm}}[\text{dB}] = 10 \lg (X_\sigma) \quad (3.6)$$

We now extend the general model shown in Equation (3.4) by contributing another term L_{obs} to be used for each obstacle in the line of sight between sender

and receiver: based on the observations presented in Section 3.3.2, deriving its structure, illustrated in Equation (3.7), is straightforward.

$$L_{\text{obs}}[\text{dB}] = \beta n + \gamma d_m \quad (3.7)$$

L_{obs} is intended to capture the additional attenuation of a transmission due to an obstacle, based on the number of times n the border of the obstacle is intersected by the line of sight and the total length d_m of the obstacle's intersection. The first of the two calibration factors, β , is given in dB per wall and represents the attenuation a transmission experiences due to the (e.g., brick) exterior wall of a building. The second calibration factor, γ is given in dB/m and serves as a rough approximation of the internal structure of a building.

This parameterization allows the model to be intuitively adjusted to represent different kinds of buildings in urban and suburban settings. In the following, we present an evaluation of this model, along with empirically determined values for parameters β and γ .

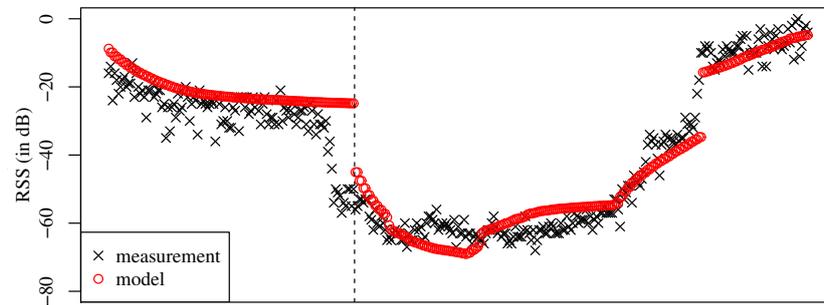
3.3.4 Comparison of Model and Measurements

We evaluated how well the shadowing model presented in Equation (3.7) can capture the predictable changes in path loss caused by buildings by combining it with the generic and empirical free space path loss models shown in Equations (3.3) and (3.4) to arrive at Equation (3.8).

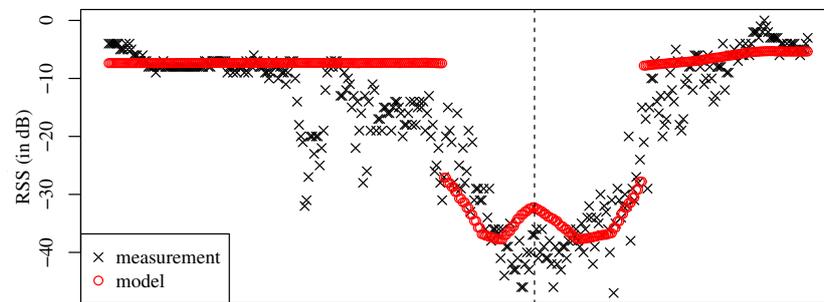
$$P_r[\text{dBm}] = P_t[\text{dBm}] + G_t[\text{dB}] + G_r[\text{dB}] - 10 \lg \left(\left(\frac{4\pi d}{\lambda} \right)^\alpha \right) - (\beta n + \gamma d_m) \quad (3.8)$$

In order to determine to what extent changes in measured RSS could be explained by this model, we examined whether parameters β and γ could be fitted so that analytical results would match up with measured ones. Parameter fitting was performed by iteratively minimizing the sum of squared residuals using the standard Gauss-Newton algorithm [14] until the algorithm converged, based on a tolerance threshold of 1×10^{-5} .

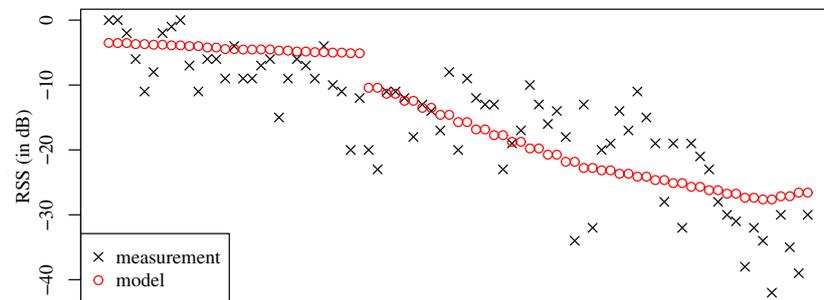
Figure 3.16a shows the results of this process for a representative set of measurements in the countryside. Here, we circled a free-standing warehouse, obtaining parameters of $\beta = 9.2$ dB per wall and $\gamma = 0.32$ dB/m. We observe that β and γ are within the expected range and, in general, computed values for the attenuation match the values we measured quite well. The plotted values also demonstrate that the model only considers the line of sight, rather than the first Fresnel zone, between sender and receiver: the smooth decrease of measured RSS values that can be observed as the line of sight is not yet crossing the first corner (but the building's intersection with the Fresnel ellipsoids is starting to increase) is replaced by a sudden drop in RSS values in the analytical model (marked with a dashed line).



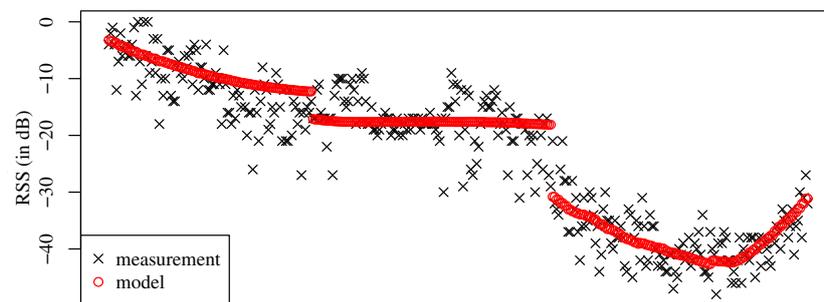
(a) countryside warehouse



(b) suburban house



(c) light construction house



(d) urban residential home and garage, using per-building parameterization

Figure 3.16 – Comparisons of measured and calculated attenuation values for different types of buildings.

Another effect can be observed in Figure 3.16b, which shows results from measurements of driving around three quarters of a house in a suburban area (the fitting of model parameters resulted in similar values of $\beta = 9.6$ dB per wall and $\gamma = 0.45$ dB/m). We observed that in our measurements, computed and measured RSS values diverge in a second case (marked with a dashed line). Closer examination of the traces reveals that this corresponds to transmissions that have their line of sight passing straight through one of the corners of the house – as our model does not capture this effect, it overestimates RSS values in these cases.

Examining further traces revealed that, for the vast majority of the collected data, this parameterization of $\beta \approx 9$ dB per wall and $\gamma \approx 0.4$ dB/m resulted in our model fitting the experimental results quite well. But even though measurements like this were the most common type, it should be noted that there are, of course, some types of buildings that could not be represented well using the default values of β and γ .

One such example can be seen in Figure 3.16c: here, we show measurements taken from a lightly built house. While the model is able to represent the attenuation characteristics of this type of building, its parameters are unlike those of standard ones, now taking values of $\beta = 2.4$ dB per wall and $\gamma = 0.63$ dB/m.

However, if we allow such per-building parameterization the presented model works equally well for even more complex scenarios. Figure 3.16d illustrates the results we achieved when measuring transmissions intersecting an urban residential home and its garage, the scenario sketched in Figure 3.11. Adding another term for the second building to Equation (3.8) and choosing $\beta_1 = 2.38$ dB per wall and $\gamma_1 = 0.1$ dB/m, as well as $\beta_2 = 6.26$ dB per wall and $\gamma_2 = 0.41$ dB/m for home and garage, respectively, enabled us to model this scenario equally well.

3.3.5 Conclusion

We presented a computationally inexpensive model for IEEE 802.11p radio shadowing in urban and suburban environments. In particular, the presented model allows obtaining a realistic estimate of predictable signal attenuation effects that are due to obstacles such as buildings. With the help of available 2.5D models of buildings (e.g., from OpenStreetMap), our model allows a simulator to very efficiently calculate path loss values in Car-to-X communication simulations.

As explained, traditional stochastic models are not able to capture the effects experienced by a specific radio transmission properly, so we consider this model to be of particular importance whenever the local behavior of an IVC protocol is to be investigated. Our model has been empirically calibrated using an extensive set of measurement data and integrated with our Veins simulation framework for evaluating IVC applications.

3.4 Road Networks

It is now a well-established fact in the domain of IVC research that a detailed network traffic model is not enough and an accurate mobility model, i.e., a road traffic model, is needed [20, 156].

The most obvious of causes is that the impact a TIS can have on the behavior of drivers (and, hence, on road traffic) is heavily constrained by road topology, e.g., the number and relative weight of possible routes, traffic lights, and access or turn restrictions. Moreover, though, the road traffic model also affects the simulated network traffic. The most prominent way is by means of speed limits and lane counts, which influence a node's interconnection time with surrounding nodes and the number of neighbors a node has.

Using a real city map as the basis of node mobility in a Car-to-X communication system means that network researchers can focus on their particular domain of expertise with little to no consultation from traffic modeling experts. Moreover, if the degree of realism afforded by the mobility simulation is high enough, such simulations could ultimately also be taken as a basis for predictions in specific application scenarios, e.g., traffic flow optimizations for a specific city.

In this section we highlight challenges in obtaining accurate and meaningful results from IVC simulations, with a special focus on TIS applications. In particular, we study crowd-sourced geodata from the OpenStreetMap project as a means for improving the realism of simulation experiments [157]. Besides road information, especially annotation of speed limits, traffic lights, and even buildings suggest using this kind of geodata in road traffic simulations.

It can be said that with the availability of high quality simulation frameworks the accuracy of IVC simulations now hinges on the degree of realism with which the simulation scenario was modeled. This, in turn, requires access to geodata of sufficient degree of detail. Because of the amount of work that assembling this body of data requires, we advocate the use of crowd-sourced geodata for this task.

One possible solution, and the one we chose, is to employ an extension of SUMO to base scenarios on OpenStreetMap data for simulating the microscopic mobility of vehicles. We discuss the details of this process and demonstrate the need for basing scenarios on accurate geodata in the following.

3.4.1 Using OpenStreetMap Data in Veins

When importing OSM data into SUMO for traffic simulation, there are certain steps that have to be taken. First of all, as SUMO operates on planar maps, a map projection has to be chosen. A common choice would be the conformal, angle-preserving Mercator projection, or UTM, a zone-based variant. In the presented

```

1 <types>
2   <type id="highway.secondary" priority="9" nolanes="2" ↵
      speed="20"/>
3 </types>

```

Listing 3.3 – Sample edge definition file configuring default parameters for secondary roads.

```

1 <edge id="-2#0" from="40" to="50" priority="-1" type="" ↵
      function="normal">
2   <lanes>
3     <lane id="-2#0_0" depart="1" maxspeed="8.33" ↵
          length="184.33" shape="2215.90,3932.27 2356.69,3813.29"/>
4     <lane id="-2#0_1" depart="0" maxspeed="8.33" ↵
          length="184.33" shape="2218.03,3934.79 2358.82,3815.81"/>
5   </lanes>
6 </edge>

```

Listing 3.4 – Sample edge data in a SUMO road network data file.

example, we use a UTM projection based on the WGS 84 reference ellipsoid and data. Default values for attributes not explicitly set, e.g., the maximum speed on secondary roads in cities, are configured as shown in Listing 3.3.

After this conversion, every road segment will be represented in SUMO by one edge element per direction, as long as it does not connect to other roads. Junctions in the road network will form nodes which are connected by these edges. Every lane of a road will be represented by a lane element with stored attributes, such as its maximum speed, as shown in Listing 3.4.

SUMO netconvert will only import roads, meaning additional information (such as data on buildings or parking places) is discarded and needs to be imported separately if it is to be used by the simulation.

Arbitrary polygons can be modeled in SUMO by using the `poly` XML element in one of the files linked in the configuration file. To import this data from OpenStreetMap data (and, again, perform the necessary projection of coordinates), the SUMO `polyconvert` tool can be used, making it available to SUMO and, via TraCI, to the network simulator. A `typemap` can be used to specify all types that should be selected for import. Listing 3.5 shows an example for extracting building information from OpenStreetMap data. It also contains additional information on how these areas should be visualized in SUMO.

The resulting polygon description file will then contain all buildings from the OpenStreetMap data dump. Listing 3.6 shows an example that models one building and an additional polygon representing a Region of Interest (ROI) to load in the simulation.

```

1 <polytypes>
2   <polytype id="building.yes" name="building" \
      color="0.00,1.00,0.00" fill="true" layer="-1" \
      discard="false" />
3 </polytypes>

```

Listing 3.5 – Sample polygon definition configuring import parameters for building outlines.

```

1 <polys>
2   <poly id="-150412472" type="building" color="1.00,0.00,0.00" \
      fill="1" layer="-1" shape="6337.46,4869.64 \
      6332.41,4883.59 6321.98,4880.30 6327.69,4866.37 \
      6337.46,4869.64"/>
3   <poly id="roi" type="roi" color="0,0,1" fill="false" \
      layer="-1" shape="6100,4100 6100,5100 7600,5100 7600,4100 \
      6100,4100"/>
4 </polys>

```

Listing 3.6 – Sample polygon data in a SUMO supplementary data file.



Figure 3.17 – Screenshot of SUMO rendering part of the imported geodata on roads and buildings in the city of Ingolstadt, as used in the full-featured simulation scenario.

After the previous steps have been executed, all relevant geodata is available to SUMO; its graphical representation of the imported map would look as depicted in Figure 3.17.

3.4.2 Comparison of Results Dependent on Geodata Quality

We rely on our Veins simulation framework to set up and simulate three different scenarios, corresponding to three different degrees of availability of geodata, based on OpenStreetMap data of the city of Ingolstadt. In the first configuration, we import only road geometry and class information, thus relying on the extrapolation features of SUMO to assemble a basic simulation scenario. In the second configuration, we import all of the available information on the road network of Ingolstadt, thus arriving at a scenario with accurate lane counts, speed limits, traffic lights, and turn restrictions. Finally, we further augment the second configuration with OpenStreetMap data on buildings to assemble a third scenario where radio transmissions will be attenuated by these obstacles.

In order to evaluate the degree to which accurate IVC simulations depend on the availability of more than just road topology, we examine two metrics: the *neighbor count* (i.e., the number of nodes in communication range), and the *neighbor lifetime* (i.e., the duration of contact with nodes in communication range).

Traffic flows for all scenarios were computed by randomly generating origin/destination pairs and iteratively applying the Dynamic User Assignment (DUA) algorithm of [54], as implemented in SUMO, until it reported a stable, optimal distribution of flows. In order to avoid border effects, we simulate traffic in the whole city of Ingolstadt, but network communication only for nodes within a smaller Region of Interest (ROI). In the evaluation, we focus on the $1.5 \text{ km} \times 1 \text{ km}$ ROI shown in Figure 3.17, which contains a typical mixture of high- and low-capacity roads, traffic lights, and unregulated intersections. Each of the three scenarios was simulated for radios of four different power levels, corresponding to maximum transmission ranges of approx. 140 m, 180 m, 240 m, and 1200 m.

Figure 3.18 illustrates the results of these simulations by plotting the neighbor count of nodes in the form of empirical Cumulative Distribution Functions (CDFs). Hence, the median of a measure will be associated with a CDF value of 0.5, or, e.g., the first quartile with a value of 0.25. Thus, we can focus on the distributions of individual measurements: each line represents statistics for one radio power level, the solid red line being the highest one. As can be seen from the graphs, the distributions vary widely, depending on the level of detail with which the scenarios had been modeled. Looking at the neighbor count in a road network based on topology data alone, a strongly expressed bimodality in its distribution can be observed: in this network, vehicles alternately drive in freely flowing traffic and in

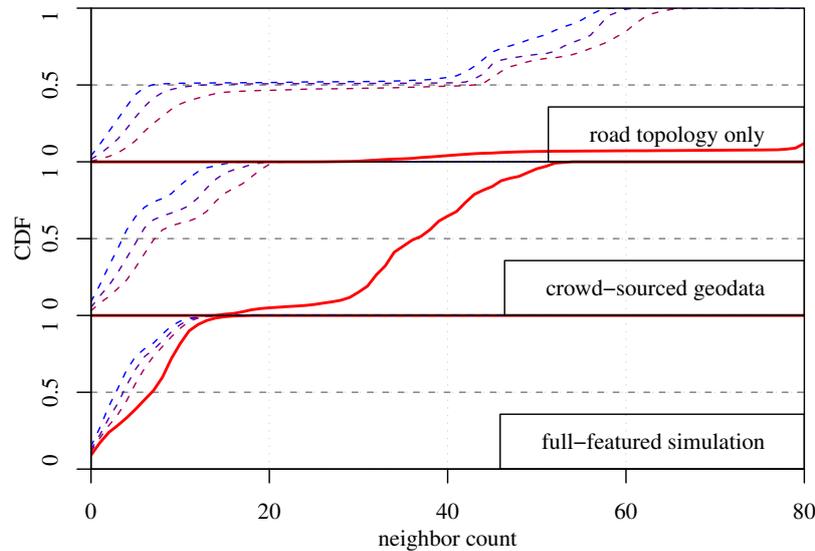


Figure 3.18 – Distribution of number of nodes in communication range. Shown are results for radii of different power (results for a maximum range of approx. 1.2km are represented by the red, solid line) and map data of different quality. Lower-quality map data can lead to unrealistically dense car clusters.

heavily congested traffic; this is directly reflected in the neighbor count. This effect is indicative of the mismatch between traffic demand and road network capacity due to the imperfect extrapolation of lane counts and speed limits performed by SUMO; some roads had an unnecessarily high lane count, while other areas of the network could not cope with the number of vehicles passing through, leading to the observed congestions. Employing a road network based on crowd-sourced geodata, this issue is resolved, which is also directly reflected in a more regular distribution of neighbor counts. The inclusion of data on buildings in a full-featured simulation had only little impact on the distribution of neighbor counts if low-power radii were used. As was to be expected, however, if radii were able to transmit over 1.2 km, the impact of radio obstacles was substantial: not considering them in the simulation led to unrealistically high neighbor counts, off by a factor of approx. four.

Looking at the neighbor lifetime (Figure 3.19), a core metric of network stability, a reverse trend can be observed – including geodata on road features (but not on buildings) in IVC simulations increased the simulated networks' stability; yet, when also including geodata on buildings, the network stability turned out to be worse. With increasing transmission power this difference in network stability becomes increasingly pronounced, as buildings obstruct radio transmissions across roads, thus frequently chopping what could have been a stable connection between two vehicles into multiple short-lived connection opportunities.

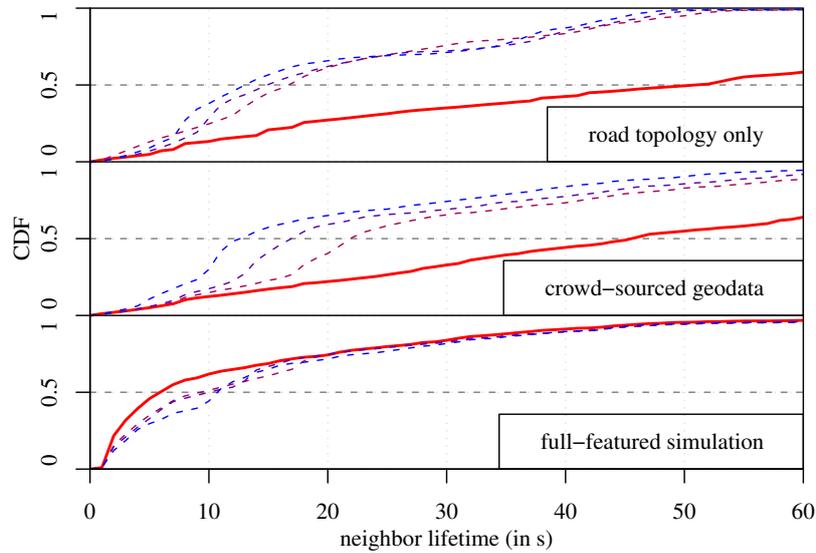


Figure 3.19 – Distribution of duration of contact with nodes in communication range. Shown are results for radios of different power and map data of different quality. Lower-quality map data can suggest overly long and differently-distributed contact durations.

3.4.3 Conclusion

We demonstrated how the accuracy of IVC simulations is strongly dependent on the degree of realism with which the simulation scenario was modeled. Based on the presented simulation study, we conclude that including information beyond the mere topology of roads in IVC simulations can have a profound impact on core network metrics, both in terms of aggregate statistics and shape of distribution.

This illustrates the importance of basing simulative studies of IVC protocols on realistic scenarios. Freely available crowd-sourced geodata can be used to provide this information. We argue that, this way, more accurate results can be generated by IVC simulations at no cost to fellow researchers.

With the quality of scenarios matching the capabilities of the simulation framework, we conclude that the impact of Car-to-X communication can now be adequately estimated in simulative performance evaluations.

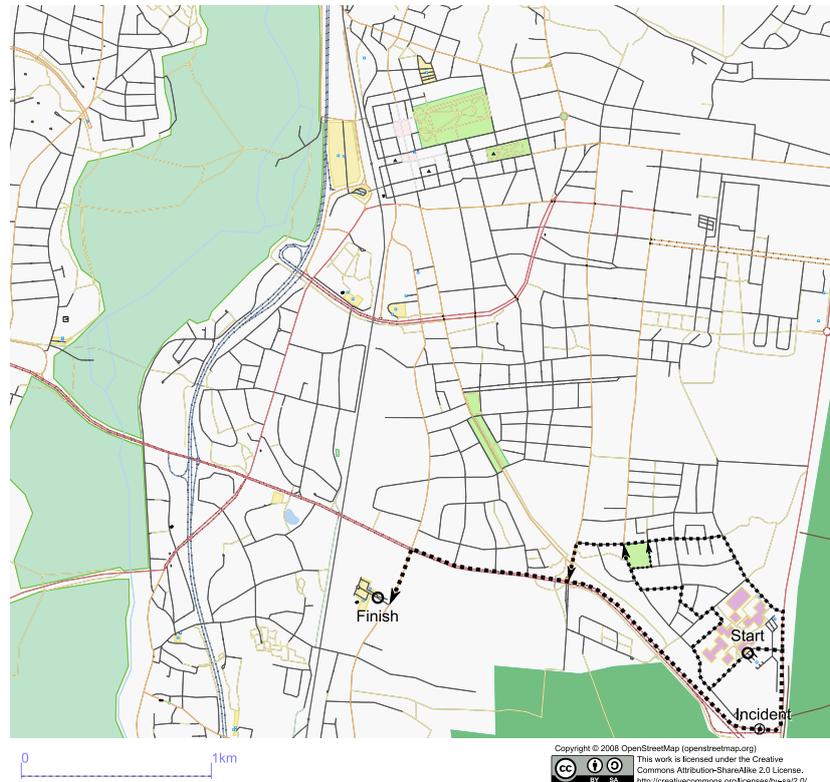


Figure 3.20 – Map of Erlangen, Germany as available from the OpenStreetMap project and used in the overall evaluation. Overlaid are the scenario's start and finish positions, the fastest route between them, and popular alternative routes around the artificial incident.

3.5 The Impact of Car-to-X Communication

In order to study the impact of Car-to-X communication on traffic, we use the presented Veins simulation framework to model a simple IVC system operating in the city of Erlangen, Germany.

Following the approach presented in the last section, we base this scenario on OpenStreetMap data, imported to preserve all road attributes such as road type, access restrictions, lane counts, and speed limits. A rendered representation of the road map, overlaid with the locations of traffic source and sink nodes, is given in Figure 3.20.

Traffic on this road map is generated by simulating 200 cars leaving the parking lot of the computer science building, one departing every 6 s on average. All cars then head to a business park along an individual, dynamically chosen route. This way, emerging traffic patterns are easy to comprehend and the influence of Car-to-X communication on traffic can be directly judged.

We employ both of the presented main metrics for performance evaluation, the effective average speed and calculations of simulated vehicles' CO₂ emissions. These metrics are computed in four sets of simulation runs.

The first set of runs (labeled *free*) simulates uninhibited road traffic, with vehicles following a fixed route determined as the shortest one before starting the simulation. This route assignment served as the basis for individual dynamic re-computation of routes, should a vehicle encounter a traffic incident.

In the second set of runs (labeled *none*), such an incident was simulated by stopping the lead vehicle of cars traveling along the major artery connecting the university campus and the business park, as shown in Figure 3.20. However, no IVC took place between cars, so all vehicles remained unaware of the artificial incident until they were caught in the resulting traffic jam.

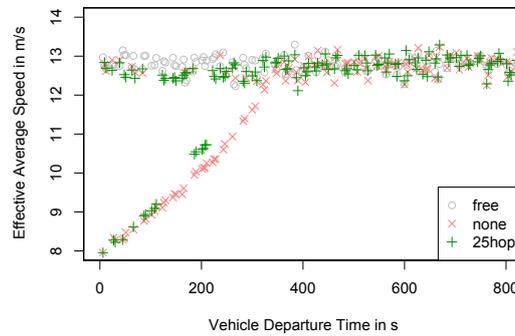
In the final two sets of simulation runs (labeled *5hop* and *25hop*), all vehicles were equipped with IVC technology, so stopped vehicles could disseminate information about congested road segments via Car-to-X communication. Vehicles that received such notifications could then often completely avoid traffic incidents. We performed the IVC-enabled runs using a simple, decentralized flooding protocol (presented in detail in Section 4.1.2) and configured the Time To Live (TTL) of flooding messages to be 5 hops and 25 hops, respectively.

3.5.1 Impact on Travel Time

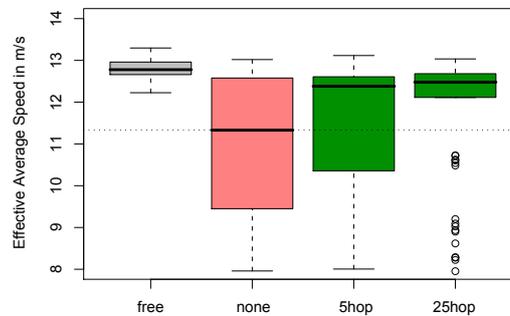
Plotted in Figure 3.21a are exemplary results of our simulation runs, showing the effective average speed of each vehicle in relation to the time it entered the simulation. As can be seen, a major portion of the vehicles were involved in the incident on the major artery if no IVC took place. Only vehicles departing very late in the simulation as well as those not taking the route leading past the incident, but instead travelling on one of the minor roads, remained unaffected. Enabling IVC over 25 hops in the third scenario led to a substantial increase of vehicles' speeds, as vehicles that were not too close to the incident when it happened, and thus were caught in the resulting jam, were now able to turn around before they reached the affected road segment, delaying them only slightly. Other cars managed to avoid the incident altogether.

The increased variance and improvements of the average speed are summarized in the boxplot in Figure 3.21b. In this plot, only individual vehicles starting earlier than 400 seconds are considered to outline the characteristics of free flowing traffic, traffic queuing after an incident, and the advantages of IVC over 5 hops and 25 hops respectively.

These results clearly demonstrate the negative impact the artificial traffic incident had on travel times, as well as how we were able to simulate a Car-to-X



(a) Average speed ordered by time of departure



(b) Average speed grouped by scenario

Figure 3.21 – Average speed of individual vehicles for free flowing traffic, traffic with an incident, and when performing IVC.

communication system helping alleviating the impact. The reduction in travel times is due to vehicles being able to take alternative, non-congested, but longer routes.

This, however, raises the question of whether IVC in this scenario, while being beneficial for travel times and road safety, will on the other hand harm the environment. We use this question as an example of how to take the evaluation presented in Section 3.2.1 one step further, now examining the CO₂ emissions of vehicles participating in a complex IVC system and on a real world street map.

3.5.2 Impact on Emissions

The values obtained from CO₂ emission calculations for all simulated scenarios are plotted in Figure 3.22. For better comparability, the first figure, Figure 3.22a, shows vehicles' CO₂ emissions per distance traveled. As can be seen, simulations that included an artificial traffic incident, but no IVC, recorded a much larger amount of CO₂ emitted per meter traveled, reflecting the large number of cars caught in the jam and emitting CO₂, but not traveling. In scenarios that included IVC among

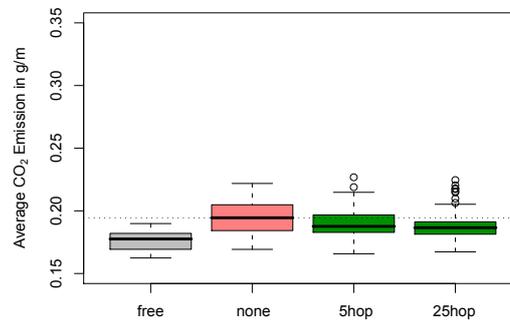
(a) CO₂ emission based on actual distance covered(b) CO₂ emission normalized to distance to-be-covered

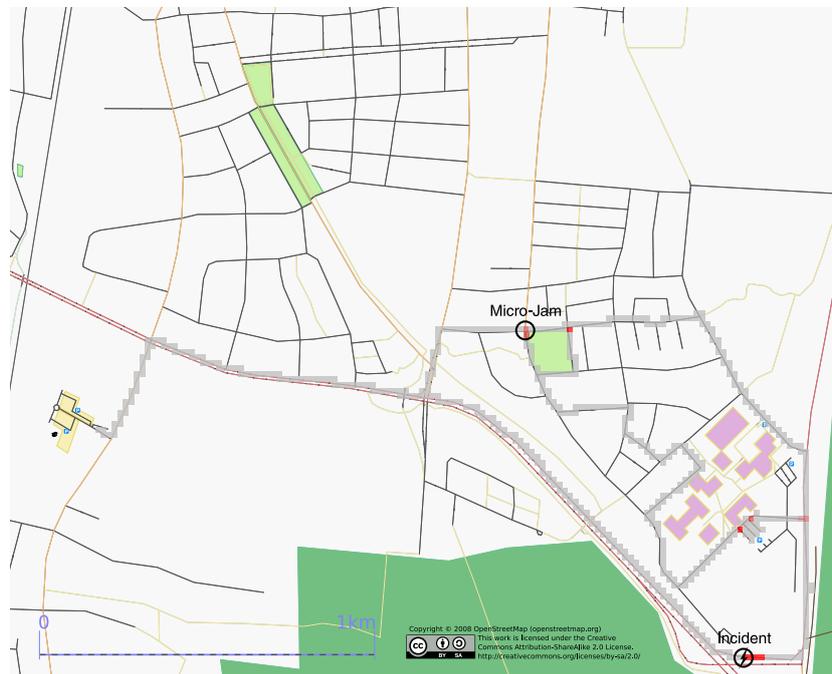
Figure 3.22 – Average CO₂ emission of vehicles for free flowing traffic, traffic with an incident, and when performing IVC.

vehicles, this figure improved noticeably, which reflects these simulations' vehicles no longer burning fuel while standing still. However, this evaluation does not take into account the fact that, in order to avoid a jam, vehicles have to travel along detours.

This fact was accounted for when plotting Figure 3.22b, which again shows the amount of CO₂ emitted by vehicles, but normalized to the length of the shortest route between start and destination, instead of the actual route taken.

This measure can be used to finally gauge the environmental impact of a complex IVC, which can be seen to be almost non-existent. Even in the examined scenario, which only allowed for very long detours around the artificial incident, IVC did not lead to a substantial increase of CO₂ emissions: in all cases approx. 63 kg CO₂ were produced in total by all the cars.

However, closer examination of the generated trace data reveals a small number of vehicles, even among those that started driving long after the original incident was resolved, which experienced both noticeable delays and caused noticeably higher



(a) Baseline scenario



(b) Scenario with additional traffic flow crossing detours

Figure 3.23 – Heatmap of vehicle stop times in 25 m×25 m regions of the scenario. Brighter shades of red indicate longer maximum stop times.

levels of emissions compared to those in runs where no IVC took place. Those vehicles were found to have been caught in secondary micro-jams which occurred on detours, in particular at intersections where popular alternative routes merged. This effect is a direct consequence of vehicles considering only the currently-known traffic state in rerouting decisions and, thus, often favoring detours using the same, low-capacity roads. We highlight these locations in Figure 3.23a, which shows a two-dimensional heatmap. The gray tiles represent uninhibited traffic flow on the path chosen by the vehicles; the varying shades of red indicate the maximum length of a stop at any given point on the map. As can be seen, there are three pronounced locations where such micro-jams occurred in our example.

3.5.3 Impact on Road Network

In order to verify the observed effects, we added a second traffic flow that crosses the detours resulting from the first example. We carefully modeled this second traffic flow so as not to influence traffic on the original route between the shown start and finish points. As expected, in this extended scenario the effect of micro-jams was now even more pronounced. The main reason is that the small roads taken during detours were already used to almost their maximum capacity. This way, a small fraction of additional traffic demand lead to further road congestion, to additional micro-jams, and even to a much longer, secondary jam, as shown in Figure 3.23b.

Thus, smarter re-routing techniques seem to be necessary in order to avoid overloading low-capacity detours. Such an improved TIS would need to advise drivers of multiple different routes, depending on estimated traffic demand – a subject that certainly warrants further investigation. These considerations, however, also touch on the closely related question of whether drivers will actually follow any and all recommendations issued to them by a TIS.

3.5.4 Impact on Route Choice

Based on classifications of driver behavior available in the literature, we study the impact of human driver behavior on the quality of the TIS as a whole [42]. Furthermore, we integrate these different classes into our Veins simulation framework and show their impact in selected simulation results. Furthermore, we demonstrate that even complex behavior models can be represented using much simpler probabilistic models, finally leading to more realistic simulation studies.

The issue of predicting drivers' reactions to notifications by a TIS has been considered already in early studies of automotive environments [12, 39, 86]. Here, driver behavior was identified as a key component. However, this knowledge has seemingly disappeared with the development of distributed information sharing systems, which allow operating a TIS as a decentralized database able to update

Class	Route change logic	Mix	All
<i>always</i>	route selection according TIS recommendations	40.1 %	20 %
<i>never</i>	drivers unwilling to change the route	23.4 %	20 %
$d < D$	only if distance to the congestion $< D$	20.6 %	20 %
$d > D$	only if distance to the congestion $> D$	15.9 %	20 %
P	class <i>always</i> or <i>never</i> chosen probabilistically	0 %	20 %

Table 3.3 – Investigated classes of driver behavior: four basic classes, three combined classes.

missing information and to always provide a (nearly) optimal route using integrated maps and the available traffic information. It is usually assumed that the driver exactly follows the suggestions provided by the TIS.

Motivated by earlier work [39], we focus on four basic classes of driver behavior as well as three combinations thereof. The basic classes can be considered typical behavior according to the published psychological studies: A driver following all TIS recommendations falls into the first class, *always*. This is in essence the kind of behavior that is being assumed for almost all simulation and experimental studies of ITS solutions. The second class is *never*, in which the driver continues his every-day procedure and completely ignores the TIS. Note that the ratio of drivers assigned this class must be clearly distinguished from the frequently used penetration ratio, which changes the number of equipped cars. Even though a driver in this class does not follow advice by the TIS, their car can certainly take part in disseminating messages. The third class contains all drivers who only consider congestions within a certain range $d < D$ as relevant. They simply assume that there will be enough time for the congestion to clear. Finally, a fourth class represents drivers who want to give incidents a wide berth, but also be sure that they will not have to stop in secondary jams due to short term detours; thus, this class mandates $d > D$.

All of the presented classes are summarized in Table 3.3. This table also includes the aforementioned combined classes of driver behavior. A *probabilistic* class selects for each driver either the *always* or the *never* model, based on a given value of P . The class *mix* is a representation of the driver model in [39]. Finally, the class *all* is a combination of all the simple classes together with the probabilistic decision. Even though the used calibration (Table 3.3) is based on rather old research, it can give a good indication of the impact that driver behavior has on the TIS as a whole. As soon as new empirical data becomes available about the driver’s behavior and its interaction with the TIS, the calibration can be updated without modifying the model.

We integrated the presented classes of driver behavior into the OMNeT++ side of our Veins simulation framework, as the driver behavior mainly influences the TIS application. We evaluate the influence of the different driver classes in the presented

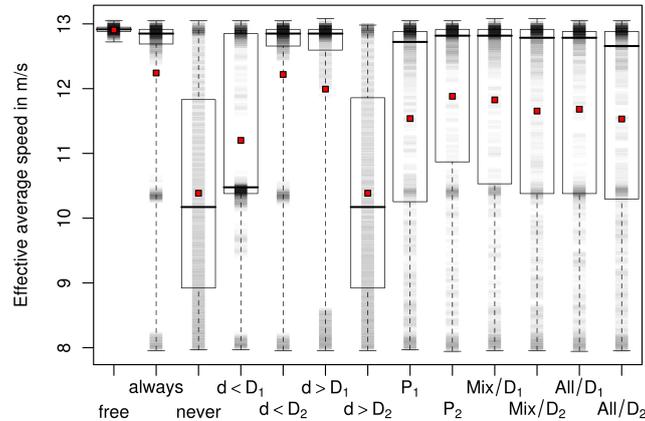


Figure 3.24 – Statistical analysis of driver behavior models.

scenario, now using a simple beaconing protocol for the dissemination of congestion notifications in order to minimize the effect of network disconnections.

For the driver behavior, we studied all of the discussed classes analyzing distance-based classes with two reasonable threshold distances $D_1 = 1$ km and $D_2 = 2$ km. For the probabilistic classes two probabilities for a vehicle to fall into class *always* were examined: $P_1 = 0.5$ and $P_2 = 0.7$. We selected these based on empirical studies using different scenarios and simulation setups. Certainly, for updated complex psycho-physiological models, these threshold probabilities need to be adjusted.

As the key metric to study driver behavior, we again chose the travel time, represented by the effective average speed of the vehicles. In order to not dilute the results, we recorded only the measurements for cars that entered the setup until the artificial congestion had been resolved. As a baseline measure, we also examined one accident *free* scenario.

Figure 3.24 shows the statistical analysis of the observed results in the form of a boxplot. Furthermore, the individual measures are plotted as gray bars in the background; thus, the darker the area, the more measurements fall into this region. Finally, the mean is plotted as a small red box. As can be seen, the statistical behavior of the measurement results is quite different for the *always* class compared to the different driver classes. Also, the single classes are either too optimistic or pessimistic compared to the mix representing typical driver behavior as presented in the literature.

We can analyze the behavior of selected driver models, and thus the impact on the technically optimized TIS, even better in the empirical CDF plot shown in Figure 3.25. As can be seen, the lower black curve clearly deviates from the other curves. Thus, the behavior of the system as a whole cannot be represented by the typically used *always* model.

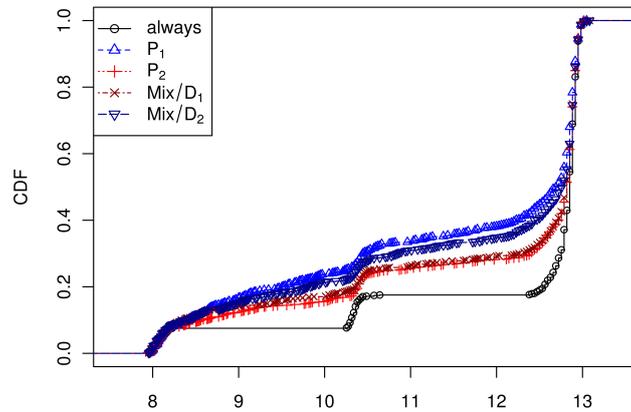


Figure 3.25 – Empirical CDF of the average speed achieved for the driver behavior models.

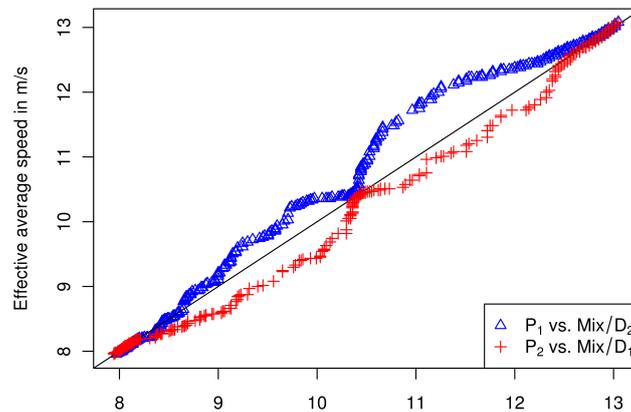


Figure 3.26 – Q-Q plot of probabilistic vs. mix driver behavior models.

Another remarkable result is that all the shown measures seem to indicate that the probabilistic model behaves quite similarly compared to the mix models. To study this effect in more detail, we examined Q-Q plots highlighting the similarity of these models' distributions, as shown in Figure 3.26. The closer the points are matching the diagonal line, the closer the models match. As can be seen, $P_2 = 0.7$ seems to model the mix quite accurately for all scenarios. The key advantage of this finding is that complex models in experiments and simulations can be substituted with a probabilistic decision system with only minor impact on the results.

The presented results clearly indicate the need to use realistic driver models, especially with regard to TIS applications. The presented solution, which has been integrated into our Veins simulation framework, allows running integrated simulation experiments taking the driver's behavior into account.

3.6 Conclusion

In conclusion, it can be said that bidirectionally coupled network and road traffic simulation provides major advantages compared to uncoupled or purely trace-driven simulation. Thus, for all protocol evaluations that cannot afford to disregard network or radio effects and for all evaluations where IVC would likely influence driver behavior, we strongly advocate the use of bidirectionally coupled simulation.

Only bidirectionally coupled simulations allow for realistic evaluations of the impact, both directly and indirectly, that Car-to-X communication has on road traffic.

The Veins simulation framework, which we developed, provides all necessary functionality to perform this bidirectional coupling. The simulation framework relies on state-of-the-art simulators from both domains: it incorporates well-known models for road traffic microsimulation with a comprehensive selection of network protocol models. Using our methodology, we thus provide a means to evaluate developed protocols more accurately.

The presented study of a Car-to-X communication system's impact demonstrated not merely the applicability, but the outright need for bidirectional coupling of road traffic microsimulation and network simulation.

Quite a number of similar tools [43] have been developed in the last couple of years that integrate support for realistic mobility models. Most of these tools can, in theory, also be extended to support the same quality of geodata, performance metrics, signal propagation models, and route choice models inspired by human driver behavior. Table 3.4 summarizes some of the most commonly used tools. The table can be used as a reference if specific IVC applications and protocols are to be investigated with the help of simulation.

From the lessons learned from more than a decade of IVC simulation, we strongly advocate to base future studies on such more realistic tools. However, the availability and accessibility of such tools has often been a problem in the past.

For this reason, we decided to release our Veins simulation framework as Free and Open Source Software, licensed under the terms of the *GNU General Public License (version 2 or later)*, and have created a website¹⁰ to host the framework and associated documentation, tutorials, and sample scenarios.

By making Veins available and accessible to the public we actively counter a trend that is increasingly worrying the network simulation community, namely that “researchers do not test or document their programs rigorously, and they rarely release their codes, making it almost impossible to reproduce and verify published results generated by scientific software” [112].

¹⁰<http://veins.car2x.org/>

Toolkit	Network simulation	Mobility modeling	Traffic metrics	Human routing
ASH	JiST/SWANS	IDM/MOBIL *	-	N/A
vanet-highway	ns-3	IDM/MOBIL *	-	N/A
SWANS++	JiST/SWANS	STRAW \diamond	-	N/A
GrooveNet	(Proprietary)	Roadnav \diamond	-	N/A
VGSim	JiST/SWANS	Nagel-Schreckenberg	-	-
NCTUns	(Proprietary)	(Proprietary)	(Proprietary)	-
TraNS	ns-2	SUMO \star	SUMO	partially
Veins	OMNeT++	SUMO \star	EMIT and SUMO	multiple classes

* self-generated scenarios that simulate micromobility on a linear stretch of road with nodes moving at highway speeds

\diamond TIGER scenarios include most U.S. roads and a classification, e.g., *A31: Secondary and connecting road, state and county highways, unseparated*.

\star SUMO scenarios can be based on OpenStreetMap, importing speed limits, lane counts, traffic lights, access and turn restrictions

Table 3.4 – Summary of related simulation tools [43].

In contrast, the development of Veins has been in line with the recommendations set forth in this article: source code and scripts are broken down into small, well-documented, testable (and tested) chunks, are tracked using a version-control system, and are openly shared with other researchers.

We found this to be an invaluable step towards shaping a growing community that is using agreed-upon, openly-available, and high-quality technology for simulating Car-to-X communication systems.

Chapter 4

Engineering Car-to-X Protocols

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In this chapter, parts of which are based on our articles published in *IEEE Communications Magazine* [168], *Elsevier Ad Hoc Networks* [165], and *ACM/Springer Mobile Networks and Applications* [153], as well as presented at conferences and workshops [27, 152, 155, 161, 166, 167], we give examples of how to employ the techniques we presented in the last chapter for simulative performance evaluation of Car-to-X communication in heterogeneous networks. We first present two examples of protocol engineering, guided by the historical progression of research in this domain. These lead us to the design – and an evaluation – of an evolved version of a beaconing protocol designed for operation in truly heterogeneous environments.

Early inter-vehicle communication approaches concentrated on establishing a Vehicular Ad Hoc Network (VANET) in its strongest sense, i.e., the application of routing protocols and coordination techniques known from Mobile Ad Hoc Networks (MANETs) [148]. The objective was to set up a path between vehicles and – with the help of pre-deployed infrastructure elements such as Roadside Units (RSUs) – to a central server.

We investigate such an approach based on the Dynamic MANET On Demand (DYMO) protocol (cf. Section 2.4.1) in Section 4.1 and show that the main problem with these solutions is a lack of scalability along multiple dimensions [148]. First, messages can only be exchanged with their final destination if a link can be established (and maintained) to it – yet the stability of links deteriorates sharply with increasing path lengths. Secondly, this approach only works at sufficient node densities – yet the node density also has an upper bound, as wireless ad hoc communication suffers from high collision probabilities in congested areas. Both problems can be alleviated by deploying additional infrastructure elements, such as RSUs, which help maintain connectivity and reduce network load, but these come at high operational costs. Delay/Disruption Tolerant Network (DTN) related approaches [121] further support connectivity of nodes to a Traffic Information Center (TIC) by following a *store-carry-forward* communication principle and data muling concepts can be applied to Intelligent Transportation Systems (ITS) performing carry-and-forward of Traffic Information System (TIS) data between vehicles and such dedicated infrastructure nodes [149].

Another approach to Car-to-X communication systems, and so far the only commercially successful alternative, is the use of cellular networks for information exchange. Currently available solutions are commonly based on 2G cellular communication networks and recent studies show that special capabilities of 3G/4G networks (especially the availability of multicast communication), can be beneficial for the operation of Inter-Vehicle Communication (IVC) applications. We thus investigate such an approach in Section 4.2.

However, it needs to be noted that these solutions are fully dependent on available network infrastructure elements and only support an efficient downlink to

the vehicles. Moreover, such IVC approaches frequently rely on a central server that acts as a sink for new traffic information. This server also transmits the currently available information (or at least the samples currently relevant to a particular region) back to the participating vehicles. Such a centralized service can become a bottleneck or may not be available in some situations [83, 146].

The use of cellular networks without the need for a TIC was investigated by incorporating ideas from the peer-to-peer domain in the *peers on wheels* vision [138] and further refined in PeerTIS [137]. Conceptually, it is possible to build extremely robust traffic information systems supporting publish/subscribe interfaces managed by a Distributed Hash Table (DHT) maintained by the vehicles. Further, the MobTorrent approach has been published [25], which also provides mobile (BitTorrent-like) Internet access from vehicles using RSUs (building on the ideas of drive-thru Internet [121], but exploiting state-of-the-art data management functions).

As a further alternative that can support Car-to-X communications without the use of any infrastructure, decentralized solutions – one of the most sophisticated approaches being the Self-Organizing Traffic Information System (SOTIS) [195] (cf. Section 2.4.3) – have been investigated.

Common to these solutions is the broadcast of traffic information to neighboring vehicles, either periodically or triggered by new events [88]. Such traffic information can be surveyed in a decentralized manner, e.g., based on spatio-temporal data obtained from vehicle position traces [204]. Multi-hop broadcast is thus a promising technique, especially for emergency message propagation with delay bounds [134]. The dissemination process can also be supported using directed (i.e., geographic) flooding, which makes lightweight information encoding about both target areas and preferred routes a necessity [32]. Furthermore, aggregation and other data pre-processing techniques have been developed to increase the quality of traffic information and to decrease the associated communication load [98, 102, 195].

Beaconing, or 1-hop broadcast, is an inherent feature of most of the discussed systems, one example use being the collection of neighborhood information. The exploitation of periodic information exchange using such beacons, with special focus on safety applications, has been analyzed in extensive simulations in [144], showing that with increasing distance, the success ratio decreases quickly. Combined with a position based forwarding strategy, however, the approach could be improved. It was also shown that network load can be significantly reduced by selectively suppressing broadcasts based on 1-hop neighbor information and that reliability can be increased via the use of explicit acknowledgments [136]. Most recently, 2-hop beaconing has been described to acquire topology knowledge for opportunistic forwarding using the selected best target forwarder [98].

The main challenge for all such beacon systems is that they are very sensitive to environmental conditions such as vehicle density and network load. A first adaptive

beaconing system was REACT [185]. Based only on neighbor detection, it can skip intervals for beacon transmission to support emergency applications. Furthermore, fundamental scalability criteria need to be considered in order to make the protocol applicable in the target scenario [142].

We believe that beaconing systems are in general well suited for TIS data exchange. The key issue is that their optimal configuration is highly dependent on environmental conditions, e.g., number of vehicles or channel load.

A fully adaptive protocol was thus identified as the next logical step [143, 167, 168]. We introduce such a beaconing protocol in Section 4.3, demonstrating how to cope with the heterogeneity of real world scenarios, how to care for medium sharing with high priority messages and with other protocols, and how to flexibly incorporate optional support infrastructure. We conclude with the results of an evaluation based on a first implementation.

4.1 Deploying MANET Protocols in VANETs

In this first example of protocol engineering, we demonstrate a naïve approach to establishing a system delivering IVC functionality [153, 155]: we evaluate the performance of a system employing existing Internet and MANET protocols, along with standard hard- and software, to create and maintain a VANET and couple this network with the Internet. Evidently, this coupling of MANET and Internet is especially attractive for road users as it allows the utilization of virtually all existing resources of the Internet without relying on expensive dedicated channels provided by a cellular network. We thus investigate the feasibility, the performance, and the limits of such an approach.

As the MANET protocol of choice for this study, we employ the Internet Engineering Task Force (IETF) MANET working group's reactive routing protocol candidate, Dynamic MANET On Demand (DYMO) [24], which we presented in Section 2.4.1. The DYMO protocol draft expressly provides for the coupling of a MANET with the Internet, which makes an evaluation of communication connections between mobile nodes and static infrastructure especially attractive.

4.1.1 Simulation Model

At the time of writing, there were already a few implementations of DYMO available, mainly for Linux-based systems. Most notable are the *DYMOUM* (GPL), *NIST-DYMO* (public domain), *EK-DYMO* (closed source), and *DYMO-AU* (GPL, written in LUA and C) implementations for the Linux kernel. Furthermore, there is also an implementation available developed for sensor motes of the Mica series and the TinyOS operating system: *TYMO* (GPL, written in nesC).

In the world of network simulation, there exists an implementation of *DYMO* for *OPNET* (proprietary license). Furthermore, the *DYMOUM* package has been ported to two of the most commonly used network simulators, ns-2 and OMNeT++. The *DYMOUM* package is based on DYMO draft version 05.

Nevertheless, there have been several factors that motivated us to start a new implementation for OMNeT++. First, the dependency on operating system specific code leads to some problems in a number of simulation settings. For example, evaluating DYMO in a sensor network scenario was almost impossible due to the need to always involve the complete TCP/IP stack. Furthermore, the *DYMOUM* package only considered quite an old version of the IETF draft.

Therefore, we started a new implementation of DYMO for OMNeT++ to be used for research in our group. This model, subsequently called *DYMO-FAU*, was to be freely available for use and distribution under the terms of the GPL [151]. Our simulation model closely followed (and fed back into) the IETF MANET working

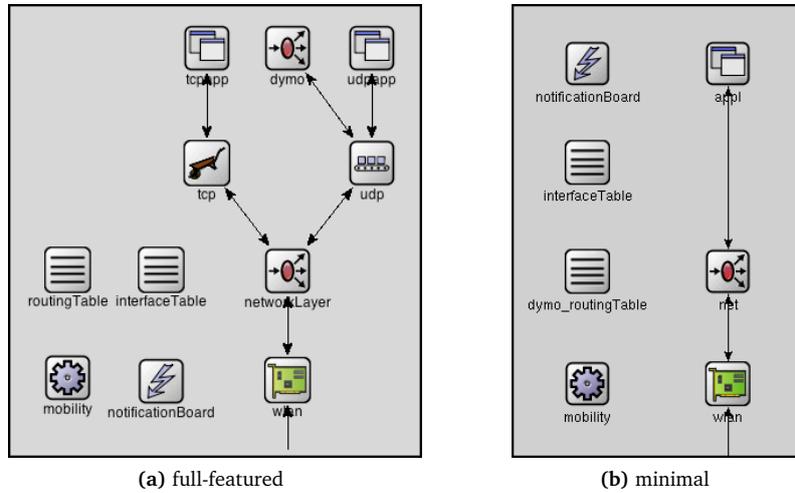
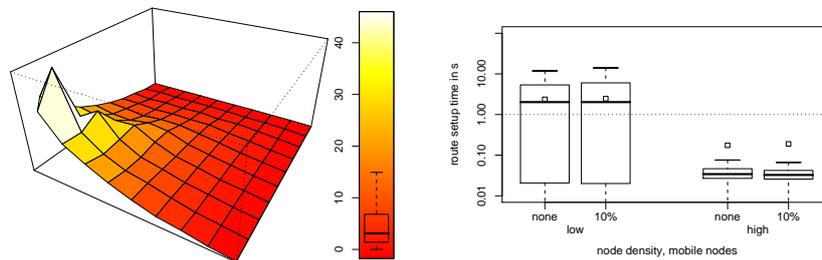


Figure 4.1 – Screenshots of full-featured and minimal MANET nodes running DYMO, as shown in OMNeT++.

group’s development of the DYMO protocol up until draft version 10. As a main advantage of this model, we see the applicability in both a fully standard conform TCP/IP based environment, as well as the parallel availability of a simplified version of the same code base for use without the complex IP stack. This version can thus form the basis of a model for evaluating, e.g., ad hoc routing based applications in sensor networks [36, 152].

The DYMO routing protocol was implemented as an application layer module of the INET Framework module set, which we enhanced to provide *netfilter*-style hooks in the network layer, to be used by DYMO in order to maintain a strict separation of protocol layers. Following the specification [24], it employs UDP to communicate with other instances of DYMO. The network layer hooks are used for two purposes. First, they facilitate the queuing of outbound packets before routing in the network layer occurs, so that a route can be set up by DYMO. The network layer can then be signaled to release buffered packets for a given destination – either in order to have them routed to the first hop or to have them discarded because no route could be found. Secondly, a hook is installed in the inbound packet path. It notifies DYMO of the arrival of packets. This way, routing table entries can be refreshed and route errors can be sent. Following the OMNeT++ component model, assembling DYMO and standard components of the INET Framework to form a simulated MANET node, illustrated in Figure 4.1a, is a straightforward task.

We outfitted our model of DYMO with the capability to queue payload messages received from the application layer, should no usable route be known at the time data is received. As mandated, our model will in this case repeatedly try to establish



(a) spatial load distribution in a grid; sink in bottom left corner (b) route discovery delay for varying degrees of node density and mobility

Figure 4.2 – Exemplary results from the extensive performance evaluation study of our DYMO model [153].

a route, then dequeue the messages for delivery to the destination or for destruction if no route could be found. Regarding route maintenance, we chose the most straightforward of the defined mechanisms for our implementation. Established routes are not actively monitored, but just time out if they are not used.

Two mechanisms are used to limit the range and the frequency of Route Request (RREQ) flooding, respectively. In order to limit the range, an expanding ring search technique as used by Ad Hoc on Demand Distance Vector (AODV) is used to find the target of RREQs, linearly increasing the Time To Live (TTL) from `MIN_HOPLIMIT` to `MAX_HOPLIMIT` with each new try. In order to limit the frequency of RREQs, a token bucket mechanism is used, with `RREQ_RATE_LIMIT` configuring an average rate and `RREQ_BURST_LIMIT` setting the maximum burst size.

For the purpose of evaluating the performance of the routing protocol only, as well as in order to prevent potential side effects introduced by a transport or network layer, we designed a variant of our DYMO model as a replacement for all intermediate layers and thus used it to not only forward Route Requests (RREQs) and Route Replies (RREPs), but also to take care of delivering our application layer's payload data.

As shown in Figure 4.1b, the simulated network nodes utilized in this evaluation thus contain only three modules for the handling of messages: Application layer data is sent and received by a traffic generator module; it is routed through the DYMO module and exchanged with other nodes via a WiFi module provided by the INET Framework. This simplified model of the DYMO protocol can also be used for the simulative performance evaluation of ad hoc routing approaches in environments that do not support the complex TCP/IP stack. The modules on the left hand side of Figure 4.1b do not deal with messages directly, but manage node connectivity (*notificationBoard*, *interfaceTable*) and node movement (*mobility*), as required by the INET Framework.

Parameter	Value
MIN_HOPLIMIT	5 hops
MAX_HOPLIMIT	10 hops
NET_TRAVERSAL_TIME	1000 ms
ROUTE_TIMEOUT	5 s
ROUTE_AGE_MIN_TIMEOUT	1 s
ROUTE_AGE_MAX_TIMEOUT	60 s
ROUTE_NEW_TIMEOUT	5 s
ROUTE_USED_TIMEOUT	5 s
ROUTE_DELETE_TIMEOUT	10 s
RREQ_RATE_LIMIT	10/s
RREQ_BURST_LIMIT	3 RREQs
RREQ_WAIT_TIME	2 s
RREQ_TRIES	3

Table 4.1 – Common parameters of a node running DYMO.

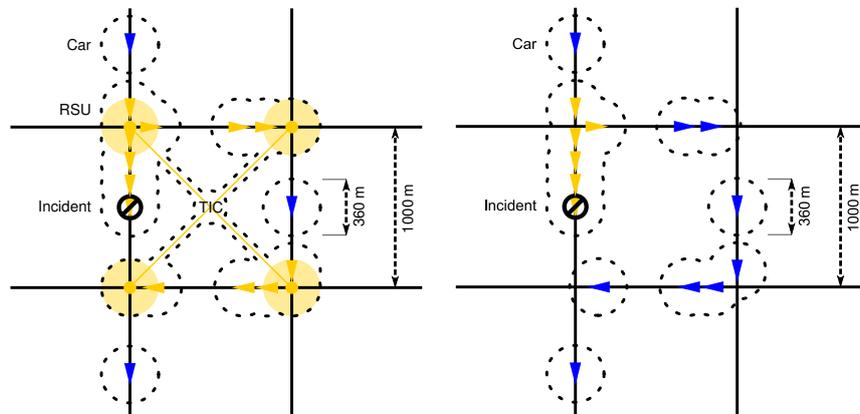
We validated our implementation and conducted an investigation of the performance of DYMO in the context of an extensive simulative study, to which we refer the interested reader [153]. In summary, the results obtained using our model agree very well with expected values (Figure 4.2a), though we were able to identify cases where further optimization of the protocol (still in development) was warranted. Furthermore we were able to demonstrate low route setup delays (Figure 4.2b) even under node mobility.

The simulation parameters used to configure a DYMO module correspond directly to the suggested parameter set. They are summarized in Table 4.1, together with the values used in our evaluation.

4.1.2 Simulation Setup

Conventional ITS are organized in a centralized way. Usually, sensor-based traffic monitoring systems are deployed directly at the roadside to collect information about current traffic conditions. Additionally, cars may participate as sensors in this scenario. The collected data is transferred to one or more dedicated processing entities, the TIC, where the current road situation is analyzed. The result of this situation analysis is transmitted to all participating cars via a broadcast medium.

An alternative and completely different approach for monitoring the traffic situation and distributing the traffic messages to vehicle drivers is to employ a decentralized self-organizing TIS, in which vehicles inform each other of the local traffic situation by means of IVC. The traffic situation analysis is performed locally in each car. No communication infrastructure or additional sensors are required.



(a) centralized communication scenario. IVC is supported by one RSU at each intersection, all connected to a TIC.

(b) decentralized communication scenario. IVC relies on VANET alone, leading to multiple isolated clusters.

Figure 4.3 – The two types of IVC scenarios for which the performance of a deployed MANET protocol was examined.

We thus use our implementation of DYMO to conduct an evaluation of the aptitude of MANET protocols for the operation of a VANET in two very different IVC scenarios: a *centralized* TIS using pre-installed infrastructure and standard DYMO routing vs. a *decentralized* self-organizing TIS relying on UDP based broadcasting only.

The *centralized* TIS scenario is depicted in Figure 4.3a. In this scenario, one RSU is added to each intersection to support IVC, and all RSUs are connected to a central traffic information service. In this scenario, vehicles employ ad hoc routing to set up and maintain a TCP connection to the central server, which we use to publish and revoke incident warnings. In intervals of 60 s or 180 s, depending on the scenario, vehicles also use this TCP connection to retrieve a list of current incidents from the central server. We label results from this scenario *tic60* and *tic180*, respectively.

The *decentralized* scenario is depicted in Figure 4.3b. We realized distributed behavior by flooding incident warnings through the VANET. Upon receiving an incident warning, a vehicle queries the originating node if the warning is still current and, if it receives a positive reply, will try and avoid the lane in question. Notifications are being flooded over 5 hops or 25 hops, depending on the scenario. We label results from this scenario *5hop* and *25hop*, respectively.

In both scenarios incident warnings are triggered as follows: vehicles with a speed of zero, after some time (in the simulations, we used approx. 1 s for this delay), try to inform other vehicles of a potential incident on the current lane, using either of the described mechanisms. If the network simulation determines that such an incident warning is eventually received by another vehicle, it will store

Parameter	Value
Maximum vehicle speed	14 m/s
Maximum vehicle acceleration	2.6 m/s ²
Maximum desired deceleration	4.5 m/s ²
Assumed vehicle length	5 m
Dawdle time	0.5 s

Table 4.2 – Road traffic microsimulation parameters modeling inner-city traffic with somewhat inattentive drivers.

information about the timestamp and contents of the warning message. Using the bidirectional coupling to the road traffic simulator, it will also trigger for this vehicle an adjustment of the affected road segment's estimated travel time. In addition, if somewhere along its route the vehicle would have passed this road segment, a route re-computation is performed using estimated travel times as the cost metric of Dijkstra's shortest path algorithm. Vehicles participating in the VANET are thus given the opportunity to adjust their routes to avoid an incident. When the originating vehicle resumes its journey, it notifies other vehicles that the lane can be used again, allowing them to restore their original estimated travel times.

We configured vehicles to drive at a maximum speed of 14 m/s (50.4 km/h, 31.32 mph) and modeled dense inner-city traffic with somewhat inattentive drivers (setting the *Krauß* model dawdle time to 0.5 s). Table 4.2 lists the values used to parameterize the vehicles of the road traffic microsimulation.

For all communications, the complete network stack, including ARP, is simulated and wireless modules are configured to closely resemble IEEE 802.11b network cards transmitting at 11 Mbit/s with RTS/CTS disabled. For the simulation of radio wave propagation, no obstacles are configured and a plain free-space model is employed, thus leading to best case network topology dynamics. The transmission parameters of all nodes are adjusted to yield a maximum range of 180 m, a trade-off between varying real-world measurements described in the literature [66, 200].

To provide ad hoc routing among nodes, we use the presented implementation of the DYMO routing protocol as an application-layer module of the INET Framework module set. As per the specification, it uses a node's UDP module to communicate with other instances of DYMO in order to discover and maintain routes and thus establish a VANET.

All simulation parameters used to parameterize the modules of the INET Framework are summarized in Table 4.3.

Parameter	Value	Parameter	Value
TCP.mss	1024 Byte	ARP.retryTimeout	1 s
TCP.advertisedWindow	14 336 Byte	ARP.retryCount	3
TCP.tcpAlgorithmClass	TCPreno	ARP.cacheTimeout	100 s
mac.address	auto	mac.bitrate	11 Mbit/s
mac.broadcastBackoff	31 slots	mac.maxQueueSize	14 Packets
mac.rtsCts	false	decider.bitrate	11 Mbit/s
		decider.snrThreshold	4 dB
snrEval.bitrate	11 Mbit/s	snrEval.headerLength	192 bit
.snrThresholdLevel	3 dB	.thermalNoise	-110 dB
.sensitivity	-85 dB	.pathLossAlpha	1.9
.carrierFrequency	2.4 GHz	.transmitterPower	2 mW
channelcontrol.sat	-80 dBm	channelcontrol.alpha	1.9
.carrierFrequency	2.4 GHz	.pMax	2 mW

Table 4.3 – INET Framework module parameters modeling IVC-enabled vehicles.

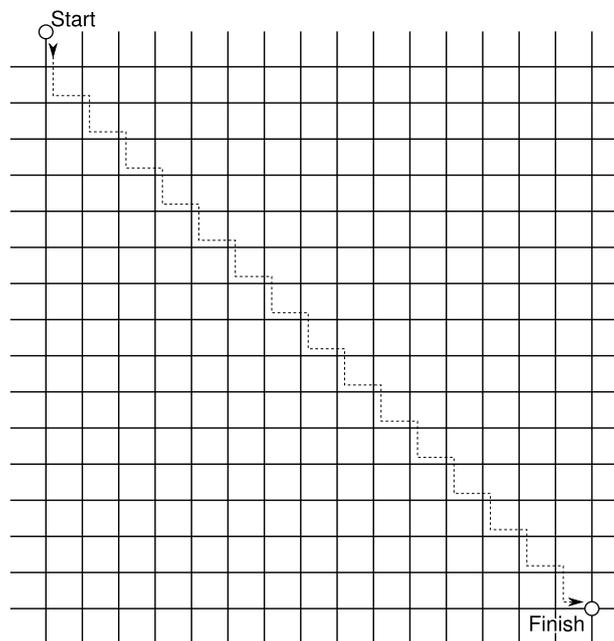


Figure 4.4 – Overview of the simulated grid scenario: single-lane roads are laid out in a grid with a cell size of 1 km^2 . Start and finish node positions are fixed. With no IVC, cars always pick the shortest route, illustrated by the dotted line.

4.1.3 Performance Evaluation

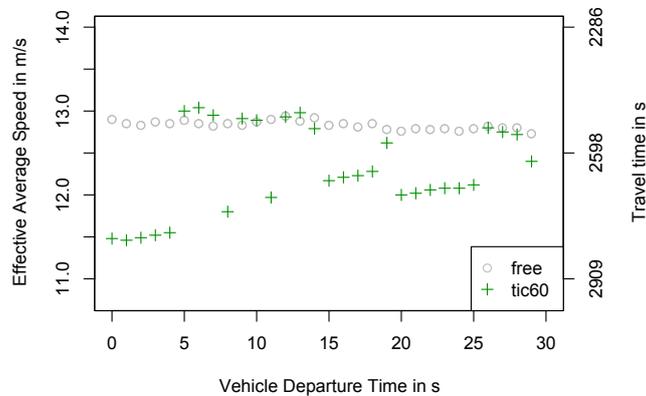
The scenario that we use for the evaluation is illustrated in Figure 4.4. Single-lane roads are laid out in a similar fashion to a Manhattan Grid scenario, with the intersections of roads spaced 1 km apart. This scenario is frequently used for evaluating protocols designed for urban scenarios – a very good and representative example is described in [178]. Simulations are performed for grid sizes of 5×5 roads and 16×16 roads (using 30 and 1000 vehicles, respectively). In each simulation, all vehicles start, one by one, at a fixed source node in the top left corner of the grid. If no IVC takes place vehicles then travel along the shortest route to a fixed sink node located in the bottom right corner of the grid.

Traffic obstructions are introduced by stopping the lead vehicle for 60 s or 240 s, depending on the scenario. As each road offers a single lane per driving direction, nodes cannot overtake each other and, hence, need to find a way around blocked roads by means of IVC, or get stuck in traffic.

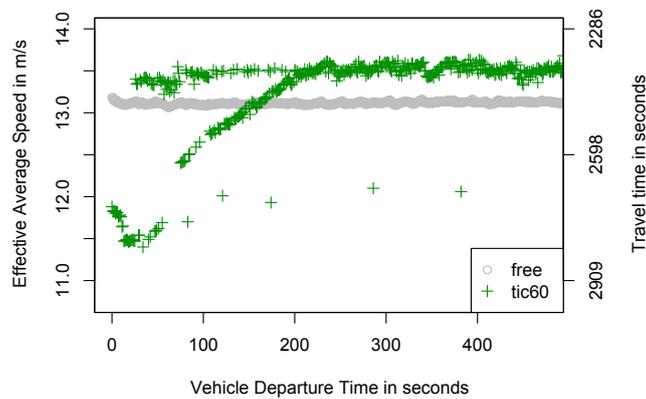
In order to provide a detailed look into the effects these obstructions help manifest in the simulation, Figures 4.5a and 4.5b show the effective average speed of vehicles, separated by vehicles' departure times. It was obtained by dividing the length of the shortest route by each vehicle's total travel time. Plotted is one single example run each, for both the case of free flowing traffic and the case of infrastructure-based IVC with an artificially triggered incident. In the 5×5 grid scenario depicted in Figure 4.5a, the cars following immediately behind the stopping vehicle are forced into a traffic jam and delayed accordingly. If the IVC message that is sent by the stopped car can be received by following cars, they can re-route to a free road and bypass the jam area.

Similarly, in the 16×16 grid scenario (shown in Figure 4.5b) the incident stopping the leading car involves a large number of following cars in a traffic jam. This time some of them are delayed even further. The first cluster of cars that were more than one road away from the incident, however, already had enough time to receive and process the incident warning early enough to be able to find alternative routes to the destination. This allowed vehicles to reach their destination even faster than in the incident-free scenario, where all just followed the shortest route. As can be seen, IVC managed to prevent permanent delays on the affected road segment, so even vehicles that were unaware of the incident were able to continue on their route shortly after the lead vehicle continued its journey: Up to a departure time of just over 240 s, their time spent in the jam linearly decreased towards zero.

Vehicles starting later than approx. 65 s and 250 s, respectively, were completely unaffected by the incident, the only noticeable delays being caused because of merging traffic flows as vehicles approached their destination.



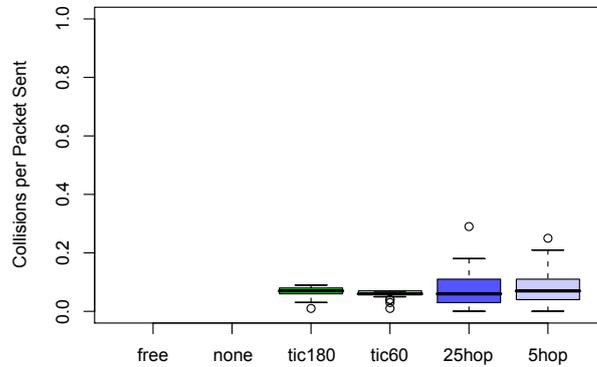
(a) 30 vehicles on a 5×5 grid; lead vehicle stops for 60 s



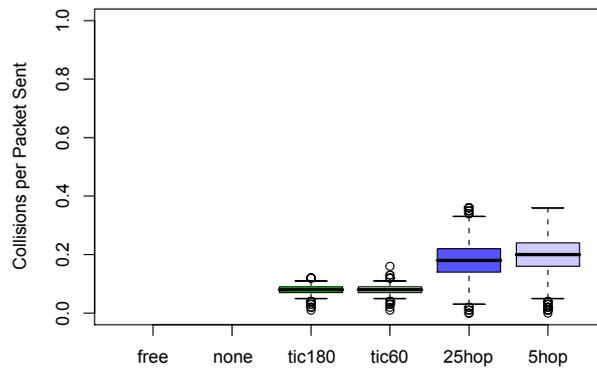
(b) 1000 vehicles on a 16×16 grid; lead vehicle stops for 240 s

Figure 4.5 – Average speed of individual vehicles, ordered by time of departure. One scenario with free flowing traffic, one scenario with incident and IVC. Vehicles poll the TIC every minute

In order to examine the broader impact of different IVC setups on communication performance, we now measure the number of packet collisions on the wireless channel per packet sent. This metric is often used in the context of analyzing the efficiency of MANET routing protocols as it describes the effective utilization of the wireless channel. Figures 4.6a and 4.6b show the results of this evaluation for small-scale and large-scale simulations, respectively. As can be seen, collision ratios in the centralized scenarios lead to a substantial packet loss of 10%, which could, however, still be compensated by TCP retry mechanisms. Collision ratios in decentralized scenarios, on the other hand, exceeded 25% in large-scale simulations, which massively hindered packet exchanges.



(a) 30 vehicles on a 5×5 grid; lead vehicle stops for 60 s

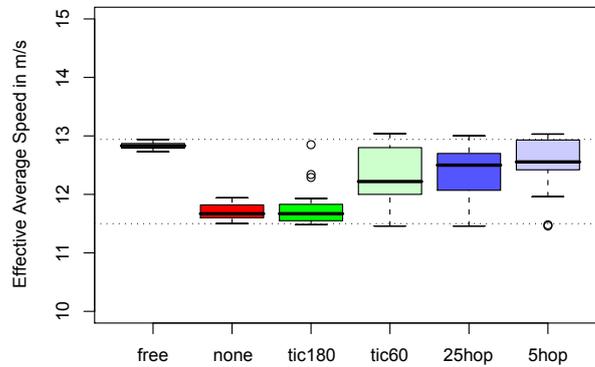


(b) 1000 vehicles on a 16×16 grid; lead vehicle stops for 240 s

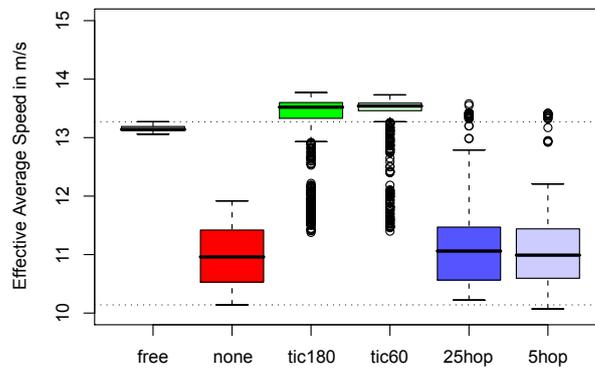
Figure 4.6 – Packet collisions on the wireless channel per packet sent. One scenario with free flowing traffic, one with no IVC, four scenarios with different types of VANET communication.

These results are also reflected in the impact different IVC setups had on road traffic performance. Plotted in Figures 4.7a and 4.7b is the effective average speed of vehicles, measured in small and large-scale simulations, respectively.

As can be seen, in the case of free flowing traffic, the travel time distribution among simulated vehicles in both scenarios is almost homogeneous, as could be expected, but speeds average at well below the maximum speed of 14 m/s. This is due to cars decelerating at every intersection, which, in combination with high traffic densities on the single, shortest route shared by all vehicles, leads to micro-jams. In the second case, where the lead vehicle is being stopped for a short amount of time (simulating, e.g., an accident), without IVC taking place, the average node speed is reduced by both this stop and by the traffic jam left behind.



(a) 30 vehicles on a 5×5 grid; lead vehicle stops for 60 s



(b) 1000 vehicles on a 16×16 grid; lead vehicle stops for 240 s

Figure 4.7 – Vehicle speed averaged over all vehicles. One scenario with free flowing traffic, one with no IVC, four scenarios with different types of VANET communication.

We now examine the influence of the two different Car-to-X communications paradigms on traffic performance as a whole. In the small-scale simulation of Figure 4.7a, a polling interval of 180 s for centralized communications proved too long to substantially influence road traffic performance, but a polling interval of 60 s already led to a noticeable improvement. Performance was even better for decentralized communication scenarios, where almost a quarter of vehicles did not suffer increased travel times due to the simulated incident if the TTL was reduced to 5 hops.

In the large-scale simulations of Figure 4.7b, results were almost reversed. Here, decentralized communications could only marginally improve road traffic performance and centralized communication scenarios fared far better. When a

small polling interval was used, almost all vehicles reached their goal even faster than they could in the case of unobstructed traffic without IVC, thanks to a large number of vehicles taking alternate routes, which reduced traffic densities and helped avoid micro-jams.

4.1.4 Conclusion

Evaluation of the feasibility and the expected quality of VANETs operated with the routing protocol DYMO showed that, at low densities (e.g., for low penetration rates) the examined approach is clearly inferior to even a simple flooding protocol. Here, the overhead of flooding management messages through the network to set up very short lived routes proved too wasteful to support the operation of an IVC system.

For small amounts of payload data to be transported and high traffic densities, however, it can be used to successfully set up and maintain ad hoc networks of vehicles and roadside infrastructure, even though it still causes disproportionate network load.

Still, it bears repeating that this study on the performance of DYMO-supported IVC systems was based on best case topology dynamics (i.e., no obstacles blocking radio communication) as well as an assumed 100% penetration rate with IVC technology and dedicated VANET infrastructure (i.e., every vehicle was outfitted with IVC equipment and every intersection was outfitted with an RSU).

As it is extremely costly to retrofit existing roads with such dedicated VANET infrastructure, an investigation of the aptitude of existing general-purpose communication infrastructure, i.e., cellular networks, might therefore prove worthwhile.

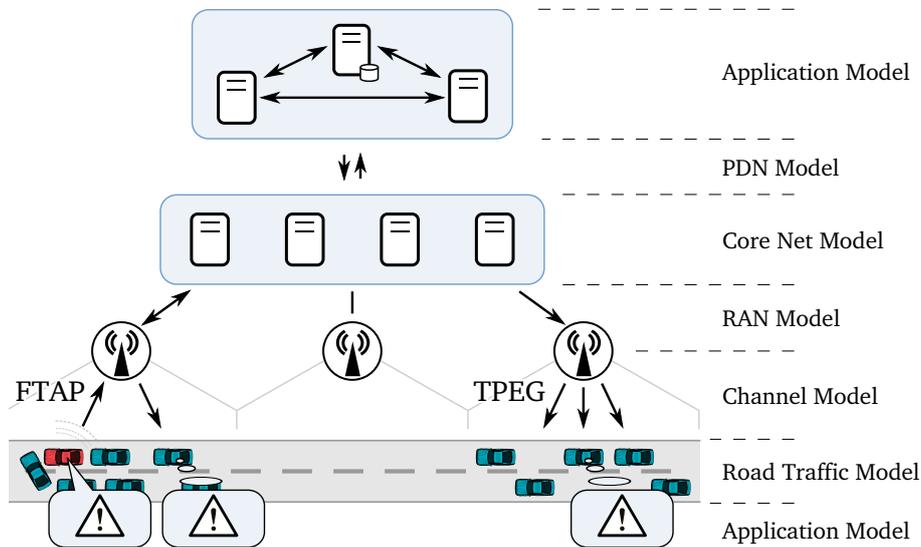


Figure 4.8 – Component model of the simulated UMTS-based Car-to-X communication system.

4.2 IVC Systems Based on Cellular Networks

The first commercial versions of what could be described as early Car-to-X communication systems based on 2G cellular networks are already available to customers today, but they are facing low network throughput and high operational costs, hindering their adoption as the basis for true IVC systems.

3G telecommunication networks, specifically the Universal Mobile Telecommunications System (UMTS), are a relatively new player in the field of IVC [140, 184] and offer a couple of benefits for IVC applications. Owing to their system design, in conjunction with much larger data rates compared to 2G networks, IVC system operation based on 3G networks becomes economically feasible. In addition, unlike infrastructure-assisted solutions using WiFi or WiMAX, UMTS-based solutions can rely on readily-available infrastructure.

Compared to WiFi-based IVC solutions, however, the perceived strengths and weaknesses of UMTS networks are quite different. While, for example, the security of infrastructure-less networks cannot easily be guaranteed [133], there already are strong security measures in place to guarantee 3G networks' integrity, which can be re-used for Car-to-X communication. As a second example, the distance between a message's sender and its intended receivers is almost a non-issue in 3G networks: its impact on the end-to-end delay is negligible. On the other hand, even for short-distance messages the end-to-end delay is already quite high compared to that of

direct radio links. A key question to be asked about a UMTS-based IVC system is therefore whether end-to-end delays will still be acceptable not only for common TIS applications, but also for the transmission of hazard warnings. Another important question is whether such a system will scale better [61] than more traditional WiFi-based infrastructure-less solutions to accommodate high penetration rates, given that in this solution all network traffic has to be routed through the available infrastructure.

Together with obvious business reasons, both questions are at the core of the problems which hindered adoption of some of the early 2G-based approaches [206] to Car-to-X communications via a cellular network proposed in the 1990s. Development of cellular network based solutions is now picking up again, with new approaches based on 3G networks.

The state of the art in cellular communication already allows for interesting and proven applications in the domain of ITS, one such application being the derivation of estimations on traffic density from passively acquired cellular network data and its distribution to end users within minutes, marketed as, e.g., the *TomTom HD Traffic* service. Moreover, new technologies in UMTS networks promise delays of less than one second while at the same time reducing network load.

Recent work in this field has mainly dealt with analytical evaluations of only some of such a communication system's aspects [184]. By means of an analytical model, the authors quantified the achievable performance in some realistic scenarios. In particular, the advantages of the Multimedia Broadcast Multicast Service (MBMS) extension to UMTS were studied, which is needed to support efficient infrastructure-based IVC services on top of the UMTS network if the number of users is high [10]. Although MBMS is still lacking widespread commercial adoption, interoperability tests and several trials in operators' networks have already proven the standard ready for adoption.

Experimental approaches have accomplished post-hoc analysis of implemented testbeds using state of the art technology. In these setups, either detailed studies have been conducted [140] or complex extensible testbed architectures have been developed [129]. However, only the currently deployed UMTS versions could be tested and the size of the experiments was limited. Moreover, an evaluation of the broader impact of a TIS based on real-world experiments is infeasible, and even simulative studies on this topic are rare [31].

Simulation experiments of UMTS networks are usually performed using proprietary models without focus on application in vehicular environments (e.g., in [7]) and without incorporating realistic mobility models. Furthermore, such simulations are not using a holistic approach, i.e., they are not including all system aspects from the wireless links to the core 3G network as well as influence of the road traffic, yet

even for WiFi-based infrastructure-less approaches it has been shown that coupled simulation of network communication and road traffic is necessary [156, 169].

Comprehensive evaluations of such vehicular communication systems, as well as using features which are still in the early planning stages, can, however, be performed if all components of such a system are modeled in sufficient detail and assembled into one simulation. Therefore, we extended our *Veins* simulation framework to allow for a *holistic analysis* of a complex 3G-based TIS.

Our simulation framework now includes a set of customized application layer protocols, to be used in both the up- and downlink direction. These protocols benefit from new features of cellular communication systems, e.g., High Speed Packet Access (HSPA) which improves up- and downlink data rates and transmission latencies. For easy integration into existing, standardized communication systems, these protocols are implemented on top of the cellular communication protocol stacks.

Veins could thus be used for the simulative evaluation of the planned real-world IVC system based on cellular networks designed in the Cooperative Cars (CoCar) project [59]. CoCar is a sub-project of the German government funded research initiative Aktiv,¹¹ which encompasses research in the fields of traffic management, active vehicle safety, and cooperative systems. Aside from examining the technological feasibility of such a system, CoCar also addresses establishing a solid business case [38].

In this case study [165] we show simulation results for a typical highway scenario based on real-world 3G network coverage data. The results clearly outline the capabilities of the simulation framework and the collected metrics could be shown to match small-scale field operational tests, as well as to be consistent with expectations of a large-scale rollout.

Figure 4.8 depicts an overview of the planned CoCar communication system, along with the various models that have been integrated to form the testbed we use for evaluations. Guided by this figure, we describe in the following each of the models the framework is composed of and detail how the models interact with each other.

First, Section 4.2.1 introduces the application models. Section 4.2.2 gives an overview on the Internet, core network, and radio access network models used. Section 4.2.3 details the UMTS channel model that was integrated with the simulator and Section 4.2.4 introduces the road traffic model. Finally, the results that we obtained in a simulative performance evaluation are outlined in Section 4.2.5, focusing on the use case of traffic jam warning exchange.

¹¹<http://www.aktiv-online.org/>

4.2.1 Application Models

In the simulation framework, components at the network edge are represented using detailed application-layer models of the respective services. These components are the TIC and the CoCar-enabled vehicles. Both send and act upon bit-precise representations of CoCar messages.

Three application-layer protocols have been specified in order to handle communication among vehicles in the CoCar system. The first one, the Traffic Probe Data Protocol (TPDP), is a lightweight binary protocol that serves to provide regular traffic condition updates to the server. As stated, we are focusing on the use case of warning message exchange, so in the simulation model only the two other protocols were deployed.

As illustrated in Figure 4.8, vehicles use the Fast Traffic Alert Protocol (FTAP) to send messages to the CoCar TIC. FTAP messages are sent in a very compact, binary representation. In the downlink, these messages are quickly broadcast to all vehicles in the same cell, again using the FTAP protocol.

This is achieved by dedicated infrastructure components installed close to the base stations, which we term the CoCar *reflectors*. These components are able to pass received FTAP messages to the TIC, but also to autonomously relay them back to the originating cell.

In a second step, the TIC aggregates all received messages' contents to maintain a higher-level view on traffic conditions, potentially integrating information from external sources. From this high-level view, a pool of CoCar messages is then derived.

These messages are using a message encoding based on that of the Transport Protocol Expert Group (TPEG) protocol suite, which defines a standardized means for traffic data exchange between cooperating parties and with end users. CoCar messages were integrated with this suite, specifying missing CoCar information elements to create a TPEG-conformant message type [27], called an *application* in TPEG terminology. This CoCar application builds upon, but is independent from other standardized TPEG applications.

Thus, CoCar application messages are formatted using XML and are conformant to the TPEG XML protocol standard series [180]. By this means, CoCar messages can be very flexibly handled: On the one hand, they can be used alone, without any other TPEG application; on the other hand, they can be easily integrated into a TPEG message stream and transferred to the clients using other applications. Traffic messages in the pool are then periodically geocast in the form of a TPEG carousel.

Figure 4.9 illustrates the modular buildup of a CoCar-enabled vehicle from logical components, which realize the functionality described in the following. The CoCar specific protocol is built upon the IP and UMTS protocol stacks.

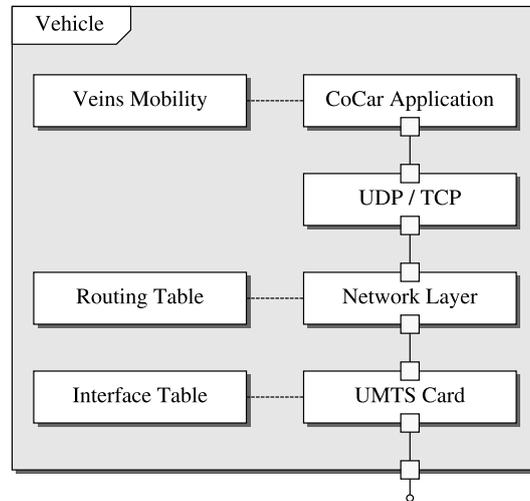


Figure 4.9 – OMNeT++ representation of a CoCar-enabled vehicle in UML notation.

The selection of transport layer protocols, such as UDP or TCP, depends on the functional requirements as well as on performance requirements of the applications, like the message transmission delay.

Vehicles in the simulation model receive traffic information messages via modules representing a UMTS card and keep a record of traffic conditions. They are also configured to continuously monitor the state of their mobility module. When the node is detected to have inexplicably stopped for an extended period of time, it assumes that a traffic jam has occurred. In the application-layer module, a vehicle then generates a traffic message and sends it via its UMTS card to the TIC under two conditions: The vehicle did not yet receive a similar incident warning and enough time has passed since the incident was detected to compensate for the round trip time of traffic messages that might be underway.

The TIC of the simulation model serves as the CoCar system's core component which can collect and process CoCar specific application messages from authorized cars and from auxiliary traffic and travel information service providers. As shown in Figure 4.8, the TIC is located outside the UMTS core network, connected via the Internet. It thus communicates with vehicles via the UMTS core network components and provides interfaces to other service providers.

In order to obtain realistic results, application layer models employed techniques to avoid unnecessary message transmissions, such as micro-aggregation of FTAP messages at the server side prior to them being re-broadcast to the same cell and client-side duplicate avoidance by keeping track of what was already reported to the TIC.

Several parameters were introduced that allowed for a fine-tuning of the application layer models' behavior to optimize their performance. Among these configurable aspects are:

- variable FTAP and TPEG message configurations to examine trade-offs between system performance and different message and header lengths, e.g., to provide support for security elements,
- bandwidth limits to be observed by the TIC to examine the impact of CoCar transmissions on the core network vs. reduced delays,
- freely-configurable message repeat intervals and validity timeouts to examine how best to balance network load and dissemination speeds, and
- architectural variants of the communication infrastructure, to help judge in what direction core network components of the UMTS should evolve.

4.2.2 Network Models

The number of parallel unicast connections in UMTS is limited by the cell size: The distance between a mobile terminal and its associated UMTS base station (i.e., the NodeB) determines the path loss for the radio connections. A vehicle that is far from the cell's center will require a higher transmit power to communicate with the NodeB. This, in turn, increases the overall interference level of the cell. Since UMTS is an interference limited system, this reduces the number of possible simultaneous connections in the cell, eventually leading to new connection requests being blocked.

In order to avoid unrealistic simulation setups, nodes representing UMTS base stations were therefore set up in the simulated area according to real-world 3G network coverage data courtesy of *Vodafone Group R&D Germany*, with typical cell sizes ranging from 500 m in populated areas to approx. 4500 m in the countryside.

Three network elements are used to transmit messages received at the NodeBs to the communication system's TIC. All NodeBs are connected via the radio access network to the UMTS provider's core network components. These components relay messages via the core network to a Gateway GPRS Support Node (GGSN), where they are commonly re-framed for transmission over a public network and to their destination, namely the TIC.

Algorithms for packet scheduling and optimization are the intellectual properties of network operators and the actual network design varies widely between different implementations. In our simulation framework, both the radio access network and the core network components are therefore simulated at an abstract level: All base stations were transparently connected to a node representing the GGSN and the

processes of message scheduling and transmission were reproduced using statistical models of the radio access network and core network.

However, all protocol adaptation and re-framing at this gateway node was performed using the well-tested models of the OMNeT++ INET Framework extension for Internet models. This made it possible to simulate the channel between gateway and TIC using detailed models of an Ethernet link and network cards, as well as all protocol layers up to the transport layer.

4.2.3 Channel Model

Actually performing all signal processing tasks that take place on the UMTS physical layer for every single network connection is infeasible in terms of computational effort and memory consumption. Instead, performance measures should ideally be modeled on a higher level.

Therefore, realistic simulation of UMTS channels was accomplished by employing a dedicated link level simulator and performing extensive simulations of packet transmissions off-line. In the following, we detail how a set of statistical models was derived from the results of these simulations to serve as the basis of a simulation module modeling packet errors and delays encountered during UMTS packet transmissions.

Channel quality in the UMTS system has a dependency on vehicle speed, which is a continuous figure. Thus, for the simulations, a set of discrete vehicle speeds was picked and for each (as well as for all combinations of remaining input parameters) a separate set of simulation results was computed. Subsequently, the results of these simulation experiments were used to derive distribution functions for all involved statistic variables.

For each radio transmission to be evaluated in the target simulation framework, the simulation module could then interpolate between the two most closely matching distribution functions for a vehicle's speed and for the current communication parameters to arrive at a packet error rate and the transmission's delay and duration.

4.2.3.1 Random Access Channel (RACH)

The simulation results (Figure 4.10) depict the distributions of delay times that can be expected for various RACH parameter settings. Specifically, the delays consist of the time that passes between message generation in the mobile terminal, the subsequent power ramping phase, and the correct detection of the access preamble by the NodeB.

Table 4.4 shows the parameters that were used in the overall performance evaluation. The solid black line of Figure 4.10 represents those default parameters, whereas the other curves were generated by varying a single parameter each. All

Spreading Factor	32
No. of receive antennas	1
Vehicle speed	0 km/h . . . 130 km/h
Power step	2 dB
No. of sub-channels	2
Size of message	70 Byte

Table 4.4 – Default set of parameters for the RACH.

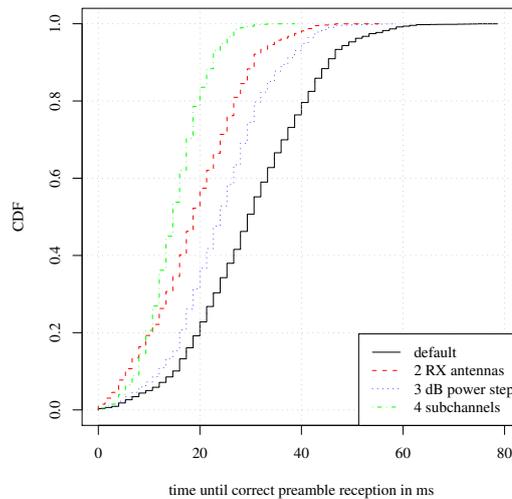


Figure 4.10 – Empirical CDF of the random access procedure duration for the default set of parameters ($c_0 = -6$ dB, 2 dB power step, 1 RX antenna, 2 RACH subchannels), compared to alternative parameterizations.

simulations use the *ITU Vehicular B* power delay profile for modeling multipath fading. Transmission times for data are not included in the figures. Since these are deterministic values, they can be added as a constant factor as needed.

The simulation studies show that the access times for the RACH can be influenced by operator-chosen parameters as follows. The *number of sub-channels* that is available for a particular service determines the frequency of the allowed access attempts and, therefore, the capacity of the RACH. Increasing the number of RACH sub-channels has shown to yield a linear gain in latency, at no additional cost. However, it should be noted that there are only a total of 12 sub-channels available for all services and that a minimum of 3 access slots, which equates to 4 ms, must remain unused between two consecutive access attempts [1]. In the following, we therefore assume two sub-channels to be allocated.

The *power step size* must be chosen rather carefully. For a single user, a larger step size will increase the probability for each preamble to be successfully detected

by the NodeB. Nevertheless, in a typical multi-user scenario, the interference caused by access attempts will negatively affect transmissions of other users, thus increasing delay times. The power step size can be chosen from a range of 1 dB . . . 8 dB, with 1 dB steps [2]. As a trade-off, we assume a power step size of 2 dB.

Finally, the *number of receive antennas* of the NodeB is taken into account. As can be seen from Figure 4.10, NodeBs equipped with antenna arrays will be able to achieve a considerable gain in detection performance, which can greatly reduce delay times on the air interface. Still, we assume a conservative configuration with one receive antenna.

Although this choice of parameters is rather conservative, our system is able to maintain sufficient QoS to support even time-critical applications, as we will show in the following. As mentioned, the solid black curve (labeled *default*) in Figure 4.10 depicts the delay distribution for this specific set of parameters. Obviously, 90 % of all access procedures take about 55 ms or less to complete. Using a spreading factor of 32 results in a data rate of 120 kbit/s on the physical layer, or 150 Byte per frame. The duration of a frame is 10 ms.

Taking into account the channel coding and overhead from the higher protocol layers, we find that the 70 Byte message cannot be transmitted during one frame but must be split into two. Subsequently the total delay for 90 % of all access procedures can be calculated according to Equation (4.1).

$$45 \text{ ms} + 2 \times 10 \text{ ms} = 65 \text{ ms.} \quad (4.1)$$

Messages that do not fit into two radio frames would have to be split further and a new access procedure is required after each two consecutive frames, which will again increase the time to complete the data transmission. Therefore, in the CoCar system only FTAP messages, which will fit into at most two RACH frames, are transmitted via the RACH.

4.2.3.2 Forward Access Channel (FACH)

In the Forward Access Channel (FACH) simulation model we used, transmission of a message of size n on this channel can be calculated according to Equation (4.2).

$$t = \left\lceil \frac{n}{40 \text{ Byte}} \right\rceil \times 10 \text{ ms} \quad (4.2)$$

This is because messages are transmitted on the FACH in discrete slots of 10 ms each. Implementers of the FACH can choose between a number of different slot formats, detailed in [3]. This results in different spreading factors being used and different channel bit rates being available for transmissions. In our evaluations, we assume a FACH parameterization that allows 40 Byte of data to be sent in one frame.

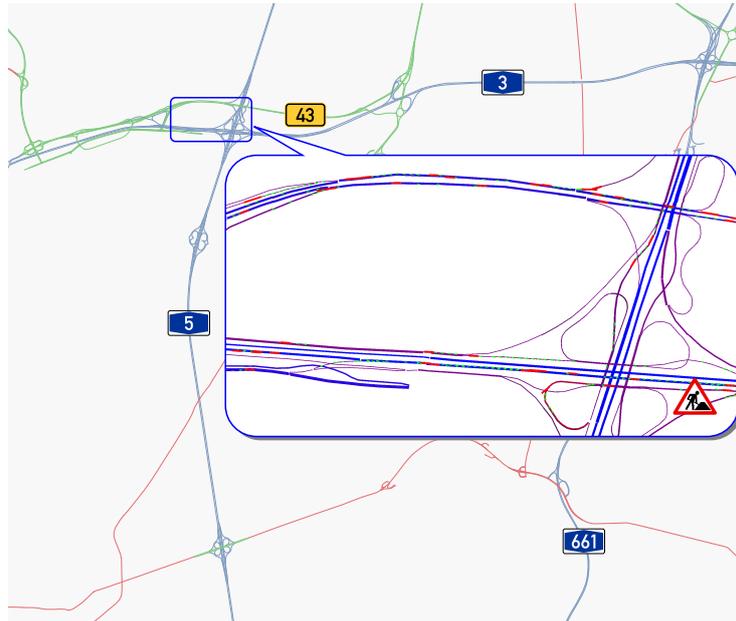


Figure 4.11 – Road map of the 10 km × 10 km simulation scenario and GUI screenshot of the traffic simulator, showing a subsection of the freeway interchange during the simulation.

Ideally, multicast downlink messages in the CoCar system are therefore transmitted over the UMTS FACH. If no multicast capability is present in the communication system, UMTS Dedicated Channels (DCHs) are used.

4.2.4 Road Traffic Model

Serving as communication scenario of the proof-of-concept evaluation was traffic in the area of a large freeway interchange next to Frankfurt Airport. This region, where two major German freeways (A3 and A5) and one large highway (B43) connect, was chosen because of its overlap with the testbed of the German research initiative sim^{TD} [174] and because of the challenges it poses to routing and traffic optimization. Figure 4.11 gives an overview of the scenario, which spans an area of approximately 10 km × 10 km. In this area, all traffic on roads classified as *Autobahn*, *Schnellstraße*, and *Bundesstraße* (freeway, expressway, and highway) was simulated. Traffic flows of 2000 vehicles were set up in the east-west and west-east directions of freeway A3, keeping the number of simulated cars manageable, but at the same time allowing for a multitude of alternative routes, e.g., along highway B43.

The mobility models of nodes were configured to model two distinct vehicle classes, loosely representing cars and trucks, their parameters set to the values given in Table 4.5.

Parameter	Car	Truck
Fraction of vehicles	80 %	20 %
Mobility model	Krauß	Krauß
Maximum speed	35 m/s . . . 70 m/s	22 m/s . . . 28 m/s
Maximum acceleration	2.60 m/s ²	1.30 m/s ²
Desired deceleration	4.5 m/s ²	4.0 m/s ²
Assumed length	5 m	15 m
Dawdle time	0.5 s	0.75 s

Table 4.5 – Road traffic microsimulation parameters modeling a mix of cars and trucks.

This parameter set lead to a diverse mix of vehicles participating in the scenario, which in turn lead to very dynamic traffic patterns emerging during simulations. A lane-precise road network model was employed, based on geodata available via OpenStreetMap, as presented in Section 2.2.4.

Realistic movement of cars was achieved by first iteratively applying the Dynamic User Assignment (DUA) algorithm [54] for the computation of routes for all vehicles until a steady state regarding route selection was achieved. The mobility scenario was then modified by adding an artificial traffic obstruction, namely the closing of two out of three lanes at the freeway interchange, preceded by a short subsection of freeway that imposed a 60 km/h speed limit on all vehicles. No adjustment of pre-computed routes was performed for this modified scenario, so all vehicles started out unaware of the presence of the obstruction. This way, the traffic pattern in the vicinity of the obstruction quickly reached congested conditions with densities peaking at 365 vehicles within the service area of one UMTS cell. Vehicles could then use the CoCar communication system to exchange information about perceived traffic jams, causing all receivers of such a warning to adjust their routes to avoid affected roads.

In order to compare mobility scenarios in terms of smoothness of traffic and the associated environmental impact, we rely on the emission metrics provided by Veins, which are based on the EMIT model presented in Section 3.2.

4.2.5 Performance Evaluation

The first and foremost benefit of any TIS is, of course, the increase in overall road safety which it can effect not only by assisting users (e.g., with route planning), but also by providing early and accurate jam and hazard warnings. Secondly, a TIS can facilitate dynamic re-distribution of traffic, decrease local traffic densities, and thus lead to smoother traffic. However, operational parameters of a UMTS-based TIS (in particular when optimizing for timeliness of messages) have to be balanced with

the load it will exhibit on the network infrastructure, which is shared with other applications.

In the following, we outline selected results from multiple runs of simulative performance evaluations of these three problem domains, obtained by modeling the interworking scenarios of communications and road traffic in an IVC system based on cellular networking. In particular, we provide answers to the following questions:

- Will the system strike a balance between smoothing traffic and at the same time not send vehicles on unnecessarily long detours? As the quality of this balance is directly reflected in overall traffic emissions, we first examine the impact system operation has on CO₂ emissions.
- How much strain will different system configurations put on the network infrastructure? What is the network load on the air interface, the core network, and on the servers?
- How timely will vehicles learn about a new event, either in the immediate vicinity or at an arbitrary location? What end-to-end delay can be expected for messages received via FTAP or via TPEG?

4.2.5.1 Impact on road traffic

Figure 4.12 shows the results of the first evaluation, examining the impact of the CoCar communication system on the environment. It plots the empirical CDF of all vehicles' total amount of CO₂ emitted during their travel from start to destination, independent of the length of their route. As can be seen, activating the CoCar communication system in simulations did not lead to an increase in participants' CO₂ emissions, as could be assumed based on the much longer detours that vehicles would now routinely take to reach their destination. Instead, a slight decrease was observed – from a median of approx. 1755 g per vehicle and a total of 3780 kg to a median of 1679 g and total of 3740 kg. This is also indicative of a smoother traffic flow.

4.2.5.2 Impact on network traffic

Aside from the impact the CoCar communication system might have on road traffic, it is just as important to know how the rollout of such a system would affect existing services – in particular with regard to the allocation of network resources to the CoCar system. As the availability of MBMS (and, hence, a multicast message dissemination service) was expected to have a large impact on system load and delays, the following evaluations have been performed for two different parameter sets each, modeling a system with and without MBMS capability.

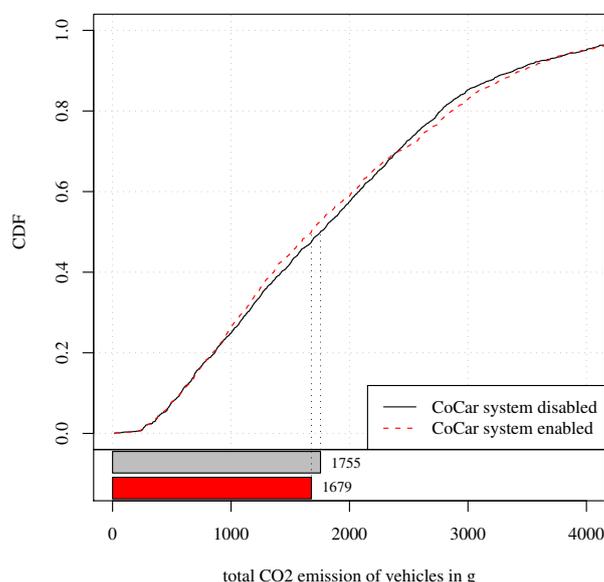
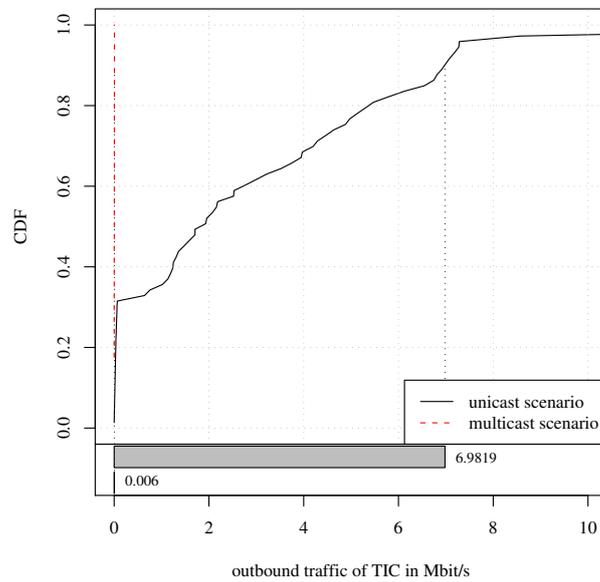


Figure 4.12 – Impact of the CoCar communication system on road traffic: Quantiles of vehicles' CO₂ emissions; empirical CDFs and median values.

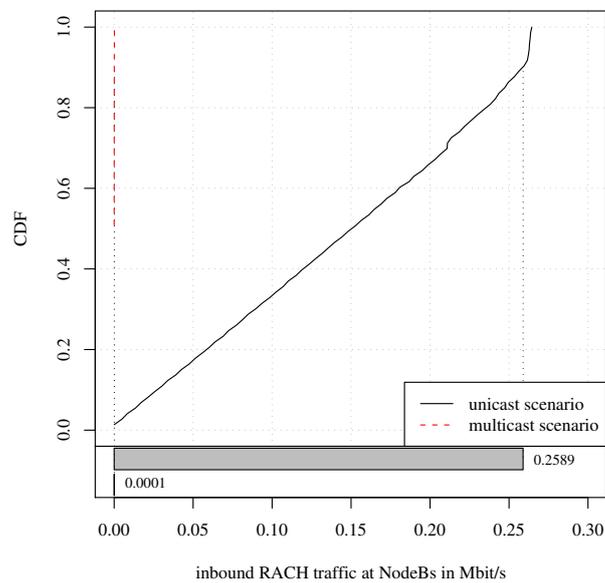
Figure 4.13 illustrates the impact of the CoCar system on network traffic – both in the core network and on the air interface. Figure 4.13a shows an example of the network resources used by the CoCar system depending on the system configuration, plotted as an empirical Cumulative Distribution Function (CDF) of the average data rate of transmissions from the TIC, i.e., data sent to the core network. As can be expected, the relations between message size, carousel size, and allocated maximum network resources lead to a bursty traffic pattern. In the unicast case, network load was heavy, easily reaching approx. 7000 kbit/s, with the typical system load still exceeding 2000 kbit/s. In a system with MBMS capabilities, the median of network load yielded a much lower 2.5 kbit/s and the 90% quantile yielded only 6 kbit/s.

Figure 4.13b shows a similar plot, but illustrates the average data rate of transmissions from CoCar clients, i.e., data sent on the RACH. Here, client-side duplicate avoidance lead to a sparser utilization of the available channel, with network resource utilization in a unicast-only system remaining below 300 kbit/s and, more importantly, utilization of the MBMS-capable system yielding a 90% quantile of under 0.2 kbit/s and a median value near 0 kbit/s.

Extrapolating these results for a nationwide communication system, even when keeping in mind that the measures recorded in this simulation only reflect the quantity of data transmitted for an area of less than 10 km × 10 km, these results are very promising. In the end, most of the accumulated data is only relevant for



(a) Downlink to vehicles



(b) Uplink to TIC

Figure 4.13 – Impact of the CoCar communication system on network traffic; empirical CDFs and 90% quantile.

the area it was recorded in and, given appropriate infrastructural support, need not be transmitted globally.

4.2.5.3 End-to-end delays

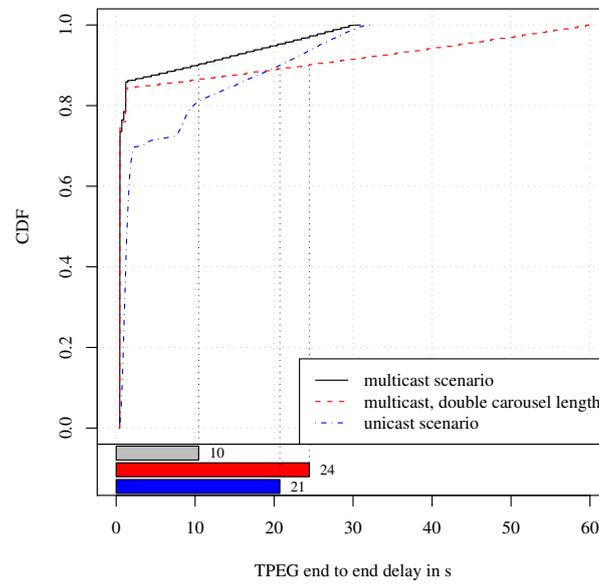
From an end user perspective, one of the most important measures to be recorded for the CoCar communication system is that of end-to-end delays. Often, the end-to-end delay is the one key figure that determines whether certain applications are feasible in a system – or if they simply cannot be realized because information would not reach its addressees in time.

These measures are plotted in Figure 4.14 for the TPEG and FTAP message types and for three different parameter sets. Again, the first parameter set represents a communication system incapable of performing MBMS services. The second and third parameter sets both model an MBMS-capable system and illustrate the trade-off between on the one hand reducing delay in the direct vicinity of traffic incidents, and on the other hand increasing the delay that wide-range dissemination of information will suffer.

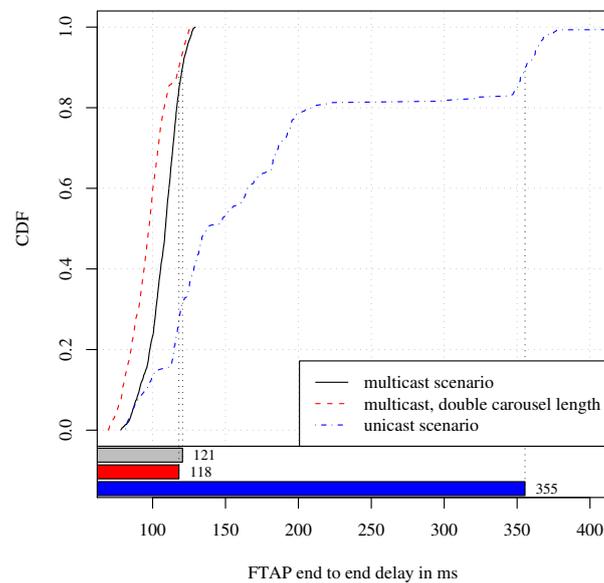
Figure 4.14a shows the delay between a warning message being sent by one vehicle and its associated TPEG traffic information message being received by another. Not counted is the time for cars that had not yet entered the simulation area when the message was sent and were as such fundamentally unable to receive it. Also explicitly not reflected in this figure are messages containing information that was already known to cars, e.g., because they had been received via FTAP by the time a TPEG message was received.

For the first parameter set (a system performing only unicast transmissions of messages in the downstream) noticeable end-to-end delays were experienced by most vehicles, with delays of up to 21 s for the fastest 90 % of transmissions. For an MBMS-enabled system, however, 90 % of messages could be seen to have experienced end-to-end delays of under 10 s with 75 % of messages experiencing no more than a 1 s delay. Still, even in this case, for 10 % of messages it took up to one complete carousel repetition interval until they were received by all vehicles. In the baseline scenario, this means that messages may take up to slightly over 30 s (in the case of doubled warning intervals slightly over 60 s) until wide-area dissemination of a message is attained.

Similarly, Figure 4.14b shows the delay between a warning message being sent by one vehicle and the re-sent FTAP message being received by vehicles in the same cell. Once again, this measure only includes transmissions for cars that had already entered the simulation area and which had not already been informed about the particular congestion.



(a) Messages via TPEG



(b) Messages via FTAP

Figure 4.14 – End-to-end delay of CoCar messages, depending on the means of delivery; empirical CDFs and 90% quantile.

While results for an MBMS-incapable system do not appear very promising for full-scale deployment of services (with the 90 % quantile of messages experiencing a near-field communications delay of over 350 ms), optimal parameterization of an MBMS-enabled system could be shown to result in average delays of approximately 100 ms. Moreover, in both evaluated parameterizations of an MBMS-enabled system, a 90 % quantile of under 125 ms was achieved – a value that is well under the human reaction time.

4.2.6 Conclusion

Based on a simulative performance evaluation, we demonstrated how *Veins* could help in the design and evaluation of a planned UMTS-based Car-to-X communication system. Such 3G approaches might complement recent efforts to establish VANET-based ITS such as TIS applications – in particular because they are already widely deployed and provide capabilities such as inherent security measures and low latency communication independent of distance. Both are properties which are needed in the intended scenario. The simulative evaluation is not limited by currently implemented UMTS infrastructure and thus able to use forthcoming technologies. We described in detail the individual models our simulations were composed of and how these models interact in the framework.

A simulation based study, which matched the results of later small-scale field operational tests very well, demonstrated the capabilities of such an UMTS-based Car-to-X communication system. The results of the performed evaluation indicate much lower delay times and a much lighter network load than can be achieved with currently-implemented UMTS infrastructure. Bringing about an almost unnoticeable use of uplink capacity, the proof-of-concept evaluation of a cellular network based Car-to-X communication system using optimally-parameterized MBMS yielded near-field communications delays well under the human reaction time. Moreover, wide-area delays in the system still easily surpassed those of conventional infrastructure-less communication systems.

It should be noted, however, that these results are only made possible by far-reaching modifications of the UMTS system: operating the presented CoCar system entails not only an adjustment of system parameters for optimal IVC performance, but – much more importantly – the additional introduction of the aforementioned dedicated *reflector* components close to each base station. This, however, means a substantial investment in additional communication infrastructure before the system is able to perform as presented in this evaluation. Thus, further investigations into IVC approaches that can operate in an infrastructure-less fashion – yet are also able to make use of deployed infrastructure – are warranted.

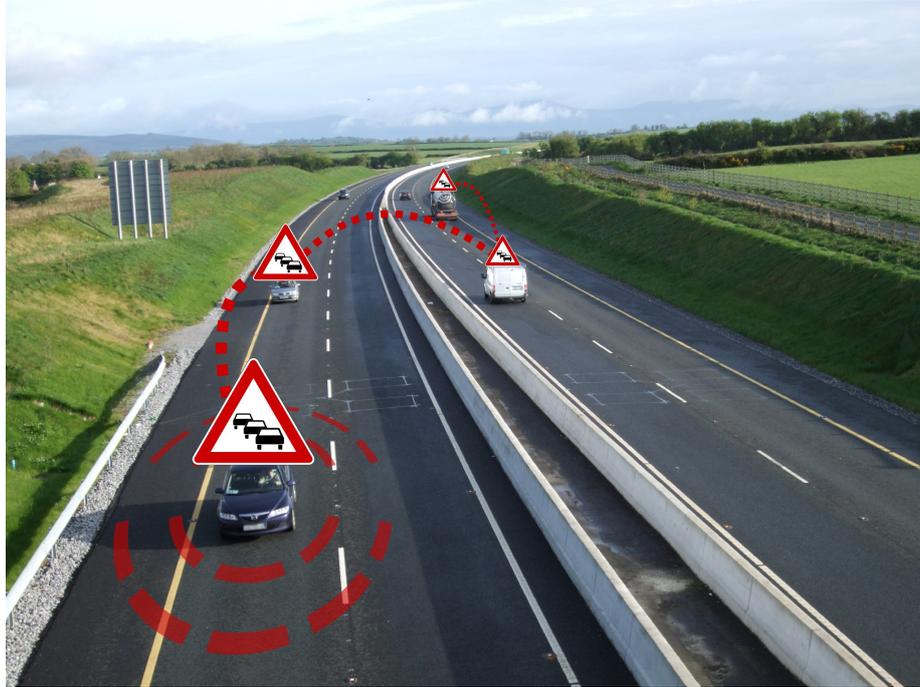


Figure 4.15 – Conceptual visualization of the beacon mechanism. Vehicles communicate by periodically exchanging parts of their knowledge bases.

4.3 The Adaptive Traffic Beacon (ATB) Protocol

We now demonstrate the final result of applying the presented simulative performance evaluation and protocol engineering techniques, taking Car-to-X protocol designs one step further: we created an evolved version of a beaconing approach designed for operation in truly heterogeneous environments – the Adaptive Traffic Beacon (ATB) protocol [160, 167, 168].

Many efforts have been reported that study quite diverse strategies for IVC, resulting in a variety of specialized IVC protocols [148]. However, some of the most challenging problems are still not fully solved: First, protocols have to be able to cope with rapid changes in network topology and utilization. Secondly, available resources have to be coordinated in a self-organizing, distributed way, dynamically incorporating infrastructure elements and, optionally, centralized information repositories. Thirdly, delay-sensitive transmission of emergency messages has to be balanced against channel load, so as not to overload the channel [149].

In our approach (illustrated in Figure 4.15), we focus on both the collection and the distribution of traffic information in the context of a fully decentralized TIS operating in heterogeneous environments, as well as the support for delay-sensitive

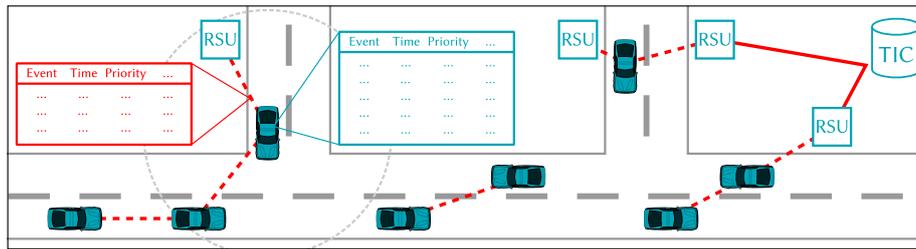


Figure 4.16 – ATB system architecture.

and congestion-aware wireless communication. Our objective is to provide support for intelligent roads or active highways that optimize routing of vehicles [68].

We present and evaluate the ATB protocol, which is designed to ensure an uncongested channel (i.e., prevent packet loss due to collisions), and reduce the end-to-end delay of the information transfer. ATB uses periodic beacons (i.e., single hop broadcast packets), to exchange information among neighboring cars.

One of the key goals of ATB is to continuously adapt the interval between two beacons to utilize all unused capacity of the wireless channel, but never more. In order to achieve this, the quality of the wireless channel is estimated using three aspects:

1. observing collisions, recent overload situations can be detected,
2. the Signal to Noise Ratio (SNR) provides a rough estimate of the current channel conditions, and
3. the vehicle density is an indicator of transmissions to be expected in the next time interval.

We further take message utility into account, a metric reflecting the benefit of broadcasting a particular message. None of these mechanisms for adaptation does assume, nor does it create, network topology or roadmap information.

In addition to supporting fully decentralized information exchange among participating vehicles, ATB can also make use of available infrastructure. As illustrated in Figure 4.16, support may be completely absent or range from disconnected units participating only in the wireless network, up to a network of RSUs and servers. The main contributions of ATB can thus be summarized as follows:

- ATB uses a variable interval for the dissemination of elements in a local knowledge base, dynamically adapting it to a wide range of parameters such as wireless channel conditions, vehicle density, communication reliability, and delay. Using this adaptive beaconing concept, collisions on the channel become negligible. Thus, ATB is able to efficiently operate the wireless channel

even at high vehicle densities. To the best of our knowledge, ATB is the first solution that adapts the beacon interval in a fully self-organizing manner and that is tolerant to other IVC protocols using the same channel.

- ATB supports fully decentralized information exchange without the need for any infrastructure. In addition, ATB can also automatically make use of available infrastructure, starting with intelligent RSUs that participate in the ATB network up to a dedicated TIC connected to a network of RSUs.
- Message prioritization is a core feature of ATB; hence, distribution of delay-sensitive emergency messages is inherently supported. The calculation of beacon intervals incorporates the current message utility, so emergency messages are disseminated at increased speed.

The rest of this chapter is organized as follows. Section 4.4 introduces ATB and outlines its capabilities with regard to adaptive beaconing and on demand incorporation of infrastructure. The protocol is evaluated in Section 4.5 using different scenarios and a variety of metrics. Finally, Section 4.6 highlights the strengths and weaknesses of our ATB approach in comparison to a state-of-the-art hybrid flooding and DTN approach.

4.4 Design and Characteristics of ATB

In the following, we describe the key concepts of ATB and motivate the chosen estimation criteria for the beacon interval. We also demonstrate the inherent capability of our approach to use optionally available infrastructure elements in order to further improve TIS data dissemination.

4.4.1 System Architecture

From previous work, we learned that centralized solutions and broadcast based approaches each show benefits and drawbacks depending on a wide range of system parameters. The feasibility, but also the quality, of transmissions depends mainly on the vehicle density and penetration rate. This has also been confirmed in [198].

For our new ATB protocol, which we designed to be adaptive according to the current scenario and traffic conditions, we chose to rely on a beacon system. ATB distributes information about traffic related events, e.g., accident or congestion information, by means of 1-hop broadcasts.

These beacon messages are prepared to contain only those information elements most relevant to the node. In order to avoid congestion of the wireless channel while ensuring good information distribution, the interval between two messages is adapted based on two metrics: the perceived channel quality and the importance of the message to send. Thus, ATB inherently supports delay-sensitive data (e.g., information about approaching emergency cars) by means of message priorities.

As a simple rule, ATB tries to send beacons (i.e., TIS data fragments) as frequently as possible to ensure fast and reliable delivery, but always checks the channel quality to prevent collisions and interference with other protocols using the same wireless channel.

Figure 4.16 shows the envisioned system architecture. Vehicles continuously exchange beacon messages containing TIS data. The locally maintained knowledge bases are sorted with regard to the message utility, which is based on the importance of the message and the estimated benefit to other vehicles. Each beacon contains a subset of these entries. Furthermore, infrastructure support can be exploited for improved information exchange.

4.4.2 Adaptive Beacon Intervals

ATB uses two different metrics to calculate the interval parameter I : the *channel quality* C and the *message utility* P . Like all metrics of ATB, smaller values of C and P represent a better channel and a higher utility, respectively. The relative impact of both parameters is configured using an interval weighting factor w_I that can also be

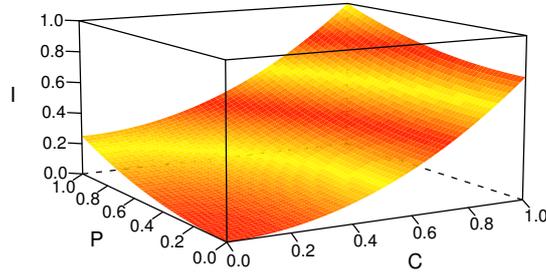


Figure 4.17 – Interval parameter I for interval weighting $w_I = 0.75$.

used to calibrate ATB for different MAC protocol variants. The interval parameter I (in the range $[0, 1]$) is calculated according to Equation (4.3).

$$I = (1 - w_I) \times P^2 + (w_I \times C^2) \quad (4.3)$$

We experimented using linear combinations of the parameters and finally deduced that the interval parameter I matches the environmental conditions best if C and P are included in squared form. Similarly, the weighting factor w_I needs to either emphasize on C or P : In our experiments, we always used $w_I > 0.5$ to make ATB more sensitive to the channel quality. Figure 4.17 shows the behavior of I for $w_I = 0.75$. As can be seen, the interval parameter becomes 1 only for the lowest message utility and the worst channel quality. In all other cases, I quickly falls to values below 0.5.

From the interval parameter, the beacon interval ΔI is then derived according to Equation (4.4), where I_{\min} and I_{\max} represent the minimum and the maximum beacon interval, respectively.

$$\Delta I = I_{\min} + (I_{\max} - I_{\min}) \times I \quad (4.4)$$

The *channel quality* C is a metric designed to indicate the availability of channel resources for ATB transmissions. In our initial implementation of ATB, we picked three almost independent parameters from the tremendous number of possible measures. We found that, even though additional and more advanced metrics might offer even better performance, these parameters already served ATB very well in estimating the channel quality at three time scales:

1. Based on the number of collisions or packet errors observed in the last time interval, load on the channel in the recent past can be estimated. The key objective of ATB is to ensure congestion-aware communication, i.e., not to interfere with other protocols using the same wireless channel or with other cars using ATB. In our implementation, we model this metric according to Equation (4.5).

2. An estimate for the current transmission quality is the SNR as perceived for the last transmission. In measurements, it has been shown that the error rate of WiFi communication quickly increases if the SNR drops below 25 dB [135]. Therefore, we model this metric according to Equation (4.6), with $\text{SNR}_{\max} = 50$ dB, so that the metric already decreases to 0.25 for an SNR equal to 25 dB.
3. We need to predict the probability of other transmissions in the next time interval. Here, we use the density of vehicles (i.e., the number of neighbors) to estimate the congestion probability (the more neighbors, the higher the probability for simultaneous transmissions). We model this metric according to Equation (4.7).

Finally, the channel quality C can be calculated according to Equation (4.8), where the factor $w_C \geq 1$ is used to weight the measured parameters K and S higher than the estimated congestion probability N .

$$K = 1 - \frac{1}{1 + \#\text{collisions}} \quad (4.5)$$

$$S = \max \left\{ 0; \left(\frac{\text{SNR}}{\text{SNR}_{\max}} \right)^2 \right\} \quad (4.6)$$

$$N = \min \left\{ \left(\frac{\#\text{neighbors}}{\#\text{neighbors}_{\max}} \right)^2; 1 \right\} \quad (4.7)$$

$$C = \frac{N + w_C \times \frac{S+K}{2}}{1 + w_C} \quad (4.8)$$

The *message utility* P is an indicator for the demand to broadcast messages early and frequently. Basically, the message utility allows nodes to schedule the next transmission in a way that nodes having high priority messages will be able to transmit first.

In our initial implementation of ATB, we calculate the message utility P as a function of the age of the TIS data, the distance to the event source, the distance to the next RSU, and how well the information is already disseminated. The message utility is calculated for the TIS data with the highest utility in the local knowledge base (see Section 4.4.4).

1. First, information age is accounted for by weighting it with the maximum beacon interval I_{\max} according to Equation (4.9). The older the information is, the less frequently it should be distributed (bounded by the maximum beacon interval I_{\max}).

2. The next two metrics to be considered represent the node's proximity to the event according to Equation (4.10) as well as its proximity to the next RSU Equation (4.11). Both metrics take the current speed v of the vehicle into account to measure proximity in the form of an estimated travel time. This distance estimation can be further enhanced using map and location information as described in the TO-GO approach [98].
3. Finally, the message utility is scaled based on how well its contents are already disseminated. This measure, which is only used if the last beacon was received from an RSU, ensures that messages are quickly forwarded to the local RSU if it lacks information carried by the vehicle. Taking into account how much of the information to be sent was not received via an RSU, this factor is calculated according to Equation (4.12).

The message utility P can then be calculated according to Equation (4.13).

$$A = \min \left\{ \left(\frac{\text{message age}}{I_{\max}} \right)^2 ; 1 \right\} \quad (4.9)$$

$$D_e = \min \left\{ \left(\frac{\text{distance to event} / v}{I_{\max}} \right)^2 ; 1 \right\} \quad (4.10)$$

$$D_r = \max \left\{ 0 ; 1 - \sqrt{\frac{\text{distance to RSU} / v}{I_{\max}}} \right\} \quad (4.11)$$

$$B = \frac{1}{1 + \#\text{unknown entries}} \quad (4.12)$$

$$P = B \times \frac{A + D_e + D_r}{3} \quad (4.13)$$

4.4.3 Flexible Use of Infrastructure Elements

ATB has been designed keeping in mind the possible exploitation of available infrastructure elements. Thus, deployed RSUs and even Traffic Information Centers (TICs) are inherently supported by ATB.

In principle, ATB-enabled vehicles and ATB-enabled RSUs operate in a similar fashion. RSUs participate in the beaconing process and adapt the beacon interval according to the same rules described in Section 4.4.2. Thus, an RSU can simply be deployed as a standalone system, e.g., with an attached solar cell for autonomous operation. This is similar to the concept of stationary support units [102].

As shown in Figure 4.16, the RSUs can also be connected to a backbone network. This connection is used by ATB to inform other RSUs about received traffic information. In turn, the other RSUs update their local knowledge base accordingly, using the same procedure as when receiving a regular beacon. We further assume that

these RSUs also know their geographic position and the positions of the neighboring RSUs. Therefore, data muling concepts as described in [149] can be realized.

The main difference between RSUs and vehicles is the calculation of the beacon interval. RSUs are not able to estimate their travel time to a traffic congestion. Thus, these metrics are ignored resulting in slightly shorter beacon intervals, according to Equations (4.14) and (4.15).

$$P_{\text{RSU}} = B \times A \quad (4.14)$$

$$I_{\text{RSU}} = (1 - w_I) \times P_{\text{RSU}} + (w_I \times C) \quad (4.15)$$

Last but not least, the RSUs can be connected to one or more central TICs. A TIC disseminates received TIS data differently than the vehicles and RSUs. Using the available topology information of the connected RSUs, only relevant (i.e., geographically related) information is transmitted to each RSU. We modeled this mechanism by defining a circular area for the RSUs within which information is considered relevant.

4.4.4 TIS Data Management

The concept of ATB is to maintain local knowledge bases that contain all received traffic information in aggregated form. In order to maintain the scalability of the TIS, the transmission of irrelevant information needs to be suppressed and each knowledge base needs to contain all received traffic information in a coarsely-grained, aggregated form [142].

The operation of ATB, however, is independent from the scheme used for the selection of knowledge base entries and their aggregation, so any of the numerous approaches in the relevant literature can be used in an implementation.

An elaborate treatment of these topics, along with a probabilistic aggregation scheme for message store maintenance, can be found in literature [101] and even more advanced schemes, which could potentially support the assembly of multiple beacon messages for parallel transmission over multiple channels, certainly warrant further investigation.

As a baseline for our evaluation of the message dissemination characteristics, however, we implemented a simplistic scheme: Our initial implementation of ATB simply stores only the most recent information for each route segment, i.e., new information elements either update records for an existing route segment (either in part or as a whole) or they are appended to the knowledge base. In order to deliver better performance and scalability, it can, however, be readily extended to employ advanced data management and aggregation techniques found in the literature [98, 102, 195].

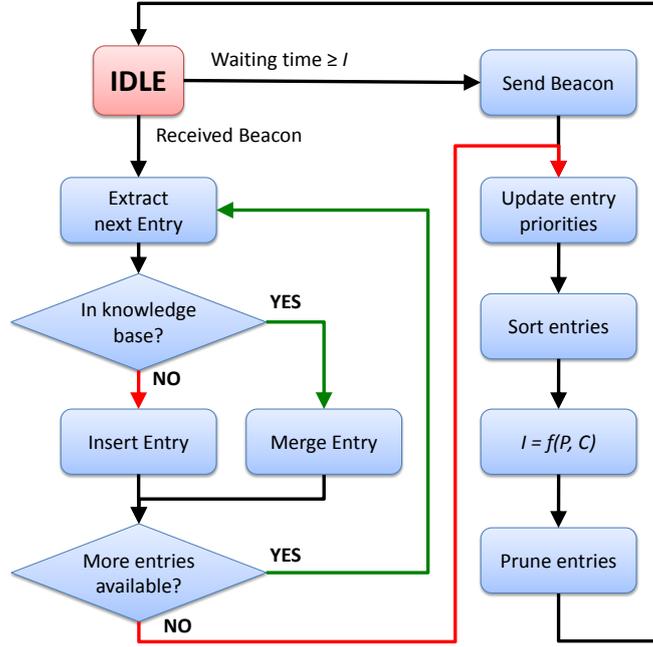


Figure 4.18 – Core functionality of the ATB protocol. Vehicles update a local knowledge base with entries from received beacons. The interval between beacons is continuously adjusted based on P and C .

In our implementation, illustrated in Figure 4.18, the knowledge base is updated with every received beacon, each of which may contain multiple information elements. We prioritize entries to be transmitted in a beacon according to their age $\delta t_{\text{entry}} = t - t_{\text{entry}}$, the proximity to the event $t_c = \text{distance to event} / v$ and the proximity to the next RSU $t_r = \text{distance to RSU} / v$. Based on these measures, the priority of each entry can be calculated according to Equation (4.16).

$$p_{\text{entry}} = \delta t_{\text{entry}} - t_c + t_r \quad (4.16)$$

Using the calculated priorities, beacon messages can be generated by selecting as many entries as there is room in a single IEEE 802.11p frame [67] from the top of the list, i.e., those with highest priority.

A single entry in a beacon comprises at least the following elements: Event type, time, position, priority, and RSU identifier. Thus, after receiving a beacon, each of its entries can simply be compared with the local knowledge base. If the event is not yet known, the entry is appended. Otherwise it is updated appropriately. Each update results in the re-calculation of the priorities of all entries and the calculation of the next beacon interval.

4.4.5 Security and Privacy Issues

ATB does not include specific security measures. Nonetheless, as discussed in [82], beaconing can be adequately secured using signatures and certificates added to appropriately selected messages, e.g., with the help of Wireless Access in Vehicular Environments (WAVE) security services [183]. In general, the computational and the protocol overhead for this is not negligible, but this data can be omitted, e.g., if transmitting multiple beacons among the same stations [82].

Also highly relevant are questions surrounding privacy issues [46, 123]. The transmitted TIS data, however, does not contain the ID of any vehicle. The only identifier used in the traffic information is that of used RSUs, which we assume does not raise specific privacy concerns. Therefore, aside from potential revealing properties of the knowledge base entries transmitted, which certainly warrant further exploration, the operation of ATB does not further interfere with privacy enhancing schemes implemented on lower protocol layers.

4.4.6 Behavior on a Microscopic Level

As the behavior of ATB on the system level is dictated by the local behavior of individual nodes, we first illustrate how ATB behaves on the microscopic level by means of a simple example. Assume that ATB is deployed in the scenario shown in Figure 4.19. At the beginning of the observed time interval, car A senses a new event, which it will distribute to the nearby cars B, C, D, and E. Suppose some time later, car F, which is just out of range of car A, will sense a new event, too, which it will proceed to distribute. Drawn in the style of a timing diagram the figure gives an example of how this scenario might play out. Please note that small values for C and P denote a free channel and a high message utility, respectively. From top to bottom, we plot the following four metrics of ATB: first, the time when an entry is inserted into a vehicle's knowledge base, i.e., the time when a new event was created (cars A and F) or when a broadcast was received (cars B, C, D, and E). Secondly, we plot the values of the message utility metric P and the channel quality metric C , which are used by ATB to calculate the beacon interval. Lastly, we indicate the time when an event is broadcast on the channel.

As can be observed, car A registers the new event at maximum values of both message utility P and channel quality C . Car A therefore chooses its minimum beacon interval for its next transmission, broadcasting the event almost immediately.

For the sake of example, we assume that car B is the first vehicle to process the reception of this broadcast (taking operating system and other issues into account), i.e., it becomes ready to re-broadcast first, followed shortly by car C. Thus, car B is free to transmit its next beacon instantly – unlike car C, which observes these two broadcasts within a short time interval and thus deduces a low value for the

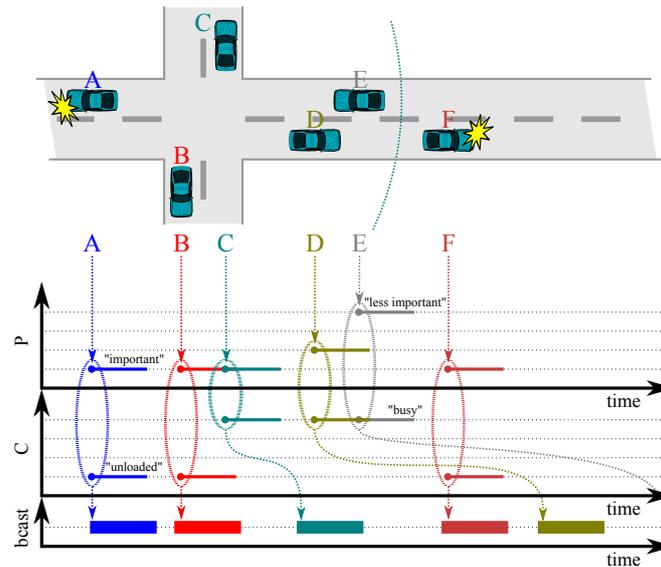


Figure 4.19 – Behavior of ATB on a microscopic level, plotted in the style of a timing diagram. One new event each is to be disseminated by car A, then car F. Metrics P and C work in harmony to keep beacon frequency high, but channel use low, allowing car F to instantly broadcast.

channel quality metric C . Following the presented algorithm, it reacts by increasing its beacon interval, postponing its broadcasting of the event.

This broadcast, however, interferes with the desire of cars D and E to broadcast the event themselves. Both cars now derive not only a low channel quality metric C , but (based on the increased event distance and age) also a lower message utility metric P . This leads to them postponing their broadcast even further, leaving the channel idle for now.

Thus, car F, which needs to disseminate *a new event*, is presented with ideal channel conditions and, thus, arrives at a maximum value for the channel quality metric C . Taken together with the message utility metric P , which assumes its maximum value because of the event being new, this leads to car F choosing its minimum value for the beacon interval. It can hence instantly broadcast a beacon containing the new event. Of course, each message may include multiple events.

This example thus illustrates how the chosen metrics work in harmony to keep nodes' beacon frequency high whenever necessary, but the number of collisions on the channel low, helping ATB adapt to highly dynamic network conditions – both proactively and reactively. Moreover, even if adverse conditions lead to an erroneous application of the heuristics used by ATB and, thus, to a disadvantageous beacon interval being chosen for a transmission, the protocol is designed to quickly recover from such states.

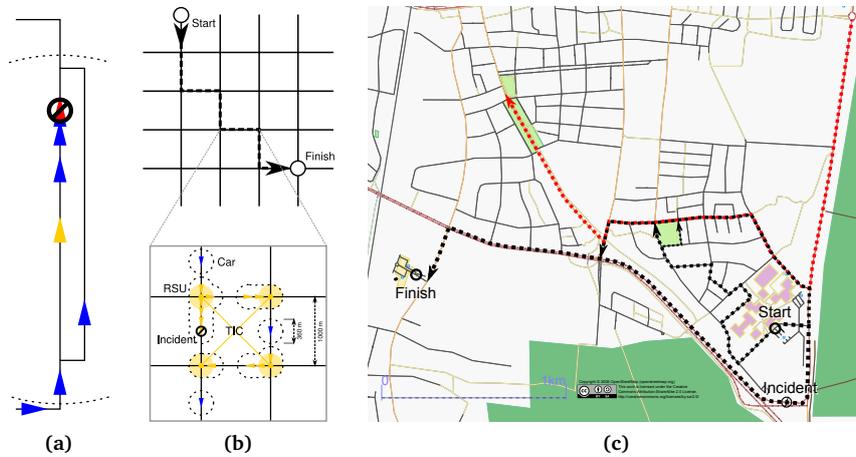


Figure 4.20 – Scenarios used for the performance evaluation: (a) a simple detour, (b) a grid-shaped road network of 5 km and 16 km width, (c) a small section of the road network in Erlangen.

4.5 Performance Evaluation of ATB

We evaluated ATB in several simulation experiments to investigate the influence of different protocol parameters, to compare it with traditional approaches, and to show the feasibility in a real-world scenario. In the following, we describe the experiments and discuss the results of the evaluations.

4.5.1 Simulation Environment and Parameters

We investigated the performance of ATB with the help of our Veins simulation environment (presented in detail in Section 3.1), in which we configured three classes of settings, as illustrated in Figure 4.20. For each part of the analysis, we used an appropriate simulation scenario in order to clearly show the specific protocol characteristics.

The knowledge base of ATB-equipped vehicles is checked after processing each received beacon to identify events on the current route of the vehicle. If an incident is found, an alternative route is calculated using the Dijkstra shortest path algorithm. Similarly, resolved traffic congestions trigger a re-calculation of the route to check whether there is now a shorter route to the destination.

We modeled vehicles capable of exchanging information using ATB by implementing the protocol in Veins according to the principles described in Section 4.4. ATB data was encapsulated in UDP/IP packets and sent on the channel via broadcast messages. The radio channel and an IEEE 802.11b NIC transmitting at 11 Mbit/s was modeled by the INET Framework.

Parameter	Value
minimum beacon interval I_{\min}	30 ms
maximum beacon interval I_{\max}	60 s
channel quality weighting w_C	2
interval weighting w_I	0.75
number of neighbors for $N = 1$	50
SNR for $S = 1$	50 dB
neighborship data expiry	60 s
TIS data expiry t_{store}	120 s
report traffic incident after queuing	10 s
TIC radius of interest	5 km
processing delay	1 ms . . . 10 ms
channel bitrate	11 Mbit/s
approx. transmission radius (Friis)	180 m
vehicle mobility model	Krauß
max. speed	14 m/s
max. acceleration	2.6 m/s ²
dawdle time	0.5 s
max. deceleration	4.5 m/s ²
vehicle length	5 m

Table 4.6 – Simulation parameters modeling ATB-enabled nodes.

Vehicles moved with a maximum speed of 14 m/s (50.4 km/h, 31.32 mph) and according to the Krauß mobility model. Timeout values used for TIS data expiry were selected according to the accident lengths used in the simulations. The full set of simulation parameters common to all scenarios can be found in Table 4.6.

For each scenario, we performed multiple simulation runs for statistical validity and to identify outliers, but no less than 10 runs, and assessed the impact of TIS operation using two primary performance metrics.

First, we tracked the effective average speed of vehicles, i.e., the time it takes a vehicle to reach its destination in relation to the traveling time on the shortest route. This metric reflects the benefit of the TIS on traffic as a whole.

Its impact on individual vehicles, smoothing the traffic flow, is reflected in a second metric, the amount of emitted CO₂. For calculating the CO₂ emissions we employ our implementation of the EMIT emission model (cf. Section 3.2).

4.5.2 Evaluation of Adaptivity

In a first set of simulations based on an initial implementation [94] of ATB, we evaluate the presented metrics and their impact on adaptivity to rapid changes in network conditions. We base this evaluation on a synthetic rear-end collision scenario in order to provide a challenging, highly dynamic environment.

We set up traffic on the simple single-lane road network shown in Figure 4.20a, which consists of a 300 m main road and a 350 m detour. Traveling along the main road are 101 cars, one departing every 5 s. The first vehicle is configured to stop from $t = 45 \text{ s} \dots 105 \text{ s}$, near the end of the main road, creating an artificial traffic incident.

All vehicles use ATB to exchange information about obstructions, the parameters of ATB being configured as follows: minimum and maximum beacon interval are set to $I_{\min} = 30 \text{ ms}$ and $I_{\max} = 60 \text{ s}$, the weighting w_I of channel quality set to 0.75, the relative weight of K and S vs. N to 2. Vehicles generate a traffic incident event after being blocked for 10 s and set its validity to 120 s.

During the course of this scenario, we record the two compound metrics that ATB uses to adapt the beacon interval (message utility P and channel quality C) along with their feedback on K . Thus we are able to investigate the frequency of collisions on the channel and, hence, the degree to which ATB adapts to changing network conditions.

We present the values of these metrics in the form of scatter plots, derived from an exemplary simulation run. For ease of reference, observations are plotted in red or blue, depending on whether they are recorded before or after the traffic incident is cleared. In order to give an indication of message frequency, each individual observation of P and C is plotted with low opacity, so a larger number of similar observations results in a more pronounced dot. Values of the collision metric K are always plotted at full opacity, so that individual outliers are distinctly visible in the figure.

As shown in Figure 4.21, the first message informing vehicles of the incident ahead can be observed at $t = 55 \text{ s}$. Message utility P and channel quality C are at their maximum values, as both the distance to and the age of the event are minimal and the channel is unused. Both P and C thus allow the event to be disseminated among nearby vehicles at a very high rate, as evidenced by the large number of observations in the first few seconds. This, however, causes channel use to spike and, consequently, the channel quality metric C of nearby nodes to drop by as much as 10% within fractions of a second.

Owing to the combined use of channel quality C and message utility P for the calculation of I , however, the impact of this change on message frequencies is immediate, as ATB adapts the beacon interval of nodes to match the deteriorating channel. Thus, the number of collisions can be seen to remain negligible while information about the incident is being disseminated at the maximum affordable rate. Over the next seconds, the message utility P continuously decreases with increasing age of the event, reflecting its decreased urgency, leading to a decrease in overall beacon frequency and, thus, a very lightly loaded channel.

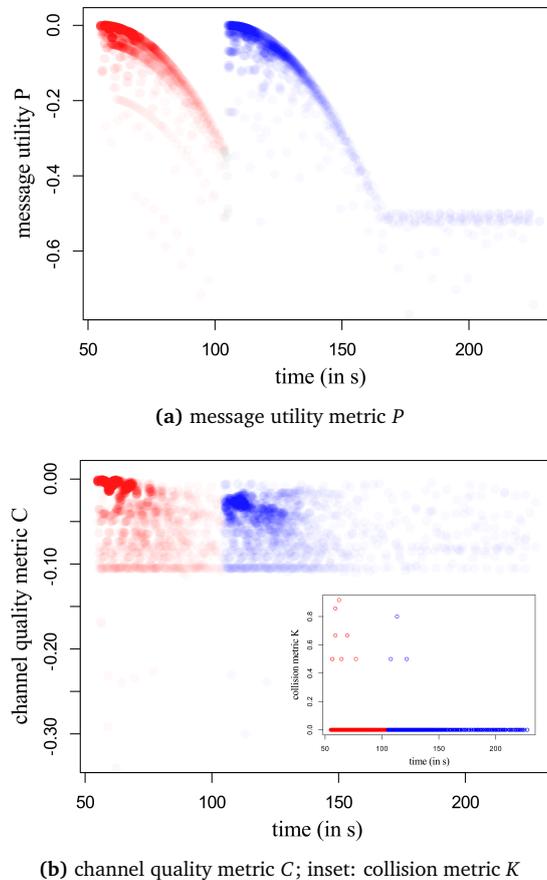


Figure 4.21 – Changes in the metrics ATB uses to adapt the beacon interval during the synthetic rear-end collision scenario, both before (red) and after (blue) the incident is cleared. P and C are normalized from -1 (worst) to 0 (best).

At $t = 105$ s, the artificial incident is cleared and, thus, a new event needs to be disseminated in the now very crowded area around the point of origin. Message utility P of the new event starts out at its maximum value to promote quick dissemination of the event in the network.

If this metric was used alone, an incommensurate increase in channel load would now be triggered, as this time node densities in the vicinity of the incident are substantially higher. However, as the number of neighbors is factored into C , the high values of P are compensated for in the calculation of I and no substantial increase in collisions on the channel can be observed. Thus, the chosen metrics work in harmony to help ATB adapt to highly dynamic network conditions both proactively and reactively.

4.5.3 Comparative Evaluation

In order to evaluate the performance of ATB in terms of its impact on road traffic, we rely on scenarios used in the literature [170]. As illustrated in Figure 4.20b, two grid-shaped road networks of 5 km and 16 km width were prepared with horizontal and vertical roads spaced 1 km apart. Starting in one corner, vehicles can then use dynamic routing to avoid obstructions on their way to the opposite corner.

In a first set of simulation runs, we configured 30 vehicles to drive on the 5 km² grid, one departing every 4 s. An artificial traffic incident is created by stopping the lead vehicle for 60 s. We used this scenario to compare the performance of ATB in three network configurations. One offers no infrastructural support, one supports TIS operation by a network of RSUs spread over the intersections, and one contains an additional TIC connected to the network. In order to compare the performance of ATB with that of a protocol based on fixed beacon intervals, we also simulated configurations of ATB using $I_{\min} = I_{\max}$. The resulting fixed beaconing scheme, however, still includes our optimizations to start beaconing only if data is available. Furthermore, we simulated two baseline scenarios without any radio communications, one with and one without the artificial traffic incident.

Box plots from this set of simulation runs are shown in Figure 4.22. Dotted lines mark the best and worst cases observed in the baseline scenarios. Aside from the obvious improvements that ATB demonstrates, two common effects can be observed from the evaluation: enabling Car-to-X capabilities of simulated vehicles leads to some of them reaching their destination even faster than the fastest vehicles in an obstruction-free scenario. This is because re-routing around the obstruction leads to traffic flows being more evenly distributed over the network, avoiding micro-jams at corners or intersections [162]. Yet, warning some vehicles too late, but also too early, causes them to take unnecessary detours, which results in some vehicles arriving at their destination later than they would have when sticking to their original route. Still, the use of ATB, in particular when supported by infrastructure, typically leads both to lower emissions and to vehicles reaching their destination faster than is possible using fixed beacon intervals, even as short as 1 s.

In a second set of simulation runs, we therefore examined how ATB performs when compared with beacon protocols using even shorter fixed beacon intervals. We also increased the size of the road grid to 16 km² and the number of cars to 1000 to obtain meaningful results for message delays.

We first examined the impact of TIS operation on vehicles' speeds and CO₂ emissions for fixed beacon intervals and for ATB. The results are plotted in Figure 4.23a, which shows the metrics' mean values as well as the 10 % and 90 % quantile. As can be seen it is not until beacon intervals of 1 s are used that results become comparable with those of ATB.

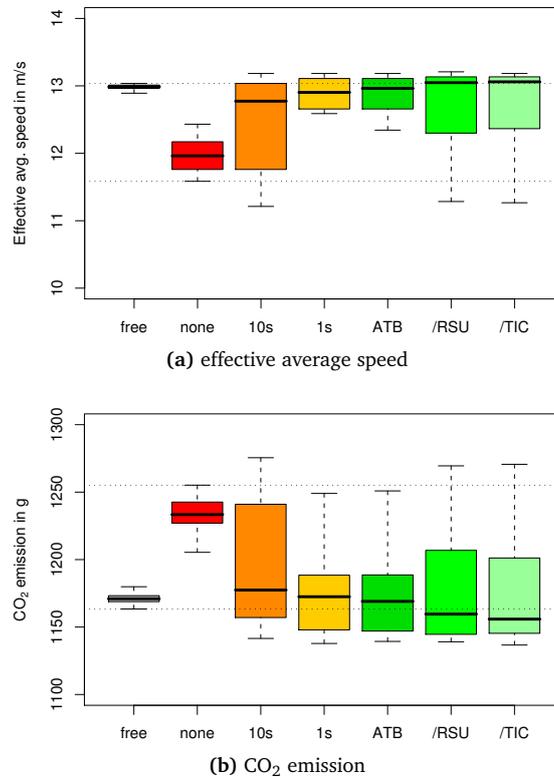
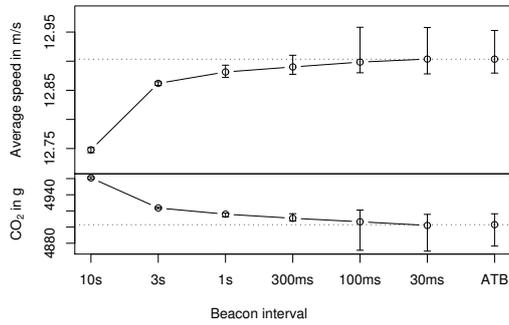


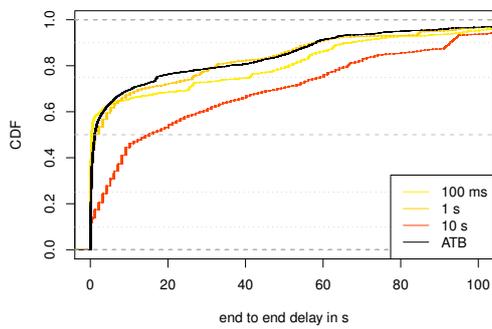
Figure 4.22 – Effective average speed of vehicles and their CO₂ emissions in the 5 km² grid setup. Plotted are two baseline settings, fixed interval beaconing, and ATB with three levels of infrastructure support.

We further examined this scenario by comparing for the same setups the end to end delays of generated traffic information. Figure 4.23b shows this metric in the form of empirical CDF plots. As can be seen, ATB performance can just match that of fixed beaconing with intervals. The depicted delays represent the typical store-carry-forward behavior in vehicular networks, which is greatly influenced by the mobility of vehicles. It also needs to be mentioned that the absolute measures need to be carefully evaluated because lost messages do not contribute to the CDF.

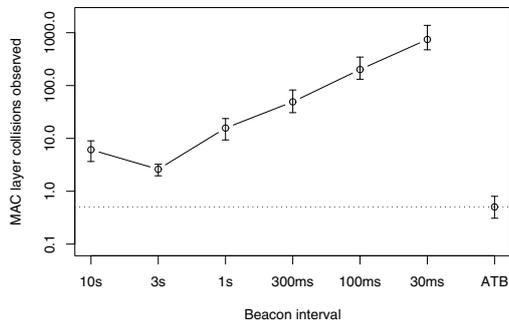
From these results, we see that static beaconing with a period of well under 1 s allows for a similar range and quality of the TIS information exchange. However, as can be seen from Figure 4.23c which depicts the number of collisions observed on the channel per packet received in a log-scale graph, the load caused by the static beaconing increases exponentially for smaller periods. In contrast, ATB is able to perform well in all the investigated scenarios. Thus, we can conclude that ATB succeeds at managing access to the radio channel – which, according to the used quality metrics, also holds if other devices or applications start sharing the same wireless channel.



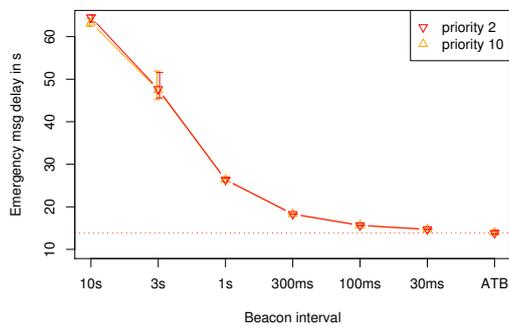
(a) traffic performance



(b) empirical CDF of end to end delay



(c) MAC layer collisions



(d) mean delay of a high-priority message

Figure 4.23 – TIS performance metrics recorded in the 16 km² grid scenario for various fixed beacon intervals as well as for ATB.

As an example, we introduce into the TIS an emergency message to be disseminated by the 101st vehicle at the same time that the first incident is reported, then recording the mean delay the message experiences on its way through the network. We configure the relative utility of the message as either 10× or 2× that of a comparable regular TIS message, both in terms of P and p_{entry} .

The results of this evaluation of simple application multiplexing on ATB are plotted in Figure 4.23d. Results indicate that ATB was able to transmit an emergency message even faster than was possible using a fixed beacon interval of 30 ms, the minimum beacon interval of ATB in our setup.

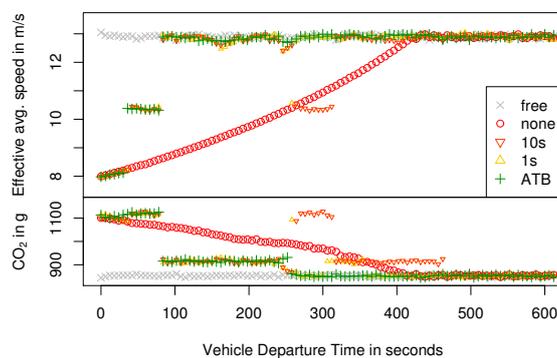
4.5.4 Realistic City Scenario

In order to evaluate the performance of ATB in a less synthetic scenario, we chose a road network based on OpenStreetMap data of the city of Erlangen. The modeled section of the city comprises the university campus and a business park about 5 km away. Both are connected by two trunk roads, but are reachable also via several residential roads, as illustrated in Figure 4.20c. On this network, we configure a flow of 200 vehicles, one departing from the university campus every 6 s and heading to the business park. We introduce a traffic obstruction by stopping the lead vehicle for 240 s as it passes a short one-lane section in the road network. All vehicles following the lead vehicle are therefore either caught in the jam, or, if informed early enough, are able to turn back and pick an alternate route.

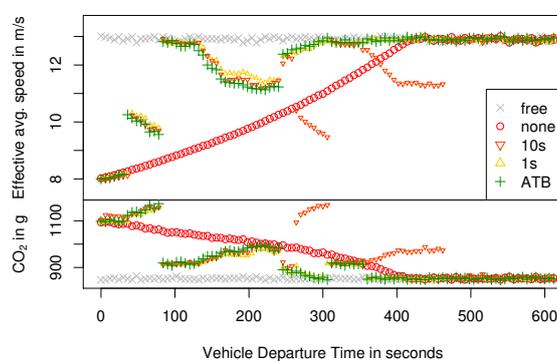
Shown in Figure 4.24a are the results gathered from this series of simulations, plotting in the style of a scatter plot for one exemplary run the effective average speed and the CO₂ emission of each vehicle vs. its time of departure. Again we plot results for unobstructed traffic, no Car-to-X communication capabilities, for fixed beacon intervals of 10 s and 1 s length, and for ATB.

As can be seen from the plot, the traffic obstruction substantially delays all vehicles caught in the jam. Only vehicles departing later than just over 400 s enter the simulation late enough to be uninfluenced by the 240 s incident. Both the protocols using a fixed beacon interval and ATB again manage to inform most vehicles of the obstruction in time. Also visible is a group of vehicles that can simply not avoid the incident because they are already driving on the single-lane road segment, as well as a group of vehicles that can avoid the incident, but have to turn around to do so. Only at one point in the simulation, when the artificial jam begins to dissolve, a fixed beacon interval of 10 s proves too coarse to keep some vehicles from immediately entering the area of the jam.

No secondary jams could be observed in this scenario. The traffic density in this scenario was low enough that all vehicles can be accommodated by the various detours and continue to their destination unobstructed. In a second set of simulation



(a) default scenario



(b) additional vehicle flow saturating detours

Figure 4.24 – Traffic performance in Erlangen scenarios.

	Min.	1 st Qu.	Median	Mean	3 rd Qu.	Max.
default	0.03	0.05	0.06	1.20	0.14	15.55
two flows	0.03	0.06	0.10	3.72	5.39	16.02

Table 4.7 – Beacon intervals selected by ATB in the Erlangen scenarios (in s).

runs, we therefore set up an additional flow of vehicles which saturates the region crossed by popular detours, indicated by a red, dashed arrow in Figure 4.20c.

Results gathered from this series of simulations, restricted to those gathered from vehicles of the original traffic flow, are shown in Figure 4.24b. As the additional flow of vehicles leads to the detours quickly becoming congested, this scenario contains numerous secondary jams which require continuous re-routing of vehicles. Again ATB manages traffic flows very well despite the large number of concurrent messages. Still, a fixed beacon interval of 1 s can be seen to improve overall traffic performance slightly beyond the capabilities of ATB. The reason for this behavior, however, is illustrated in Table 4.7: In order to avoid collisions on the radio channel, ATB has to operate with a much higher mean beacon interval of 3.72 s.

4.6 Comparison of ATB with Flooding/DTN

We now evaluate the performance of ATB in terms of dissemination speed, the classic domain of flooding-based schemes. We compare the performance of ATB with that of the most closely related and most recent of these schemes, DV-CAST, which – as was presented in Section 2.4.2 – operates in a fully distributed manner, can keep the network load within reasonable bounds, and is resilient to network disconnections. Even though the concept of DV-CAST was developed for highway scenarios, its flooding algorithm makes no assumptions about underlying road topology, and, hence, can be deployed in any traffic scenario. In particular, DV-CAST incorporates most recent findings on flooding approaches in dense scenarios to eliminate the broadcast storm problem, and switches to a DTN approach in sparse scenarios.

Thanks to a close collaboration with the authors of DV-CAST [179], we were able to successfully implement the protocol in the context of our Veins simulation framework, calibrating protocol behavior to that of its original ns-2 model.

The scenario we use for this evaluation simulates traffic of varying density, from 14 to 170 vehicles per km², in the city of Ingolstadt, collating data points from up to 100 independent runs to ensure the statistical significance of results. The road network was based on the comprehensive road topology and attribute database available from the OpenStreetMap project and adapted to reflect realistic intersection management and timing. Traffic was generated by randomly selecting source-destination pairs and iteratively applying the Dynamic User Assignment (DUA) algorithm [54] until it reported a stable, optimal distribution of flows.

In the evaluation, we focus on the 4 km² Region of Interest (ROI) shown in Figure 4.25, which contains a heterogeneous mixture of high- and low-capacity roads, traffic lights and unregulated intersections, as well as high- and low-density areas. While traffic is simulated in the whole city of Ingolstadt to avoid border effects, only vehicles within the ROI are considered to be participating in the network. In order to determine how realistic this generated road traffic was, we implemented induction loops in the ROI and compared the measured values with real values provided courtesy of the local authorities. We observed that the range of traffic densities spans from off-peak densities, e.g., the beginning of the morning rush hour at 6:00 a.m., all the way down to sparse traffic at low equipment rates.

We use the same setup of ATB to enable the dissemination of information about obstructions among vehicles. We evaluate the message dissemination speed by triggering the transmission of a warning message at the intersection of the two federal highways crossing this area, B 13 and B 16a (shown in dark red on Figure 4.25). We keep track of whether each of the simulated cars received the event and record its distance to the event's origin for the duration of its validity. This metric specifically includes cases where the event was not picked up by any

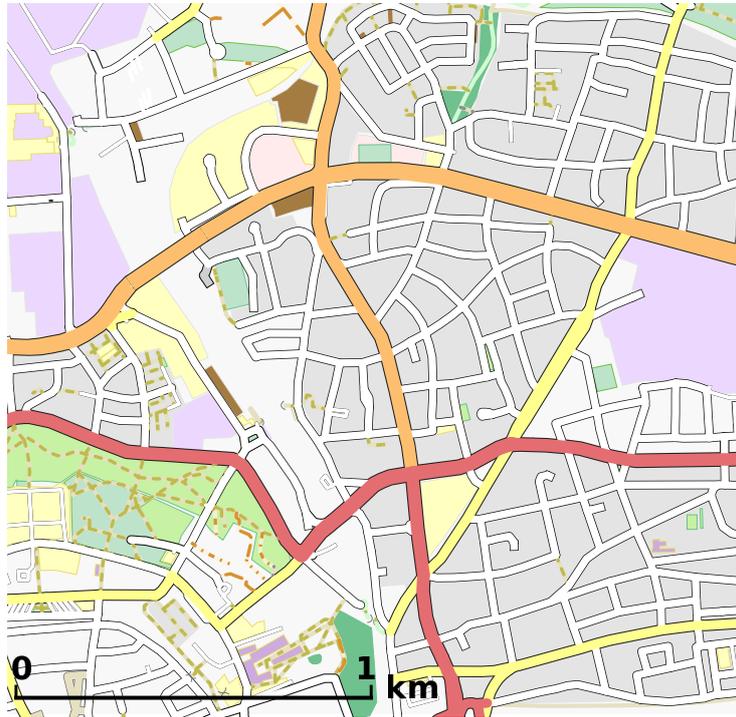


Figure 4.25 – Scenario used for the comparison to flooding: fully-detailed 4 km² section of Ingolstadt, containing a heterogeneous mixture of high- and low-density areas, along with a crossing of two federal highways, B 13 and B 16a.

car. Moreover, it includes the progress of message dissemination achieved simply by virtue of cars moving across the map.

As is common, we first examine the maximum distance covered by each message as time progresses. Figure 4.26 illustrates how ATB compares to DV-CAST in this respect, plotting the mean value of this distance for seven groups of the simulated traffic densities. The interquartile range of results remained below 4% at all times. We observe that, in terms of dissemination speed, flooding clearly outperforms our beaconing approach independent of the traffic density, having already bridged several hundreds of meters before the first distance sample was taken. Yet, we also observe that, due to the extremely high network dynamics in this scenario, the beaconing approach is more robust, leading to more widespread message propagation in the long run. Nevertheless, it is not until several seconds after an event took place that ATB reaches the same dissemination distance as DV-CAST.

However, we argue that examining the maximum dissemination distance alone does not capture the benefit beaconing brings to frequently disconnected networks: there is no clearly defined shock wave (the front of epidemically spreading information) where all message transmissions take place, but rather a broad continuum

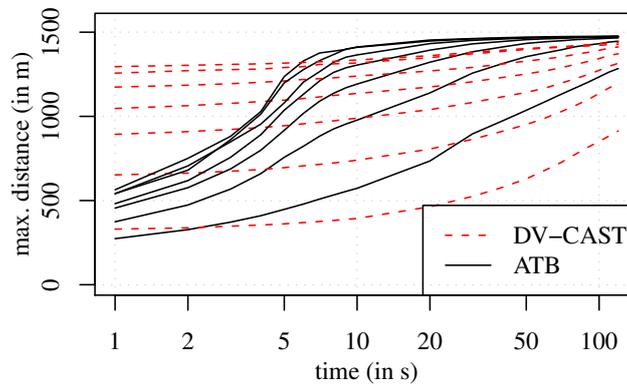


Figure 4.26 – Dissemination distance vs. time for 7 groups of traffic densities with means of 24, 51, 73, 98, 119, 151, and 170 vehicles per km^2 .

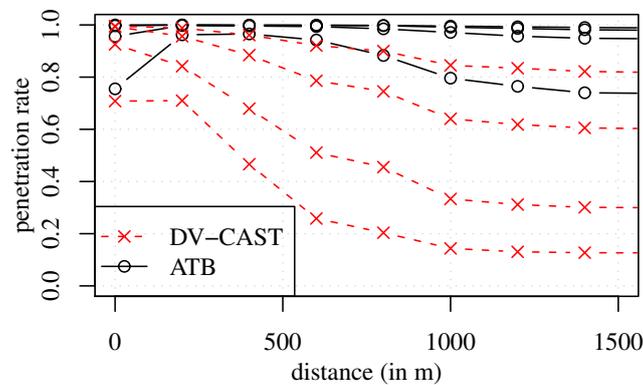


Figure 4.27 – Dissemination quality vs. distance for 4 groups of traffic densities with means of 19, 49, 97, and 159 vehicles per km^2 .

where nodes frequently exchange parts of their knowledge bases. This means that disconnected clusters re-joining the network, even after several seconds of disconnection, still have a very good chance of receiving and, in turn, being able to disseminate these missed events.

We illustrate this in Figure 4.27, plotting for four groups of the simulated traffic densities the ratio of nodes that received an event in a circular area centered on its point of origin. The interquartile range of results remained below 5% at all times. We observe that, in particular at low densities and considering a large area around the point of origin, adaptive beaconing achieves a noticeably higher event penetration ratio, whereas flooding leaves large clusters of nodes unaware of an event. However, we also observe how the lower speed of event dissemination of ATB leads to its maximum of penetration ratios being shifted some hundreds of meters away from the event source.

4.7 Conclusion

In this chapter we first presented the application of the presented simulative performance evaluation techniques to protocol engineering, giving two examples of distributed and centralized communication approaches, in short range WiFi and in cellular networks.

Based on lessons learned, we then presented a new Car-to-X communication protocol, Adaptive Traffic Beacon (ATB), which progresses beyond state-of-the-art solutions by providing a self-organizing system architecture that automatically adapts to various settings and conditions. ATB is based on a beaconing approach, taking into account vehicle density, vehicles' speed, radio communication reliability and delay to optimize the beacon period. ATB is also adaptive in a second dimension. It can automatically use optionally available infrastructure elements such as RSUs or TIC servers. We evaluated the protocol performance in extensive simulation experiments.

From the results, we conclude that ATB fulfills its task to support efficient TIS data exchange with support for delay-sensitive and congestion-aware communication. ATB performs well in both synthetic scenarios and realistic urban networks, without assuming the presence of (or creating and maintaining) network topology or roadmap information.

Based on the comparison with a state-of-the-art hybrid flooding and DTN approach, we were able to further show that adaptive beaconing leads to a much broader dissemination of messages, the predominant metric for *non-safety* applications. This distinction becomes even more pronounced in scenarios with low traffic density or low equipment rates: here, the shock wave like dissemination of messages in flooding based schemes simply skips temporarily-disconnected clusters. On the other hand, flooding clearly outperforms beaconing in terms of dissemination speed, the predominant metric for *safety* applications. Adaptive beaconing and flooding based schemes thus offer unique benefits and drawbacks, depending on the envisioned application scenario.

Chapter 5

Conclusion

In the first part of this work, we demonstrated how to take the simulative performance evaluation of Car-to-X communication systems one step beyond current approaches: we presented our framework *Veins* for the co-simulation of communication networks and road traffic. *Veins* relies on a bidirectional coupling of state-of-the-art simulators from both domains to incorporate well-known models for road traffic microsimulation with a comprehensive selection of network protocol models, and extends both for the evaluation of Car-to-X communication systems.

It allows simulating complex heterogeneous scenarios to a high degree of realism and allows for road traffic to be influenced by network communication. Whenever events in a network simulation can potentially affect the mobility of vehicles, any approach based on trace data is unable to generate the required real-time interaction between mobility model and application model. For the simulative performance evaluation of such systems, e.g., for Traffic Information System (TIS) design, the presented impact studies demonstrated not merely the applicability, but the outright need for taking this approach.

We continued by describing how to employ the *Veins* simulation framework for parameter studies and model validation and demonstrated the importance of considering metrics beyond isolated communication network and road traffic metrics by highlighting cases where such metrics are conflicting. We presented a computationally inexpensive model that, unlike traditional stochastic models, allows obtaining a realistic estimate of predictable signal attenuation effects due to obstacles such as buildings. We demonstrated the applicability of this model to simulate IEEE 802.11p radio shadowing in urban and suburban environments based on extensive real-world measurements. Based on the results of simulation studies performed with and without this model, as well as real-world geodata with different levels of detail, we concluded that including information beyond the mere topology of roads in Car-to-X

simulations can have a profound impact on core network metrics, both in terms of aggregate statistics and shape of distribution.

We therefore argue that our approach constitutes a substantial contribution to the methodology of simulative performance evaluation of Car-to-X systems; in particular for studies that cannot afford to disregard microscopic network or radio effects, as well for evaluations where Car-to-X communication would likely influence driver behavior.

We decided to release our Veins simulation framework as Free and Open Source Software, allowing anyone to freely inspect, use, adapt, and improve all of its components. In order to coordinate efforts, we created a website¹² to host the framework and associated documentation, tutorials, and sample scenarios. Thus, we are able to make available to interested researchers a freely available and openly developed framework which offers the following features:

- it is based on Open Source software only, offering unrestricted extensibility,
- allows for online re-configuration and re-routing of vehicles in reaction to network packets,
- relies on a trusted vehicular mobility model and implementation done by Transportation and Traffic Science community,
- relies on fully-detailed models of network layers, including channel access, noise and interference effects,
- can simulate city block level simulations in real time on a single workstation,
- can be deployed on compute clusters for simulation in an MRIP distributed parallel fashion,
- can import whole scenarios from OpenStreetMap, including buildings, speed limits, lane counts, traffic lights, access and turn restrictions,
- can employ a computationally inexpensive obstacle model of shadowing effects caused by buildings,
- supplies data sources for a wide range of metrics, including travel time and emissions,
- allows for the graphical, real time inspection of – and interaction with – both the network and road traffic models, and
- is supported by a solid and diverse user base from five continents.

¹²<http://veins.car2x.org/>

In a second part of this work, we demonstrated how the presented approach led us to the development of our Adaptive Traffic Beacon (ATB) protocol. We base its design on lessons learned from evaluating common approaches to Car-to-X communication systems, both infrastructure-based and infrastructure-less, identifying adaptivity as the key property such approaches were lacking.

This led us to conceive ATB as an evolved beaconing approach designed for operating in truly heterogeneous environments. One of the key goals of ATB is to continuously adapt the interval between two beacons to utilize all unused capacity of the wireless channel, but never more. In this way, as far as high-priority access to the medium is concerned, the channel appears virtually unloaded at all times. Taken together, this enables ATB to support efficient TIS data exchange in heterogeneous communication scenarios with support for delay-sensitive and congestion-aware communication. Thus, ATB progresses beyond state-of-the-art solutions by providing:

- a self-organizing system architecture, which
- keeps the channel virtually unloaded enabling high-priority access,
- allows for co-existence with other protocols and systems,
- is able to make use of optionally available infrastructure, and
- automatically and quickly adapts to changing network conditions.

We evaluated the performance of ATB with the help of the methodology we presented in this work, as implemented in our *Veins* simulation framework. The results of our in-depth studies validated both the core functionality and the adaptivity of ATB to rapid changes in network conditions and presence of infrastructure. We outlined the strengths of our adaptive beaconing approach in comparison to simpler, non-adaptive protocols, both in synthetic and highly realistic scenarios.

Finally, we highlighted the strengths and weaknesses of ATB when compared with state-of-the-art hybrid multi-hop flooding and disruption tolerant networking. We were able to demonstrate that adaptive beaconing leads to a much broader dissemination of messages, the predominant metric for non-safety applications. On the other hand, flooding clearly outperforms beaconing in terms of dissemination speed, the predominant metric for safety applications.

This means that flooding and disruption tolerant networking approaches fit the requirements of *safety* applications very well. In contrast, the requirements of *non-safety* applications in highly heterogeneous scenarios, in particular TIS, mandate a novel approach to message dissemination, as represented by our ATB protocol.

List of Acronyms

3GPP	Third Generation Partnership Project
AC	Access Class
AIFS	Arbitrary Inter-Frame Spacing
AODV	Ad Hoc on Demand Distance Vector
ATB	Adaptive Traffic Beacon
ATIS	Alliance for Telecommunications Industry Solutions
BSS	Basic Service Set
CALM	Communications Access for Land mobiles
CCH	Control Channel
CDF	Cumulative Distribution Function
CDMA	Code Division Multiple Access
CoCar	Cooperative Cars
DCH	Dedicated Channel
DHT	Distributed Hash Table
DSDV	Destination Sequenced Distance Vector
DSR	Dynamic Source Routing
DSRC	Dedicated Short Range Communications
DTN	Delay/Disruption Tolerant Network
DYMO	Dynamic MANET On Demand
EDCA	Enhanced Distributed Channel Access

ETSI	European Telecommunications Standards Institute
FACH	Forward Access Channel
FDD	Frequency Division Duplex
FTAP	Fast Traffic Alert Protocol
GGSN	Gateway GPRS Support Node
GPS	Global Positioning System
HSPA	High Speed Packet Access
IDM	Intelligent-Driver Model
IETF	Internet Engineering Task Force
ITS	Intelligent Transportation Systems
IVC	Inter-Vehicle Communication
MANET	Mobile Ad Hoc Network
MBMS	Multimedia Broadcast Multicast Service
MMS	Multimedia Messaging Service
MOBIL	Minimizing Overall Braking Induced by Lane-changes
MPI	Message Passing Interface
MRIP	Multiple Replications In Parallel
NHDP	Neighborhood Discovery Protocol
OFDM	Orthogonal Frequency Division Multiplexing
PDES	Parallel Discrete Event Simulation
QoS	Quality of Service
RACH	Random Access Channel
RERR	Route Error
RMSE	Root Mean Square Error
ROI	Region of Interest
RREP	Route Reply

RREQ	Route Request
RSU	Roadside Unit
RSS	Received Signal Strength
SCH	Service Channel
SNR	Signal to Noise Ratio
SOTIS	Self-Organizing Traffic Information System
SUMO	Simulation of Urban Mobility
TDD	Time Division Duplex
TIC	Traffic Information Center
TIS	Traffic Information System
TPDP	Traffic Probe Data Protocol
TPEG	Transport Protocol Expert Group
TTL	Time To Live
UMTS	Universal Mobile Telecommunications System
UTRA	Universal Terrestrial Radio Access
VANET	Vehicular Ad Hoc Network
WAVE	Wireless Access in Vehicular Environments
WBSS	WAVE Basic Service Set
WSMP	WAVE Short Message Protocol
WSN	Wireless Sensor Network
ZRP	Zone Routing Protocol

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